



WORLD INVESTOR WEEK 2020

PUBLIC REPORT

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INTRODUCTION

The World Investor Week (WIW) is an initiative of the International Organization of Securities Commissions (IOSCO)¹ that was conceived to raise awareness about the importance of investor education and protection. The project, organized and implemented by IOSCO's Committee on Retail Investors (CRI), consists of up to a week of outreach activities carried out by participating IOSCO member jurisdictions. A key objective of the WIW is to highlight the importance of investor education and protection, including in resource-constrained jurisdictions.

By using the IOSCO network to facilitate a coordinated and, therefore, a more powerful approach, the WIW also provides a framework for individual jurisdictions to publicize their investor education and protection initiatives and to deliver more tailored messaging within their jurisdictions.

In October 2020, IOSCO, with the support of other organizations, carried out the fourth edition of WIW and achieved outstanding results, in particular in a year with novel challenges brought about by the Covid-19 pandemic, that suggest an increasing impact of the IOSCO's campaign.

¹ The International Organization of Securities Commissions (IOSCO) is the international body that brings together world's securities regulators and is recognized as the global standard setter for the securities sector. IOSCO develops, implements and promotes adherence to internationally recognized standards for securities regulation. It works intensively with the Financial Stability Board (FSB) on the global regulatory reform agenda.

THE WORLD INVESTOR WEEK

World Investor Week is a week-long, global campaign promoted by IOSCO to raise awareness of the importance of investor education and protection and to highlight the various initiatives of securities regulators in these two critical areas. In view of the restrictions and challenges imposed by the global pandemic, the WIW 2020 was conducted from 5 October through November 2020. IOSCO securities regulators and other IOSCO members from six continents undertook a range of activities, such as offering investor-focused information and services, promoting contests to increase awareness of investor education initiatives, organizing workshops and conferences, and conducting local/national campaigns in their jurisdictions, in most of the cases through digital or virtual means.

WIW has two primary objectives, namely (i) to disseminate key messages that support investor education, investor protection and financial literacy; and (ii) to foster learning opportunities for investors. The WIW in 2020 emphasized some key messages on the Basics for Investing as well as how to assist investor under the challenging environment brought about by the global pandemic, including messages on ICOs, digital assets, and investing online, covering different segments of the population: employees and entrepreneurs; senior investors; young adults and teenagers; as well as vulnerable groups.

The campaign also aims at strengthening collaboration among IOSCO members on investor education and protection initiatives, and raising IOSCO's visibility among key international stakeholders.

At the international level, WIW has the following set of objectives (and goals):

- achieving considerable participation of IOSCO members; and
- engaging international organizations/forums in the campaign, by enlisting supporters that endorse the campaign and/or promote events and activities.

A total of 80 IOSCO Ordinary members, representing 79 jurisdictions, participated in WIW 2020. Fifteen non-IOSCO jurisdictions also participated. Several global and regional organizations endorsed or supported WIW. Those institutions are detailed in the "Supporters" section below in this report.

IOSCO members also were encouraged to set their objectives and goals at the local level.

Local members conducted the work in each jurisdiction, and determined the scope and timing of their participation. Only non-commercial activities were permitted and all information was required to be unbiased, with no recommendations made on specific investment products or services.

Non-members were allowed to participate if invited to do so by an IOSCO member. At the national level, self-regulatory organizations, industry associations and market participants, as well as non-governmental organizations (NGOs) were allowed to participate, at the discretion of each jurisdiction.

Third parties were barred from supporting the WIW financially, and were required to follow rules laid down in the implementation plan if they wanted to participate in the campaign.

WIW 2020 RESULTS

IOSCO asked national coordinators of WIW to fill in an online survey on a voluntary basis. The results presented in this section are based on the survey responses. In addition, national coordinators were expected to prepare a public report on the campaign in their jurisdictions. The local public reports are part of this Public Report.

On the map below, the jurisdictions (95) in blue are those that participated in the WIW 2020 2.



Participating jurisdictions of WIW 2020. Source: <http://www.worldinvestorweek.org/participants.php>

The results reported by participating jurisdictions suggest a solid expansion of the activities carried out and the impact on targeted audiences. The total number of people reached of webinars, classes, talks, lectures, town meetings, seminars, and conferences, to take an example, went from 5,482,825 (in 2019) to 36,805,626 (in 2020), which represents an outstanding growth of 571%.

Moreover, the engagement of third parties in WIW 2020 activities suggests a growing understanding about the importance of this campaign. From 15,398 institutions/organizations reported in the previous edition, WIW 2020 welcomed 16,092 third-party entities, including authorities (regulators other than WIW IOSCO national coordinators (120), self-regulatory organizations (175), universities (788), schools (5,513), financial institutions (6,422), associations and clubs (704), and others (2,370). The number of participating local institutions increased by 4.5% in 2020, even considering the COVID-19 pandemic, which represents a considerable milestone in terms of institution engagement.

Online activities skyrocketed. WIW 2020 surpassed 260 million page views (+528%) and the number of likes on social media grew by 665%, reaching almost 8 million friends / followers / supporters.

2 Angola, Anguilla, Antigua & Barbuda, Argentina, Armenia, Australia, Austria, Bahamas, Bahrain, Bangladesh, Barbados, Belgium, Bermuda, Brazil, British Virgin Islands, Brunei, Cameroon, Canada, Cayman Islands, Chile, China, Colombia, Costa Rica, Croatia, Curacao & Saint Martin, Cyprus, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, France, Germany, Ghana, Gibraltar, Grenada, Guernsey, Hong Kong, Hungary, India, Indonesia, Iran, Isle of Man, Israel, Italy, Jamaica, Japan, Jersey, Kazakhstan, Kenya, Lebanon, Lithuania, Luxembourg, Malaysia, Maldives, Mexico, Montserrat, Morocco, Mozambique, New Zealand, Nepal, Nigeria, Pakistan, Palestine, Panama, Paraguay, Peru, Philippines, Poland, Portugal, Qatar, Republic of Korea, Romania, Russia, Rwanda, Saudi Arabia, Singapore, Slovenia, South Africa, Spain, Sri Lanka, St. Kitts & Nevis, St. Lucia, St. Vincent & the Grenadines, Sweden, Chinese Taipei, Tanzania, Thailand, Trinidad & Tobago, Tunisia, Turkey, United Arab Emirates, United States, Uruguay and Zambia.

Table 1: WIW 2020 in numbers

Total number of people reached with WIW activities	522 million people
Participating Jurisdictions	95
N° of Jurisdictions that filled out the survey	55
N° of Jurisdictions that evaluated the WIW Campaign	34
Webinars, classes, talks, lectures, town meetings, seminars, and conferences	22,958, reaching 36 million people
Guided tours, visits, and similar activities	2,282, reaching 6 million people
Press releases and newspaper articles	13,581, reaching 228 million people
Interviews, TV shows, and radio programs	644, reaching 251 million people
Books, booklets, leaflets, flyers, and other publications	1,730,251, with print run of almost 20 million copies
Games, software, apps, and other electronic materials	3,062, with 133 million downloads
Website hits	235 million
Page views	260 million
Total number of visits	235 million (10 million different visitors)
Organizations	16,092
Overall level of investor satisfaction	100% (50% very satisfied and 50% satisfied)
Are you interested in participating in the WIW 2021 edition?	100% (All jurisdictions that filled out the survey said “Yes”)
Did your organization make any segmentation of the targeted audience during the WIW?	76% “Yes” and 24% “No”
International organizations/Forums	10 (CFA Institute, FPSB, GFLEC, IFIE, IFIE CWG, IFRS Foundation, IOSCO Asia Pacific Hub, UASA, WFE and EFAMA).

SUPPORTERS

WIW 2020 continues attracting more supporters. As in the previous editions, major multilateral organizations supported and endorsed WIW 2020. That was the case of the G20, the World Bank Group, the Organization for Economic Cooperation and Development (OECD), the Inter-American Development Bank (IDB), the Sustainable Stock Exchange Initiative (SSE Initiative) and the International Network of Financial Services Ombudsman Schemes (the INFO Network). In addition, ten regional and global organizations participated in WIW 2020, namely, CFA Institute, Financial Planning Standards Board, Global Financial Literacy Excellence Center (GFLEC), International Forum for Investor Education (IFIE), IFIE Americas Caribbean Working Group, IFRS Foundation, IOSCO Asia Pacific Hub, Union of Arab Securities Authorities (UASA), the World Federation of Exchanges and European Fund and Asset Management Association (EFAMA).

G20

The Group of Twenty (G20) is the premier forum for its members' international economic cooperation and decision-making. Its membership comprises 19 countries plus the European Union. G20 leaders meet annually. In addition, Finance Ministers and Central Bank Governors meet regularly during the year to discuss ways to strengthen the global economy, reform international financial institutions improve financial regulation and implement the key economic reforms that are needed in each member economy.

World Bank

The World Bank is a vital source of financial and technical assistance to developing countries around the world. It is not a bank in the ordinary sense but a unique partnership to reduce poverty and support development. The bank provides low-interest loans, zero to low-interest credits, and grants to developing countries. These support a wide array of investments in such areas as education, health, public administration, infrastructure, financial and private sector development, agriculture, and environmental and natural resource management. Some of its projects are co-financed with governments, other multilateral institutions, commercial banks, export credit agencies, and private sector investors.

OECD

The mission of the Organization for Economic Co-operation and Development (OECD) is to promote policies that will improve the economic and social well-being of people around the world. The OECD provides a forum in which governments can work together to share experiences and seek solutions to common problems. It works with governments to understand what drives economic, social and environmental change. Currently, the OECD is focused on helping governments around the world to restore confidence in markets and in the institutions behind them; return public finances to health as a basis for future sustainable economic growth; foster and support new drivers of growth through innovation, environmentally friendly 'green growth' strategies and the development of emerging economies; and assist people of all ages to develop the skills to work productively and enthusiastically in the jobs of tomorrow.

IDB

The Inter-American Development Bank works to improve the lives of people in Latin America and the Caribbean.

Through financial and technical support for countries working to reduce poverty and inequality, it helps improve health and education, and advance infrastructure. IDB's aim is to achieve development in a sustainable, climate-friendly way. The Bank's current focus areas include three development challenges – social inclusion and inequality, productivity and innovation, and economic integration – and three cross-cutting issues – gender equality and diversity, climate change and environmental sustainability, and institutional capacity and the rule of law.

SSE

The Sustainable Stock Exchange is a UN Partnership Program of the UN Conference on Trade and Development (UNCTAD), the UN Global Compact, the UN Environment Program Finance Initiative (UNEP FI), and the Principles for Responsible Investment (PRI). Launched in 2009 by the UN Secretary General, it convenes Partner Exchanges from around the world who join the SSE by signing a voluntary public commitment. SSE has a vision of a world where capital market signals align with public policy goals on sustainable development.

Its mission is to build the capacity of stock exchanges and securities market regulators to promote responsible investment in sustainable development and advance corporate performance on environmental, social and governance issues.

INFO Network

The International Network of Financial Services Ombudsman Schemes (the INFO Network) is the worldwide association for financial services ombudsmen — independent and impartial out-of-court schemes and offices that resolve complaints brought by consumers (and, in some cases, small businesses) against banks, insurers and/or other financial services providers. Formalized in 2007, the INFO Network facilitates co-operation among its members to build expertise in external dispute resolution by exchanging experiences and information in areas such as scheme/office structures, functions and governance models; scheme/office codes of conduct; use of information technology; handling of systemic issues; cross border referral of complaints; and staff training and continuing education.

CFA Institute

CFA Institute is the premier global association for investment management professionals. It stands for creating an environment where investors' interests come first, markets function at their best, and economies grow. The mission of CFA Institute is served by generating value for core investment management professionals and engaging with the core investment management industry to advance ethics, market integrity, and professional standards of practice, which collectively contributes value to society.

CFA Institute seeks to set professional standards for investment management practitioners and broadly engage other finance professionals through their interest and interactions with the investment management industry. Improving outcomes for investors advances our social mission and benefits members through greater demand for educated and ethical investment management professionals.

GFLEC

The Global Financial Literacy Excellence Center (GFLEC) envisions a world in which individuals have the financial knowledge they need to fully participate in the economy and build secure futures. In working toward that vision,

GFLEC has positioned itself as the world's leading incubator for financial literacy research, policy, and solutions.

GFLEC launched in 2011 at the George Washington University School of Business in Washington, D.C. Since then, it has pioneered breakthrough tools to measure financial literacy, developed and advised on educational programs, and crafted policy guidelines aimed at advancing financial knowledge in the United States and around the globe.

IFIE

The International Forum for Investor Education — IFIE — is a unique alliance chartered in 2005 that brings together private sector and public sector providers of investor education to improve the effectiveness of investor education programs around the world. The Forum serves as a clearinghouse for the exchange of information and ideas among providers of investor education from different jurisdictions, including organizations that are just developing or planning to develop investor education programs.

IFRS

The IFRS Foundation is a not-for-profit, public interest organization established to develop a single set of high-quality, understandable, enforceable and globally accepted accounting standards—IFRS Standards—and to promote and facilitate adoption of the standards. IFRS Standards are set by the IFRS Foundation's standard-setting body, the International Accounting Standards Board.

Accounting standards are a set of principles companies follow when they prepare and publish their financial statements, providing a standardized way of describing the company's financial performance. Publicly accountable companies (those listed on public stock exchanges) and financial institutions are legally required to publish their financial reports in accordance with agreed accounting standards.

World Federation of Exchanges (WFE)

The WFE is the global industry group for exchanges and clearinghouses (CCPs) around the world. It represents over 250 market infrastructures ranging from those that operate the largest financial centers to those that run frontier markets. Founded in 1961, the Federation was set up to contribute to “the development, support and promotion of organized and regulated securities markets in order to meet the needs of the world's capital markets in the best interests of their users.”

Members of WFE include over 50 distinct CCP clearing services including stand-alone CCPs. WFE exchanges are home to nearly 48,000 listed companies, and the market capitalization of these entities is over \$70.2 trillion; around \$95 trillion in trading annually passes through the infrastructures WFE members safeguard. The WFE seeks to ensure regulatory outcomes that foster well-functioning capital markets and reinforce systemic stability in addition to developing markets.

HOW TO PARTICIPATE

WIW 2021 will take place during the week of 4th October, with participating jurisdictions having the flexibility to organize their activities around this week or any other week in October and November, in order to accommodate to the contextual challenges of the global pandemic, as in 2020. Organizations interested in joining WIW can contact the national coordinator in their jurisdiction. A full list of participating jurisdictions and the respective leading organization is available online at <http://www.worldinvestorweek.org/participants.html>.

A website for WIW (www.worldinvestorweek.org) contains useful information for participating jurisdictions and supporting organizations, including press releases and the WIW Implementation Guide.

ABOUT IOSCO

The International Organization of Securities Commissions (IOSCO) is the international body that brings together the world's securities regulators and is recognized as the global standard setter for the securities sector. IOSCO develops, implements and promotes adherence to internationally recognized standards for securities regulation. It works intensively with the G20 and the Financial Stability Board (FSB) on the global regulatory reform agenda. IOSCO members have resolved:

- to cooperate in developing, implementing and promoting adherence to internationally recognized and consistent standards of regulation, oversight and enforcement in order to protect investors, maintain fair, efficient and transparent markets, and seek to address systemic risks;
- to enhance investor protection and promote investor confidence in the integrity of securities markets, through strengthened information exchange and cooperation in enforcement against misconduct and in supervision of markets and market intermediaries; and
- to exchange information at both global and regional levels on their respective experiences in order to assist the development of markets, strengthen market infrastructure and implement appropriate regulation.

IOSCO believes the need for investor education and financial literacy has never been greater than today. As the financial marketplace continues to evolve and innovate, investment products are becoming increasingly complex and financial services increasingly diverse. Retail investors require a greater understanding of key financial concepts to comprehend and evaluate the choices available to them and to avoid financial fraud. Strengthening investor education and financial literacy programs also is essential at a time when responsibility for saving and investing for retirement is shifting from the employer to the individual in many jurisdictions.

In response to these challenges, the IOSCO Board approved the establishment of the Committee 8 on Retail Investors in June 2013. The Committee's primary mandate is to conduct IOSCO's policy work on retail investor education and financial literacy. Its secondary mandate is to advise the IOSCO Board on emerging retail investor protection matters and conduct investor protection policy work as directed by the IOSCO Board. Annex I shows the C8 structure.

WIW is an IOSCO initiative coordinated by Committee 8 with the support of IOSCO's General Secretariat. Committee 8 established a WIW Working Group³ to take the project forward, including through regional coordinators.

³ Jose Vasco (CVM Brazil) is the WIW WG Coordinator. Members of the WIW Working Group include: AMF (Québec), ASIC (Australia), ASF (Romania), BaFin (Germany), Bank of Russia (Russia), CFTC (US), CMA (Saudi Arabia), CMC (Angola), CNBV (Mexico), CNMV (Spain), CNV (Argentina), CONSOB (Italy), CSRC (China), FSA (Japan), IFSA (Indonesia), ISA (Israel), US FINRA, JFSC (Jersey), JSDA (Japan), SC (Malaysia), SEC (US) and SFC (Hong Kong), with the support of the IOSCO General Secretariat.

CONTACT US:

<http://www.worldinvestorweek.org/contact.php>

REPORTS OF JURISDICTION

ANGOLA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Comissão do Mercado de Capitais (CMC)

Other IOSCO members: Comissão de Valores Mobiliários (CVM Brasil), Central Bank of Cape Verde, Bank of Mozambique

Other participants: Kamba Rico, Tribo Kumoxi, Bantu Makers, Usekamba, Kitady Detox Financeiro

The Capital Markets Commission promoted the 2020 WIW initiative, from the 5th to the 9th October of 2020, invested in its IV participation.

As a recent member of the IOSCO Committee 8 (C8), CMC was invited to become Regional Coordinator for Africa, with the purpose of promoting the "World Investor Week" among other African Regulatory Authorities. By being the regional coordinator for Africa, we have established contacts with the regulatory authorities and countries like Morocco, Tunisia, Egypt, Zambia, Mozambique, Tanzania and Ghana, have shared their reports.

For our own in country activities, we've counted with the participation of Comissão de Valores Mobiliários from Brazil, Central Bank of Cape Verde, Central Bank of Mozambique, as a driving party for the partnerships achieved in the region.

The initiative took place virtually using information and communication technologies due to the current global pandemic of Covid-19. Bellow are listed the main activities:

- Interviews in radio, television and newspapers;
- Holding of Radio and TV debates;
- Publishing of Opinion Articles in Newspapers;
- *Webinars*;
- Children's Contest on "What to do with my allowance? How to invest";
- Conducting a survey on "The Level of Financial Literacy and the Profile of the Investor" nationwide;
- Disclosure of educational and financial videos on television;
- Advertising in collective public transports;
- Dissemination of flyers on social networks;
- Dissemination of WIW content on digital platforms;
- Display of IOSCO messages and dissemination of content about the 2020 WIW, in the Angolan Media Networks (ReMA);
- Use of WIW subscription in institutional e-mail; and

- Coordination of WIW 2020 activities in the region in Africa, in accordance with the IOSCO guideline.

❖ Article Publication

A joint written article by CVM Brazil and CMC Angola was published in two local Newspapers, *Mercado* and *Expansão*: "From Education to Financial Inclusion: Pathways to Brazil and Angola" and the "Investor's ABC" an initiative of the CMC.

Table no. 2

Nº	Topic	Authors	Channel
1	From Education to Financial Inclusion: Pathways to Brazil and Angola	CMC and CVM	Mercado Newspaper
2	"Investor's ABC"	Dilson Gaspar, Subdirector of the CMC Market Development Office	Expansão Newspaper; Mercado Newspaper

❖ Children's Contest

The Children's Contest with the theme "**What I do with my allowance and how to invest it**" was held via CMC's social networks and was attended by children with ages between, 7 and 12 years old.

- The winner, Almedina de Almeida (8 years old), was awarded with a finance course, which will allow her to become familiar with topics such as savings, income vs. expenditure, essential vs. superfluous goods and investment, to take place at CMC on a date to be indicated;
- 2nd runner-up, Carla Francisco (10 years old), was awarded a Monopoly Game;
- All 12 children have received a Certificate of Participation.



❖ Webinars

The webinars, directed at a diverse target audience, was the highest point of 2020 WIW activities, as it attracted a considerable audience, taking into account the accession, as shown on the table below, with the main aim of providing greater knowledge of financial education issues.

N.º	Topic	Panelists	Audience
1	Conversations on finance	Moderator Edna Mascarenhas – Executive Director CMC Panelist Maria Uini Baptista, CMC Chairwoman; Edna Kambinda Executive Director. CMC; Juciene Cristiano - MINFIN National Director of Public Investment, Ana Regina Victor – BAIGEST Chairwoman; Neusa Pinto - Founder of the Kumoxi Tribe; Márcia Coelho - Founder of Kamba Rico; Julay Morais - Risk Manager	Youtube: 1601 Facebook: 20
2	Finance for all (Financial inclusion)	Moderator Portugal - Ricardo Lopes - Journalist Panelists Angola - Vasco Januário – CMC GEE Director; Brasil - Rodrigo Rasga – FGVP Professor; Portugal - Fernando Costa Lima - Adm. Non-Executive Director at Banco Millennium BCP; Mozambique - Arlindo Lombe – Director of Dpt. of Financial Inclusion of the Bank of Mozambique; Angola- Luzolo de Carvalho - CNEF Executive Secretary; Cape Verde - Pedro da Rocha - AGMVM Auditor General & João Chantre - Executive Director da AGMVM; Angola - Daniel Sapateiro	Youtube: 721 Facebook: 13
3	Financial Education for those investing in the SM - perspectives and opportunities	Moderator Angola - Johny Soki GEE Subdirector - CMC Panelists Brazil - Cláudio Maes - Market Development Superintendence - CVM; Angola - Luzolo Miguel - Financial Adviser (Kamba do Kitadi); Angola - Lucia Salazar - Investment Banking Manager - Standard Bank Angola; Angola - Francisco Garcia dos Santos - CEO BNI Asset Management; Angola - Nivaldo Matias – BODIVA	Youtube: 1290 Facebook: 20

4	Collaborative financing: advantages and challenges	<p>Moderator Juceline Paquete – GDM Officer– CMC</p> <p>Panelist Nádia Ribeiro Start-ups Incubator (Founders Institute); Leonildo Manuel – Lecturer at Agostinho Neto University; Edgar Quessongo GJC Director - CMC; Inene Santos - Consultant and Business Mentor; Vanda Oliveira – Co-funder of Bantu Makers; Diandra Vaal Neto - Entrepreneurs' Club</p>	<p>Youtube: 424 Facebook: 30</p>
5	Growth of the CIS Industry	<p>Moderator Divaldo Silva – DSOIC Director – CMC</p> <p>Panelist Angola - Francisco Brito Executive Director of BAIGEST, SGOIC, S.A; Angola - Rui Oliveira CEO BFA GA; Angola - Samuel Franco – Executive Director of Eaglestone Capital SGOIC, S.A; Brasil - Professor Luiz António de Souza</p>	<p>Youtube: 468 Facebook: 13</p>
6	The Future of Finance with Fintechs and Cryptoactives	<p>Moderator Angola - Dilson Gaspar Subdirector GDM – CMC</p> <p>Panelists Angola - Marcelo Barreto – IT Consultant; Angola -Airton Lucas - Macro Tax Analyst - Founder of Usekamba; Angola - Morato Custódio - Consultant and Digital Project Mentor; Brasil – Isac Costa - CVM; Mozambique - Arlindo Lombe - Director of Financial Inclusion Department, Bank of Mozambique; Cape Verde - Jair Silva – SISP General Director & Antão Chantre – Executive Director <i>Caixa Económica</i> Bank of Cape Verde</p>	<p>Youtube: 564 Facebook: 10</p>
7	Investor: Financial Education and its Protection	<p>Moderator Angola - Aldemiro Gonçalves – Chair Advisor – CMC</p> <p>Panelists Angola - Djamila Pinto de Andrade - Lawyer - <i>Lead Advogados</i>; Brazil - Carlos Margalho - CVM; Portugal - Paulo Câmara - <i>Servulo & Associados</i> Managing Partner; Mozambique - Fátima Cordeiro - Bank of Mozambique, Prudencial Supervision Dep., Mozambique - Elsa Leal - Financial Education Mozambique Stock Exchange</p>	<p>Youtube: 333 Facebook: 17</p>

SEMANA MUNDIAL DO INVESTIDOR



WEBINAR
SEMANA MUNDIAL DO INVESTIDOR

CONVERSAS SOBRE FINANÇAS

05 | 10 | 2020
10:00 HORAS

CONTEÚDO: [https://www.cmc.com.ao/pt/financas](#)

WEBINAR
SEMANA MUNDIAL DO INVESTIDOR

FINANÇAS PARA TODOS INCLUSÃO FINANCEIRA

05 | 10 | 2020
14:00 HORAS

CONTEÚDO: [https://www.cmc.com.ao/pt/financas](#)

WEBINAR
SEMANA MUNDIAL DO INVESTIDOR

EDUCAÇÃO FINANCEIRA PARA QUEM INVESTE NO MERCADO DE VALORES MOBILIÁRIOS PERSPECTIVAS E OPORTUNIDADES

06 | 10 | 2020
14:00 HORAS

CONTEÚDO: [https://www.cmc.com.ao/pt/financas](#)

WEBINAR
SEMANA MUNDIAL DO INVESTIDOR

FINANCIAMENTO COLABORATIVO: VANTAGENS E DESAFIOS

07 | 10 | 2020
11:00 HORAS

CONTEÚDO: [https://www.cmc.com.ao/pt/financas](#)

WEBINAR
SEMANA MUNDIAL DO INVESTIDOR

"O CRESCIMENTO DA INDÚSTRIA DOS OIC"

07 | 10 | 2020
16:00 HORAS

CONTEÚDO: [https://www.cmc.com.ao/pt/financas](#)

WEBINAR
SEMANA MUNDIAL DO INVESTIDOR

O FUTURO DAS FINANÇAS COM AS FINTECHS E CRIPTOACTIVOS

08 | 10 | 2020
16:00 HORAS

CONTEÚDO: [https://www.cmc.com.ao/pt/financas](#)

WEBINAR
SEMANA MUNDIAL DO INVESTIDOR

"O INVESTIDOR: EDUCAÇÃO FINANCEIRA E SUA PROTEÇÃO"

09 | 10 | 2020
16:00 HORAS

CONTEÚDO: [https://www.cmc.com.ao/pt/financas](#)

A CMC promove um ciclo de webinars nos dias 5 a 9 de Outubro de 2020.

Acompanhe nas redes sociais da CMC.




❖ Institutional Partners

- Ministry of Finance (MINFIN);
- Ministry of Telecommunications, Information Technology and Media (MINTTICS);
- Rede de Mediatecas de Angola (ReMa);
- Banco Nacional de Angola (BNA);
- Angolan Insurance Regulatory and Supervisory Agency (ARSEG);
- National Council for Financial Stability (CNEF);
- Angolan Debt and Securities Exchange (BODIVA);
- Institute for Management of Assets and Government Securities (IGAPE);
- BAIGEST;
- BFA GA.

CONCLUSIONS

We conclude that the 2020 WIW was a very successful participation considering the constraints caused by COVID – 19. The activities had a positive impact, since allowed the dissemination of economic and financial content, for young children, students, investors, potential investors and public in general.

The initiative provided access to key information on the securities market that would probably not have been possible otherwise. Angola, marks its 4th consecutive participation in this major event.

ARGENTINA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Comisión Nacional de Valores (República Argentina)

Other participants: Ministry of Economy, Ministry of Women, Gender and Diversity, municipal governments, Exchanges, brokers, rating agencies, capital markets participants and their representative chambers, professional associations, foundations, secondary schools, universities.

The Comisión Nacional de Valores (CNV) of Argentina has celebrated World Investor Week ("Semana Mundial de las Inversoras y los Inversores 2020") from October 26th to 30th, with special emphasis on financial literacy, greater financial inclusion and gender equality on capital markets.



WIW in Argentina was this year supported by more than 45 public and private sector organizations, as well as educational institutions and other civil society entities, who participated through the various activities of the program. For the first time, due to the pandemic and the epidemiological context, all activities were carried out online, which made possible to broaden the number of attendees throughout the whole country.

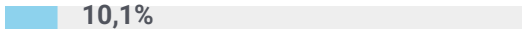
The different presentations and activities had the firm purpose of continuing to develop and expand capital markets through financial education and protection of retail investors, taking into account a gender perspective, targeting the general public as well as more sophisticated investors.

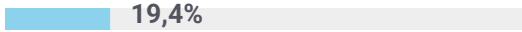
The event's promotional activities were focused on the exclusive website created for this purpose (<http://www.cnv.gov.ar/semanamundialdelinversor>) and its social media pages (Instagram and Twitter).

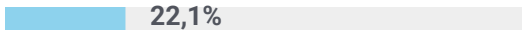
Thirty-two activities were held on-live and recorded, during the whole week, many of which remain available on public access video platforms (Youtube).

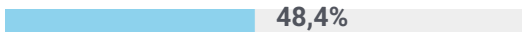
The official opening, on October 26th, was hosted by CNV Vice President Mónica Erpen accompanied by Ministry of Economy and Ministry of Women, Gender and Diversity representatives and dealt with challenges of financial inclusion in our society and the relevance of public policies intended to promote financial education and to reduce gender gaps. The following capital markets statistics were reported:



  31% Women shareholders (over 92 public offering companies)

 10,1% < 35 yrs

 19,4% > 35 < 45 yrs

 22,1% > 45 < 55 yrs

 48,4% > 55 yrs

  50% Public offering companies have "at least one" woman on their boards

  60.1% of investment professionals registered with the CNV (over 11.900 people) are men

In the course of the week, several online lectures took place covering a wide range of other topics such as SMEs in capital markets, personal finance and investor education, digitalization and sustainable finance.

In fact, on Friday 30th, CNV Board Director Matías Isasa participated in the Sustainable Finance panel with Bolsas y Mercados Argentinos S.A. (ByMA), announcing the commitment assumed by the CNV in the promotion and development of sustainable finance, reviewing steps taken by authorities to encourage sustainability bonds' issuance with environmental and social benefits.

At the closing ceremony, CNV's President, Adrián Cosentino, and Vice President, Mónica Erpen, held an online roundtable discussion with different exchanges' chairmen.

Photo gallery:

Official Opening act



Some events' flyers

Ecosistema sostenible: la mirada de los actores

Viernes 30 OCT - 12 hs

EXPOSITORES:



Mariano Sardi
Subsecretario de Servicios
Financieros en Secretaría
de Finanzas



Matías Isasa
Director de la
Comisión Nacional de
Valores (CNV)



Julieta Artal
Responsable Gobierno
Corporativo y
Sustentabilidad de BYMA



Liliana Forciniti
Gerencia Técnica de la
Bolsa de Comercio de
Buenos Aires (BCBA)



Milagros Grande
Gerente de Finanzas
Corporativas de Central
Puerto S.A.



Diego Flaiban
Jefe de Instituciones
Financieras para Cono Sur,
BID Invest

ACTO DE CLAUSURA

VIERNES
30 DE OCTUBRE
16 HS

Semana Mundial de las Inversoras y los Inversores

HACIA UN MERCADO DE CAPITALES INCLUSIVO Y FEDERAL

Adrián Cosentino y Mónica Erpen
dialogan con los presidentes de los mercados

PANELISTAS:

- *Adrián Cosentino, presidente de la CNV*
- *Mónica Erpen, vicepresidente de la CNV*
- *Alberto Curado, presidente del MAV*
- *Marcos Prieto, presidente del MAE*
- *Ernesto Allaria, presidente de ByMA*
- *Alejandro Berney, CEO de ByMA*
- *Andrés Ponte, presidente de MATBA-ROFEX*

Some online activities

Pymes en nuestro Mercado de Capitales. Dificultades, oportunidades y desafíos. Herramientas financieras para las empresas y los emprendedores

Martes 27 de octubre. - 11:00 hs

Exposición
 Dr. C.P. Raúl Roque Di Lorenzo
 Dra. C.P. Estela María Lettieri
 Dra. L.E. Débora Estela Molion
 Dr. L.E. Francisco Abramovich
 Dr. L.E. Germán Plessner
 C.P. - C.F.A. Alejandro Bianchi

Coordinación
 Dr. C.P. Gustavo Norberto Tapia

consejo 75 ANOS
 Profesional de Ciencias Económicas
 en la Ciudad Autónoma de Buenos Aires
 GESTIÓN Y FUTURO

BYMADATA Búsqueda de activos

RENTA VARIABLE | RENTA FIDA | DERIVADOS | PROFUNDIDAD DE MERCADO | FCI | TASAS Y DIVISAS | NOTICIAS Y EVENTOS

Caucioner y operaciones a plazo

Precios - Cauciones

Tip. Liq.	Plazo	Vto.	Tasa Uff.	Cant. Oper.	Montro. Cdo.	M. Torn.	T. Torn.	T. Col.	M. Col.	Vx
ARS	1	16/10/2020	23.70 %	4.433.000	91.800.975.863,27	0,00	0,00 %	0,00 %	0,00	
USD	1	16/10/2020	0,35 %	51,00	1.987.339,28	0,00	0,00 %	0,00 %	0,00	
ARS	4	19/10/2020	24,25 %	723,00	533.589.644,59	0,00	0,00 %	0,00 %	0,00	
ARS	5	30/10/2020	30,50 %	254,00	144.948.194,38	0,00	0,00 %	0,00 %	0,00	
ARS	6	29/10/2020	26,00 %	340,00	19.724.918,96	0,00	0,00 %	0,00 %	0,00	
ARS	7	22/10/2020	26,00 %	2.047,00	2.379.934.977,97	0,00	0,00 %	0,00 %	0,00	
USD	7	23/10/2020	0,04 %	67,00	3.630.578,54	0,00	0,00 %	0,00 %	0,00	
ARS	8	23/10/2020	28,30 %	43,00	20.028.379,41	0,00	0,00 %	0,00 %	0,00	0,28342
ARS	11	26/10/2020	28,00 %	17,00	1488.152,76	0,00	0,00 %	0,00 %	0,00	
ARS	12	29/10/2020	25,00 %	9,00	88113,34	0,00	0,00 %	0,00 %	0,00	
USD	12	29/10/2020	0,20 %	3,00	253,03	0,00	0,00 %	0,00 %	0,00	
ARS	13	28/10/2020	30,00 %	15,00	1074.977,22	0,00	0,00 %	0,00 %	0,00	
ARS	14	28/10/2020	31,50 %	138,00	378.866.749,38	0,00	0,00 %	0,00 %	0,00	
ARS	15	30/10/2020	30,00 %	40,00	8.307.890,03	0,00	0,00 %	0,00 %	0,00	
ARS	18	03/11/2020	12,00 %	23,00	13.457.200,00	0,00	0,00 %	0,00 %	0,00	
ARS	21	06/11/2020	30,00 %	7,00	1380.238,21	0,00	0,00 %	0,00 %	0,00	
ARS	22	06/11/2020	33,00 %	1,00	764.374,00	0,00	0,00 %	0,00 %	0,00	
ARS	25	09/11/2020	33,00 %	2,00	18.600,00	0,00	0,00 %	0,00 %	0,00	
ARS	26	10/11/2020	29,00 %	4,00	682.377,00	0,00	0,00 %	0,00 %	0,00	
26 total										

Plazos por Lote

UNIVERSIDAD TORCUATO DI TELLA

Información para la toma de decisiones de inversión

Mírala en nuestro canal



Fundación Bolsa de Comercio de Buenos Aires **UCEMA** UNIVERSIDAD DEL CEMA

27/OCT | 13 h.
Aprendiendo finanzas desde la ficción

AUSTRALIA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Australian Securities and Investments Commission (ASIC)

Other IOSCO members: Nil

Other participants: Australian Stock Exchange

Summary

This year, ASIC ran World Investor Week between Monday 26 October and Friday 30 October 2020. The following report summarises the activities we undertook and their performance.

Campaign messaging

We ran four campaigns throughout World Investor Week. Overall they reached 339,430 Twitter users with 4,382 engagements. This represents an average engagement rate of 1.29%.

Content page	Reach (Impressions)	Engagements	Engagement Rate	Cost per Engagement
Investment scams	63,041	894	1.42%	\$0.22
Starting to invest	81,462	880	1.08%	\$0.23
Financial advisers register	96,989	1,307	1.35%	\$0.23
Managed funds fee calculator	97,938	1,301	1.33%	\$0.23

Organic messaging

Throughout the week we shared a number of posts linking to our Moneysmart website investing content. These posts reached 47,239 people on Twitter and had 188 engagements (average engagement rate of 0.4%).

Content page	Reach (Impressions)	Engagements	Engagement Rate
Financial advisers register (2 posts)	9,011	41	0.5%
Managed funds fee calculator	4,252	19	0.4%
Starting to invest	4,586	20	0.4%
Develop an investing plan (2 posts)	8,623	24	0.3%
Diversification (2 posts)	9,585	43	0.5%
Investment scams	5,947	25	0.4%
COVID-19 Super and shares	5,235	16	0.3%

Designed posts

We worked with the Design team to produce visual posts, capturing data that would engage industry and stakeholders. The Australian Stock Exchange (ASX) promoted our messages that highlighted findings from their Investor study.

These posts achieved an overall impressions count of 26,912 and 387 engagements.

Content page	Reach (Impressions)	Engagements	Engagement Rate
Young investors seeking information from YouTube (ASX data)	5,648	115	2.0%
Compound interest (Moneysmart insight)	5,561	87	1.6%
Investment challenges by age group (ASX)	5,437	69	1.3%
Investment decisions (ASX)	5,098	63	1.2%
Female investors (ASX)	5,168	53	1.0%

BAHAMAS

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Securities Commission of The Bahamas

Other IOSCO members: N/A

Other participants: N/A

The Securities Commission of The Bahamas' (SCB) World Investor Week 2020 (WIW) activities focused on sound investing. SCB continued its efforts to ensure that retail investors are readily able to identify and avoid fraud and scams. This messaging was particularly timely with the global rise in investing fraud and scams, during the COVID-19 pandemic. Additionally, SCB focused activities on educating the public on the entrepreneurial and investing opportunities created by the Digital Assets and Registered Exchanges Act, 2020. SCB celebrated World Investor Week from October through December 2020.

Proclamation from Prime Minister the Most Hon. Dr. Hubert A. Minnis declaring the month October 2020 "Investor Education Month" in The Bahamas

Prime Minister the Most Hon. Dr. Hubert A. Minnis declared the month of October "Investor Education Month". In the light of Hurricane Dorian, the 2019 Category 5 hurricane that decimated two of The Bahamas' main income generating islands, and the economic devastation due to the ongoing COVID-19 pandemic, this declaration "reinforced the need for citizens and the community to undertake measures to ensure their financial resilience" and the importance of investor education in rebounding and growing the economy. The proclamation was placed in leading local newspapers with national circulation. The proclamation was also used as a Facebook post.

Securities Commission Celebrates World Investor Week 2020 (Press release)

SCB disseminated a press release detailing its calendar of activities for WIW. The press release was carried by local news outlets and was posted to SCB's website and Facebook page.

Webinars

Be a Smart Investor – Protect Yourself from Frauds and Scams was held on 9 October 2020. The webinar informed investors about investment and securities frauds and scams. This included an overview of how these schemes are structured, typical warning signs, and SCB's role and functions to protect investors from these schemes. The Commission was joined by Chief Superintendent of Police, Matthew Edgecombe, who spoke to financial crimes in The Bahamas.

Registrants for the webinar included 292 unique email addresses of which 136 signed on to the webinar. This excludes the host, panellists, and the production support team. Participants logged on from the following countries: The Bahamas, Barbados, Canada, Curacao, Jamaica, United Kingdom and United States of America.

DARE to Innovate: Fintech Opportunities and the Role of Responsible Regulation was held virtually on 28 October 2020. The webinar provided an overview of the legislation that will govern digital assets and exchanges, emergent technologies and the establishment of a virtual assets authority. This included the entrepreneurial opportunities the legislation provides for, its anti-money laundering provisions, investor protection features, registration processes and other requirements to conduct virtual assets business. The webinar targeted both potential participants in the digital/virtual assets business and potential investors. The webinar opened with remarks from the Hon K Peter Turnquest Deputy Prime Minister and Minister of Finance, and remarks for Attorney General the Hon Carl Bethel, QC. were included with the recorded version of the webinar.

Registrants for the webinar included 488 unique email addresses of which 262 signed on to the webinar. This figure excludes the host, panellists, and the production support team. Participants logged on from the following countries: Andorra, Argentina, The Bahamas, Barbados, Bermuda, Canada, Cayman Islands, Colombia, Cyprus, Jersey, Trinidad and Tobago, United Kingdom, United States of America and Uruguay.

Both webinars are posted on SCB's website and Facebook page. The following link will direct you to the recordings: <https://youtu.be/acrgU6d4QWw> and https://youtu.be/V7Mj-1_YH8k.



Television and Radio Show Appearances

SCB promoted sound investing messaging, specifically, avoiding fraud and scams, over traditional media to ensure that persons with limited social media engagement were able to consume the messages being disseminated over social media. Additionally, this was done to ensure that persons more at risk of being a victim of fraud and/or scam, such as the elderly, were able to consume the message via their preferred media channels.

On 7 October SCB launched its Crypto curious television ad campaign on "You and Your Money", the country's premier financial advisory television program. <https://vimeo.com/465950075>

On 8 October, Enforcement's Senior Manager and Deputy Manager participated in a radio segment to discuss the common investment frauds seen in The Bahamas, red flags to watch out for and SCB's role in protecting investors. The radio show is broadcasted nationally.

On 30 November, SCB's Executive Director and Enforcement Senior Manager appeared on a segment of a local morning television show to discuss the ways in which the public can protect themselves and others from becoming a victim of pyramid schemes and other financial fraud and scams. The morning show is broadcasted nationally.

On 2 December, SCB sponsored "You and Your Money", the country's premier financial advisory television program. SCB's Executive Director and Enforcement Senior Manager discussed the ways in which persons can engage in sound investing and avoid financial fraud and scams.

The following link will direct you to the "You and Your Money" segment. <https://vimeo.com/tclbahamas/download/486482194/7dadb9e0fb>

Social Media Campaigns

SCB posted daily tips that informed persons on the ways in which they can be "a smart investor". "A smart investor" campaign focused on traditional investor education messaging but also included messaging specific to initial coin offerings, digital assets and investing online. The Commission posted the posts on its Facebook page throughout the month of October. This campaign culminated in a total reach of 8,588.

SCB in tandem with the other members of the Caribbean Working Group of the Americas Chapter of the International Forum of Investor Education launched the "I will" campaign. Daily financial literacy posts were disseminated from SCB's Facebook page encouraging persons to take various actions to be more financially resilient. This campaign culminated in a total reach of 2,090.

Sample posts appear below.



BANGLADESH

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Bangladesh Securities and Exchange Commission (BSEC)

Other IOSCO members:

Other participants:

Bangladesh Securities and Exchange Commission (BSEC) has successfully observed the 'World Investor Week (WIW) 2020' during 03 October – 11 October. A number of associated organizations actively participated in the week-long program. As per IOSCO guideline, the week is observed by using digital platform. As the percentage of retail investors is quite high in comparison with any other capital market of the world, it was quite challenging to reach them through online. BSEC successfully completed the job along with its associated organization.

Mr. A H M Mustafa Kamal, FCA, MP, Minister, Ministry of Finance, Government of People's Republic of Bangladesh was the chief guest of the inaugural webinar of WIW-2020 and he inaugurated the week-long program.

BSEC along with its stakeholders arranged eleven webinars throughout the week. Dhaka Stock Exchange Limited, Chittagong Stock Exchange Limited, Central Depository Bangladesh Limited, Bangladesh Institute of Capital Market (BICM), Bangladesh Association of Publicly Listed Companies (BAPLC), Association of Asset Management Companies and Mutual Funds (AAMCMF), Bangladesh Merchant Bankers Association (BMBA), DSE Brokers Association of Bangladesh (DBA), Venture Capital and StartUp Investment Forum (VCPEAB) organized the webinars. Almost 4500 (Four Thousand Five Hundred) participants were connected to the webinars. It was challenging to organize all meeting, webinars and workshops through online. BSEC effectively arranged and guided its stakeholders in this regard.

Several topics were discussed in the webinars such as

- Investor protection: Impact of COVID-19 on business and related disclosures
- Awareness about dealing with investors, Covid-19 Pandemic: Impact on Investment in Bangladesh and the way forward
- Necessity of Digitization in the Capital Market on Current Pandemic Situation
- Awareness on Saving and Investment for the Student, Making aware the investors about services of Central Depository Bangladesh Limited
- Impact of COVID-19 pandemic on the capital market and the importance of investor education and investor protection, Technology to protect and assist Investors in the Capital Market
- Importance of 'Mutual Fund Industry' in the capital market as well as in the economy of Bangladesh
- Venture Capital and Start up Investment, Broker Services and Investors Right etc.

Separate keynote papers were presented and panel discussions were held during the webinar sessions. A large number of participants actively took part in question answer sessions.

The closing ceremony of the program was held on 11 October 2020 through a webinar. Stakeholders took part in the program and conveyed their thanks to BSEC for making the events successful and felt honored to be a part of the event. Chairman, BSEC also expressed his gratefulness to all who were associated with the week-long program.



BELGIUM

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Financial Services and Markets Authority (FSMA)

Other IOSCO members:

Other participants:

As a vice-chair of IOSCO and member of the IOSCO committee on retail investors, the Financial Services and Markets Authority (FSMA) once again lent its support to this initiative.

The homepage of the FSMA's website displayed the WIW logo during the World Investor Week, and a number of posts were shared on the social media.

The FSMA conducted during World Investor Week an important campaign to raise awareness of investment fraud perpetrated online via social media. The campaign was conducted on Facebook and reached 2.163.723 consumers.

Before launching the campaign, the FSMA has also completely redesigned the anti-fraud [section of its website](#). The section now offers consumers the possibility of checking, via a quick and simple test, whether an investment offer is fraudulent.

On the day of the launch of World Investor Week, the FSMA published a warning against 3 frequent types of fraud (see [The FSMA warns the public against 3 frequent types of fraud](#)). In that warning the FSMA explained that a large majority of reports by consumers received by the FSMA during the first half of 2020 concern fraudulent online trading platforms (27.87%), fake credit offers (17.29%) and fraudulent offers of wealth management and alternative investments (26.38%). These reports constitute 71.54% of all reports of fraud received by the FSMA during the above-mentioned period.

In the month preceding WIW, the FSMA also opened a financial education centre that is unique in its kind: the Wikifin Lab. This is an experience centre that welcomes secondary school groups, free of charge, for a visit that enables students to undergo a truly interactive and fully digital experience of financial education centred on various themes relating to budget management. The Wikifin Lab also draws the attention on investment fraud.

Opening of the Wikifin Lab, in the presence of Her Majesty Queen Mathilde of Belgium

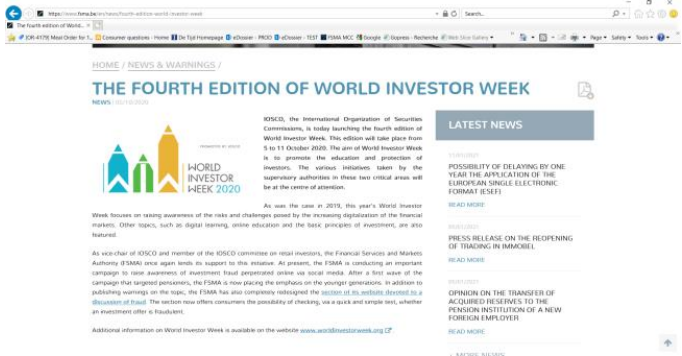
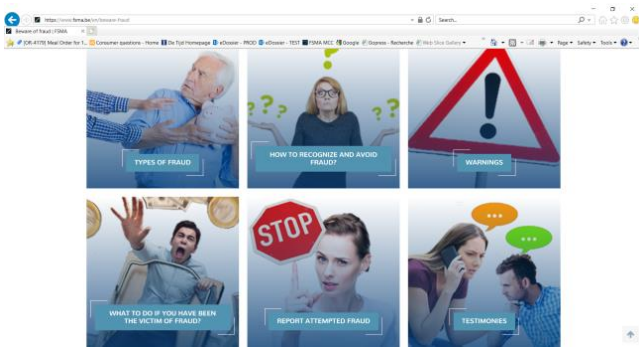


Overview page of the new anti-fraud section of the FSMA-website

A post on Facebook in the framework of the anti fraud campaign during WIW



Press release announcing the start of WIW 2020



BRAZIL

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Comissão de Valores Mobiliários (CVM)

Other IOSCO members:

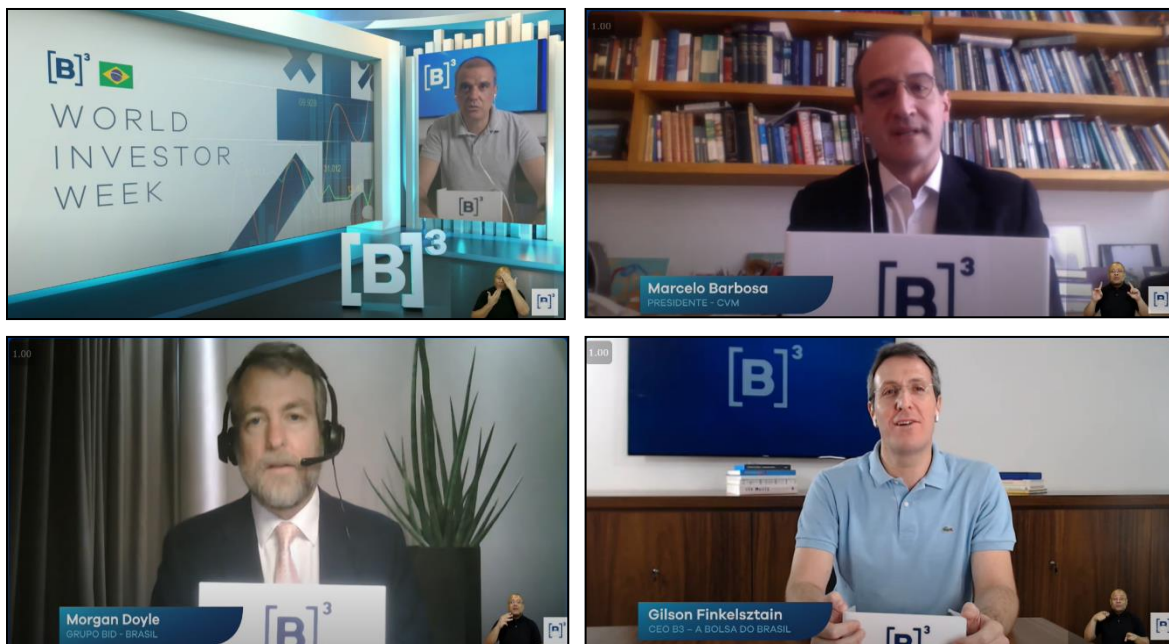
Other participants:

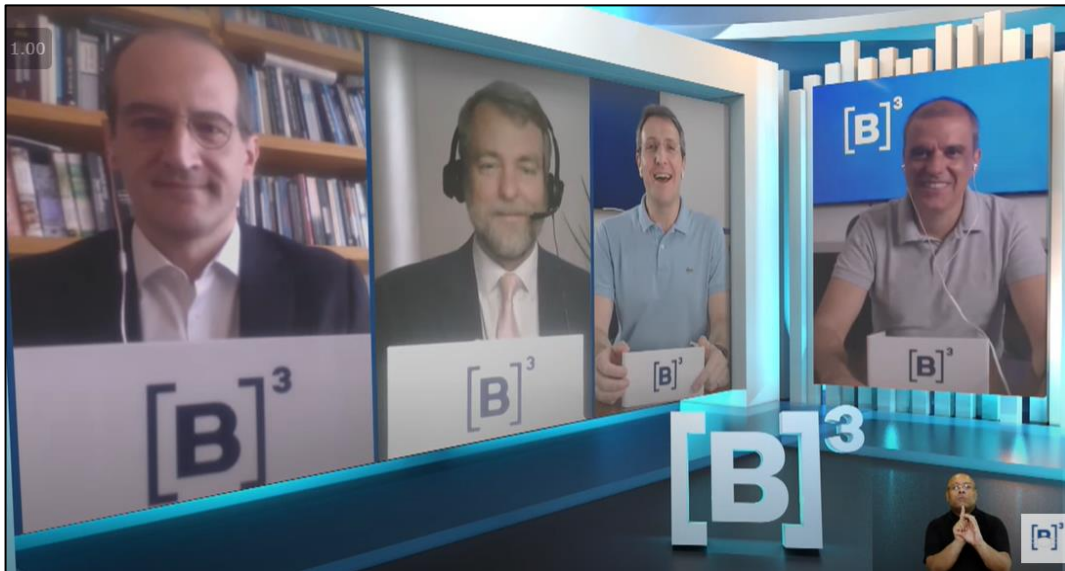
- B3 - Brasil Bolsa Balcão
- BID
- LAB - Financial Innovation Lab
- ANBIMA
- PLANEJAR
- SEBRAE
- SICCOOB

In Brazil, the 2020 edition of World Investor Week (WIW) was promoted by the Brazilian Securities and Exchange Commission (CVM), for the fourth consecutive year, and held from 5 to 11 October.

The initiatives on investor protection and education focused on building financial resilience for a post-Covid world, with initiatives about financial education, investments and capital market regulation for different segments of the population: employees and entrepreneurs; senior investors; young adults and teenagers; and vulnerable groups. In partnership with the financial innovation lab (<http://www.labinovacaofinanceira.com/>), CVM and its partners promoted a series of events focusing on financial innovation and sustainable finance such as tokenization, sandbox, green finance and gender equality in the financial market.

The first WIW event was the opening of the trading session on the stock exchange with the Ring the Bell Ceremony for Financial Literacy in honor of WIW and was attended by the presidents of CVM, the Brazilian Stock Exchange (B3) and the Inter-American Development Bank (IDB). At this event, a memorandum of understanding (MoU) was also announced between the CVM and the IDB for the development of thematic bonds with a socio-environmental focus.





The 2064 initiatives have reached at least 1.2 million people, the vast majority online. The initiatives were distributed as follows:

- 1) 315 events (lives, webinars, lectures, podcasts, radio interviews and training courses);
- 2) 187 financial advisory sessions (one-to-one meeting); and
- 3) 1562 marketing actions (social media posts and email marketing).

The World Investor Week in Brazil was promoted by 46 companies from different segments: regulators, banks, brokers, cooperatives, associations, fintech's, private companies, private entities, universities, schools, public agencies and consultancies.

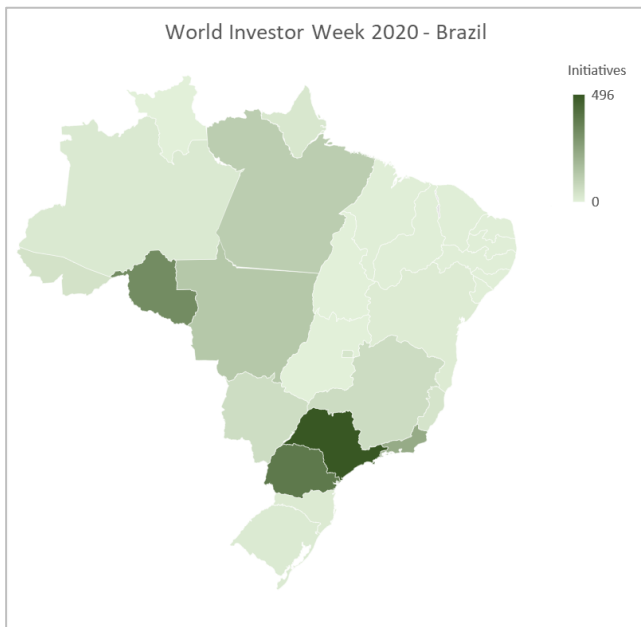


The 315 events held covered 63 different subjects. The most covered topics were:

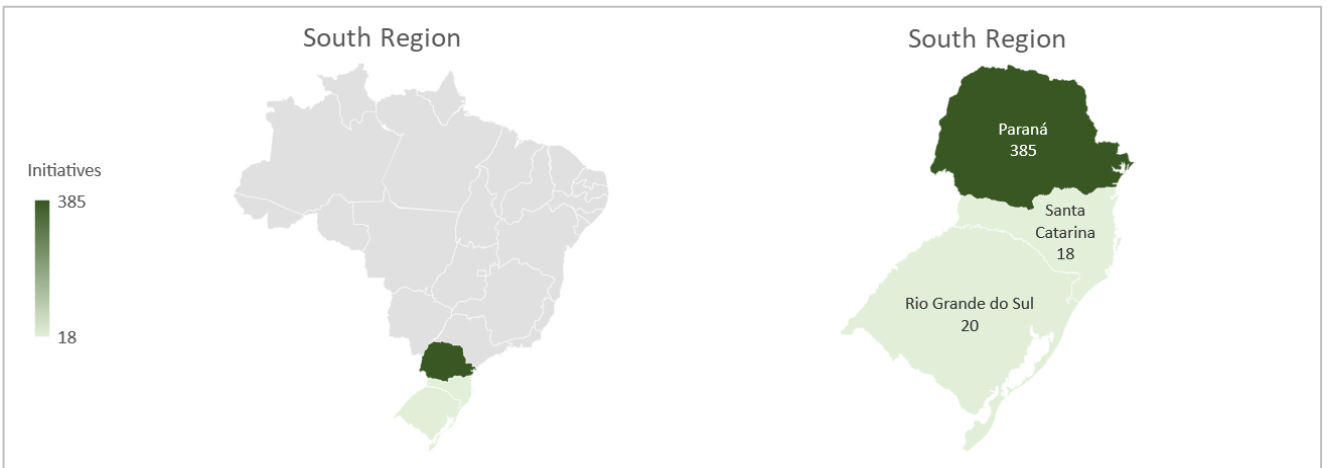
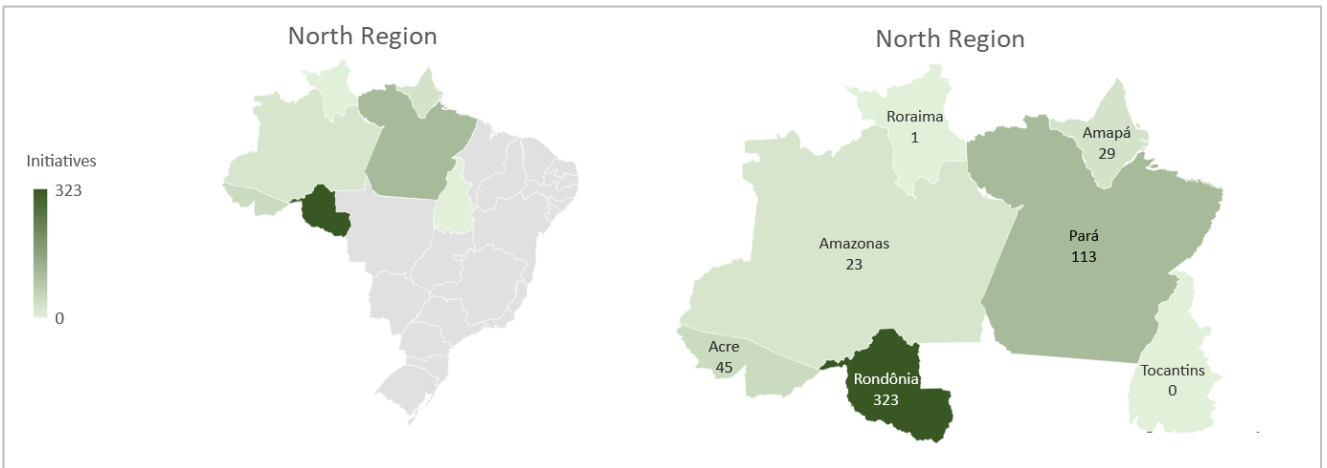
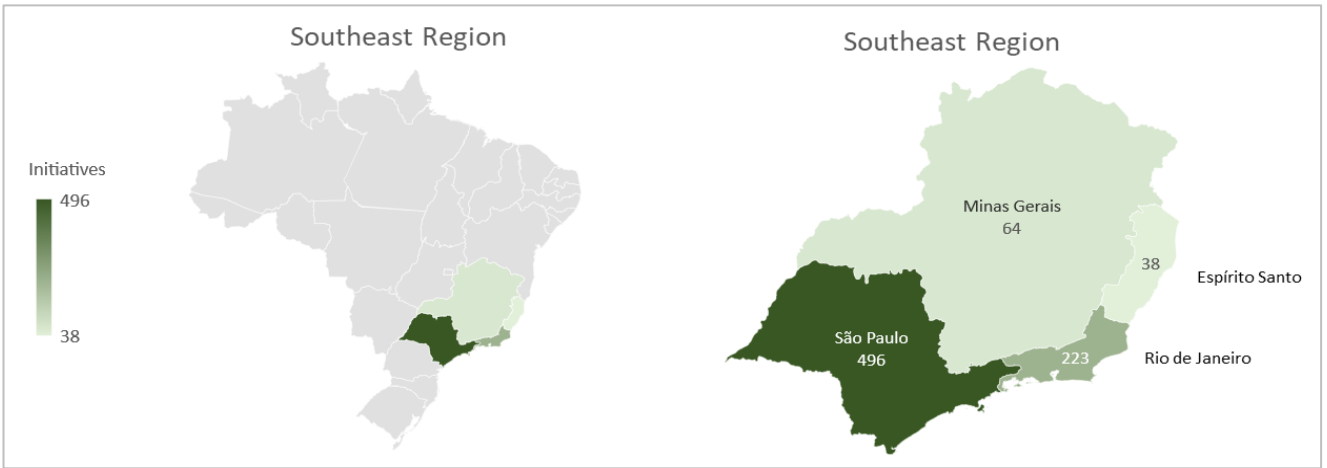


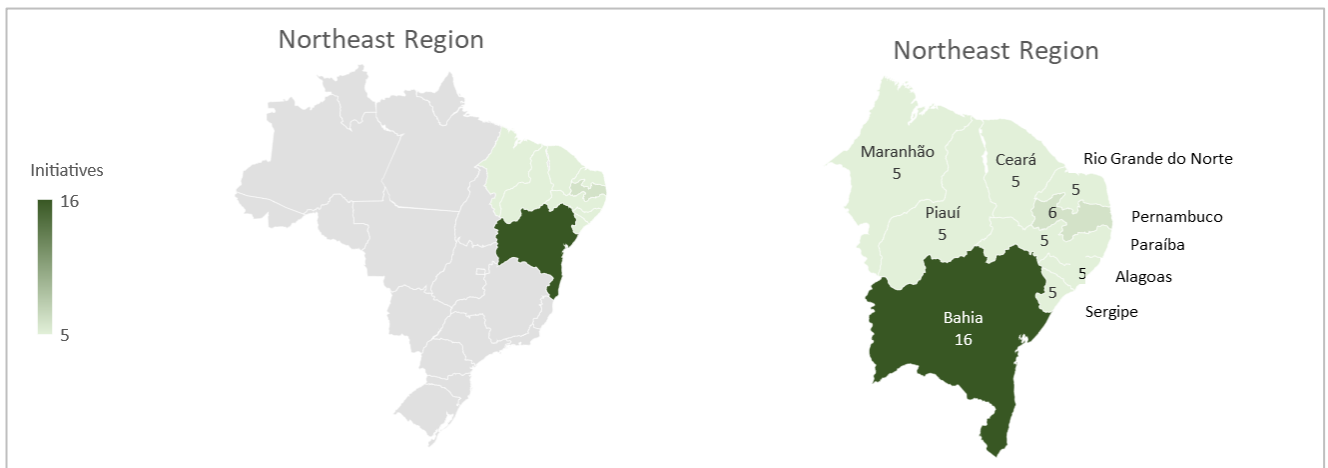
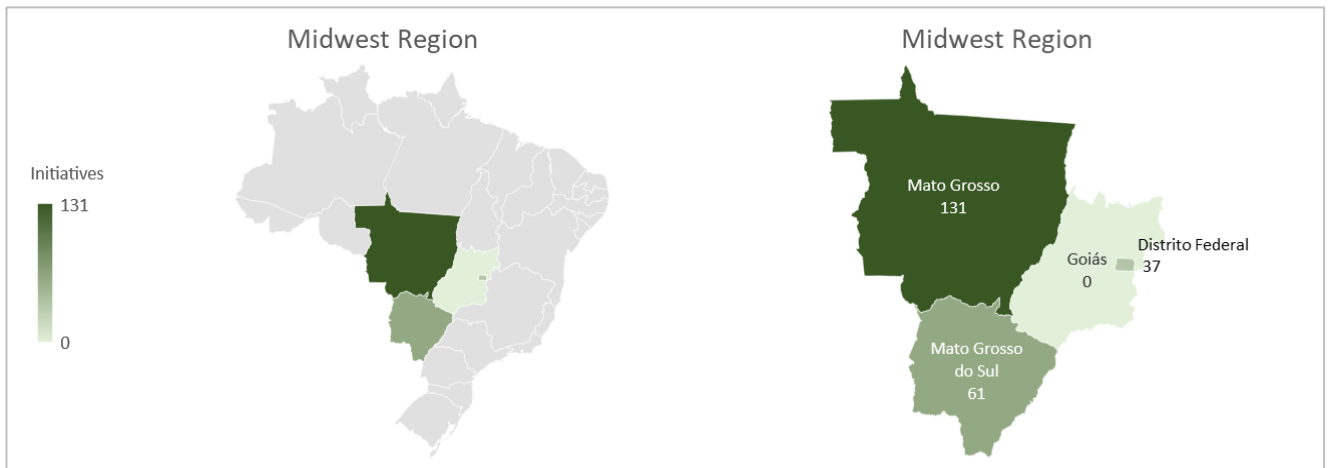
Next, we will see the distribution of initiatives carried out by state/region. São Paulo was the state with the largest number of initiatives (496). The Southeast Region concentrated 40% of all initiatives carried out. Goiás and Tocantins were the only states that did not organize any initiative.

Among the 26 states and 1 federal district (Distrito Federal), 1/3 of the federative units concentrated 90% of the initiatives.



Region	Initiatives	%
Southeast	821	40%
North	534	26%
South	423	20%
Midwest	229	11%
Northeast	57	3%
Total	2064	100%





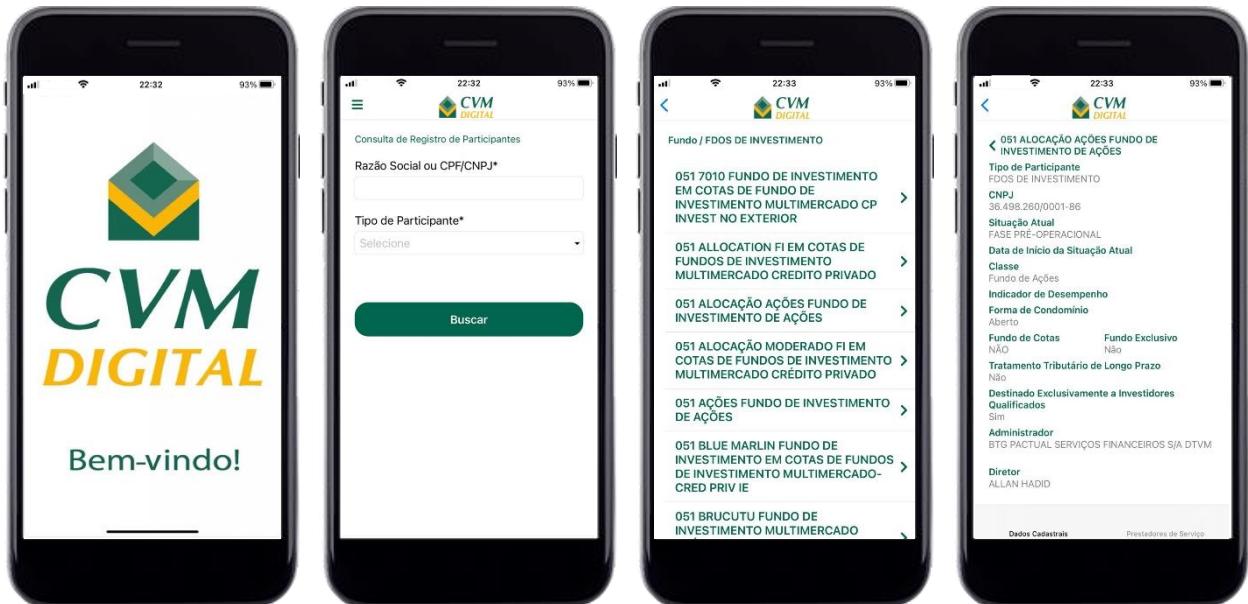
Several products and services were launched during World Investor Week, such as:

1. CVM Digital App;
2. Financial Consulting App;
3. "My Financial Well-being" Hotsite for a post-COVID world;
4. Website on financial fraud prevention tips;
5. Financial Well-being Program: Multipliers
6. CVM Book No. 15 on Day trade;
7. Investor Guide on "How the Stock Exchange Works";
8. CVM Book No. 02 on Bookkeeping, Centralized Deposit and Custody of Shares (revised);
9. Series of Podcasts on Financial Education for Youth

1. CVM Digital App

The Securities and Exchange Commission of Brazil (CVM) launched an app for investors to consult which companies are regulated and authorized to operate in the capital market. In the future, the app will also have investment fund reports (such as minutes of meetings and regulations) and follow-up requests to the Investor Assistance Service.

According to José Alexandre Vasco, Investor Protection and Orientation Superintendent of CVM, "the app is a channel for closer and connected service. We reached 3 million active investors on the Stock Exchange and the expectation is to reach 5.5 million. This audience will be able to use this app, which will soon be one of the main channels for quick and efficient consultation in the market."



Consultation of products regulated by CVM

2. Financial Consulting App

The financial consulting app aims to bring a financial guidance solution where the user connects with financial planning professionals (CFP) to meet their interests, needs and life goals.

The app had its pilot project launched during WIW. The partnership brought together the capital market regulator, entities and fintech for the innovation of financial education. The results were: 180 consumer registrations; 175 financial planners registered to volunteer; and 90 financial advisory sessions carried out.



Regulatory Agency

Leader and WIW sponsor

Public servers also participate in the event using the new technology



Entity

Members are banks, asset managers, brokers, securities dealers and investment advisers

Support investors to expand access to educational programs and content.



Entity

Affiliate to
FPB

Local CFP® Certification

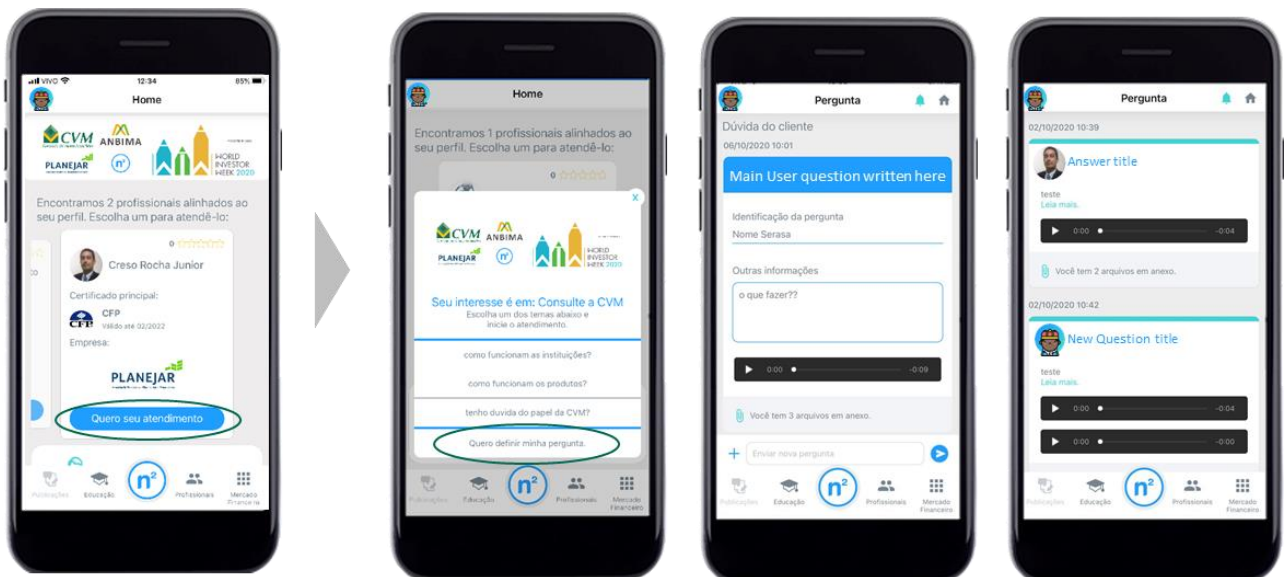
Provided CFP® Volunteers and entities selection for the event



Fintech

Created the new model and developed the technology

Provided the voucher that enabled the technology customization for the event.



Match of Financial Planners and Clients

3. “My Financial Well-being” Hotsite for a post-COVID world

CVM launched a website dedicated to advising investors on investment aspects during and after the COVID-19 pandemic. The “My financial well-being” page has three main pillars: planning, investment and protection.

According to José Alexandre Vasco, Superintendent of Protection and Investor Orientation, talking about financial planning is essential.

However, several changes were introduced to the regulation as a response to the pandemic COVID-19 and, therefore, the site seeks to provide information to assist investors in this scenario.

“There were many regulatory changes resulting from the coronavirus pandemic, in addition to new conditions that changed the investor's scenario to plan for the future and make investment decisions. In this sense, the CVM noted the need to promote specific actions and focused on some audiences, such as young investors and the elderly, ”commented Thiago Salinas, an analyst at the Information Management Division at CVM.

The analyst also highlighted the challenges that all countries need to face in the post-pandemic. “This website will monitor the circumstances and facts of the world, to provide content that supports the investor, current or potential, in their consumption, savings and investment decisions,” concluded Salinas.



4. Website on financial fraud prevention tips

“Se Liga na Fraude” website is a portal that gathers information about financial fraud with interactive content on the topic and tips on how to protect yourself from scams. All content is free and available to anyone interested.

The page, developed by ANBMA in partnership with the CVM, aims to offer the public free information that aims to alert investors about the various types of financial scams. The website explains the characteristics of the main frauds applied in the country, such as: financial pyramids; multi-level marketing; forex; cryptocurrency schemes; and false investment professionals. The number of visitors to the website reached 6076 people.

#SeLigaNaFraude

COMPARTILHE ESSA INICIATIVA: 

Conteúdos educacionais para investir com segurança e evitar cair em fraudes



5. Financial Well-being Program: Multipliers

This initiative was developed by CVM and aims to register people and professionals interested in becoming enablers of financial education in public or private institutions, with the objective of bringing financial education to the work environment, collaborating to change the financial behavior of those involved.

CVM will provide multipliers with all the content support (didactic material), technical support (answering questions) and updating (virtual room) necessary to carry out the Program. The main objective is to promote the financial well-being of the participants, helping to reduce debt, financial control, planning, conscious consumption and autonomy for decision making.



MULTPLICADORES

6. CVM Book No. 15 on Day trade

Due to the increase in the number of day traders after the Covid-19 pandemic, CVM launched a new edition of the CVM Book series on day trade to foster financial education and warn people about the risks of this type of investment. Approximately 1000 downloads were made during the WIW.

100% free of charge, the public can access explanatory information about what day trade is, how it works, costs, risk management, differences between day trade in the Spot Market and the Derivatives Market, behavioral bias, FGV research: it is possible to live on day trading?, and points of attention before investing in day trade.



7. Investor Guide on “How the Stock Exchange Works”

Knowing how the stock exchange works is essential to start investing in the capital market. To broaden the public's knowledge on the subject, the CVM launched yet another CVM Guide totally dedicated to the subject.



The CVM Guide for Investors - "How the Stock Exchange Works" provides guidance and information on the intermediation of business on the stock exchange, the role of financial advisors, the operation of orders and the order book, the means of access, the settlement and custody system, costs, information and communication, special procedures (auctions and circuit breaker), risks and the Loss Recovery Mechanism (MRP).

8. CVM Book No. 02 on Bookkeeping, Centralized Deposit and Custody of Shares (revised)



CVM Book 2 - Bookkeeping, Centralized Deposit and Custody of Shares was 100% revised. With didactic content, the objective is to offer explanations about the concepts, characteristics and operation of these three services, especially for investors who want or already trade shares in the capital market.

Investors will find the following topics: bearer shares, book entry shares, share bookkeeping service providers, central depository - electronic investor channel, and share transfer order, custodians - custody transfer request, listed questions and examples.

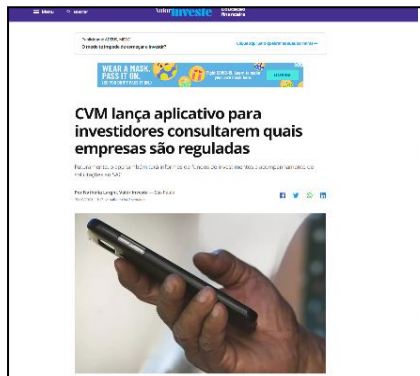
9. Series of Podcasts on Financial Education for Youth

CVM also launched the CVM Educational Podcast Series, an action dedicated to promoting financial education for young people. There will be two series:

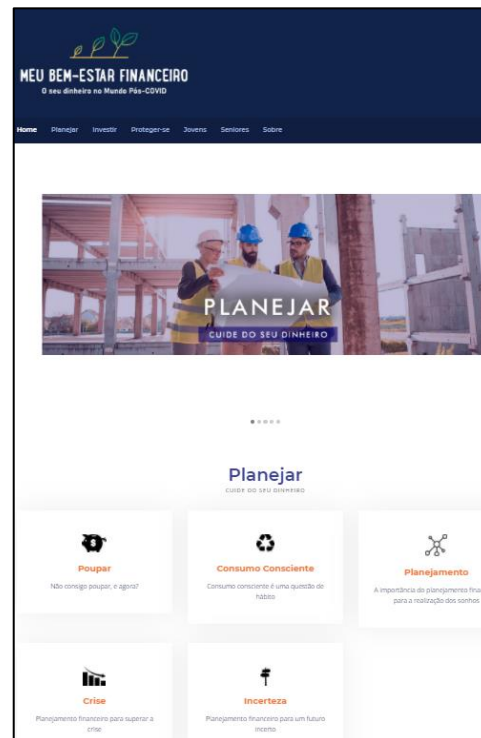
- 1) financial education for teachers in primary schools: for schools that are already open with classes by electronic means or those that will open in person, depending on the situation in each State and Municipality; and
- 2) Chats with CVM and market professionals on various topics such as: what financial education is; pitfalls of consumption, conscious consumption, savings, credit, etc.



Media Publications



Sites



Posts

Instagram

Postagem de cvmeducacional • Seguindo

LIVE

Tema: **Influenciadores digitais na educação financeira?**

Convidado: **Daniel Maeda**
Superintendente de investimentos estruturados da CVM

Francisco Santos
Superintendente de relações com mercado e intermediários

05/10 | às 16h30

Assista gratuitamente no YouTube da CVMEducacional

Comentários:

- cvmeducacional Com a popularização do mercado de investimentos na internet, é preciso saber filtrar as informações recebidas. Como identificar se uma determinada mensagem é positiva ou negativa? Essa e outras perguntas serão debatidas na tarde desta segunda-feira (05), às 16h30min, no Canal YouTube CVMEducacional (<https://www.youtube.com/user/CVMEducacional>).
- Participe!
- luciazzevedo182020 Filtar é
- Curtido por leticiacarmago.com.br e outras 85 pessoas

3 de outubro

Instagram

Postagem de cvmeducacional • Seguindo

LIVE

Tema: **As Fintechs e o Mercado de Investimento**

Convidado: **Isac Costa**
Analista de mercado de capitais da CVM

06/10 | às 10h

Assista gratuitamente no YouTube da CVMEducacional

Comentários:

- cvmeducacional Quer saber mais sobre a relação das Fintechs com o Mercado de Capitais Brasileiro? Vem para a Semana Mundial do Investidor. No dia 6 de outubro, às 10h, o dia já começa com o Isac Costa falando sobre este tema. Não fique de fora e acesse o nosso canal no Youtube (<https://www.youtube.com/user/CVMEducacional>)
- Curtido por modelagem.financiera e outras 72 pessoas

1 de outubro

Instagram

Postagem de cvmeducacional • Seguindo

LIVE

Tema: **A importância do mercado de capitais e o papel da CVM**

Convidado: **Ilene Noronha**
Analista de mercado de capitais da CVM

07/10 | às 10h

Assista gratuitamente no YouTube da CVMEducacional

Comentários:

- cvmeducacional Qual a importância do mercado de capitais para o desenvolvimento a economia brasileira? E como a CVM atua neste aspecto? Acompanhe a palestra A IMPORTANCIA DO MERCADO DE CAPITALIS E O PAPEL DA CVM, no dia 7 de outubro, às 10h, em nosso canal no Youtube CVMEducacional (<https://www.youtube.com/user/CVMEducacional>)
- Acumpanhe a palestra A IMPORTANCIA DO MERCADO DE CAPITALIS E O PAPEL DA CVM, no dia 7 de outubro, às 10h, em nosso canal no Youtube CVMEducacional (<https://www.youtube.com/user/CVMEducacional>)
- julio.piresferreira Estou vendo que essa semana será de intensa disseminação de conhecimento!
- Curtido por eudensestrella e outras 192 pessoas

7 de outubro

Instagram

Postagem de cvmeducacional • Seguindo

LIVE

Tema: **Educação Financeira com Psicologia Econômica**

Convidadas: **Dr. Vera Rita de Mello Ferreira**
Especialista em psicologia econômica
Flávia Ávila
Professora de economia comportamental

08/10 | às 17h30

Assista gratuitamente na Plataforma Netglobe

Comentários:

- cvmeducacional O tema Educação Financeira e Psicologia Econômica será o assunto no webinar desta quinta-feira (08), às 17h30min. Você pode acompanhar este evento clicando aqui! <https://icloud.netglobe.com.br/webcasts/psicologiaeconomica>
- stephaniekelmer
- chile97
- Curtido por leticiacarmago.com.br e outras 160 pessoas

7 de outubro

Instagram

Postagem de cvmeducacional • Seguindo

Realização: **LAB**

EDUCAÇÃO FINANCEIRA NO PÓS PANDEMIA

GT GESTÃO DE RISCOS ASG E TRANSPARÊNCIA

09/10 - 16h às 17h30

Palestrantes

Jose Alexandre Vasco CVM
Maria Eugênia Buosi LAB
Renato Meirelles Instituto Locomotiva

09/10 | às 17h30

Assista gratuitamente no Instagram da CVMEducacional

Comentários:

- cvmeducacional Qual será o futuro da Educação Financeira após a pandemia do Covid-19? O que muda? Acompanhe este importante debate no link abaixo: https://us02web.zoom.us/j/zoommeeting/register/WN_wktUrb_E5v2-1Mtj-_mWJQ
- evandro.mellof Uhuuu
- Curtido por flyvagner e outras 85 pessoas

8 de outubro

Instagram

Postagem de cvmeducacional • Seguindo

LIVE

Tema: **Bate-papo da CVMEducacional sobre Previdência**

Convidado: **Maurício Leister**
da Secretaria da Previdência (SPREV)

09/10 | às 17h30

Assista gratuitamente no Instagram da CVMEducacional

Comentários:

- cvmeducacional A PREVIDÊNCIA PRIVADA será o assunto desta live que acontecerá no dia 09 de outubro, às 17h30min, no Instagram CVMEducacional.
- Curtido por leticiacarmago.com.br e outras 59 pessoas

4 de outubro

Webinars

FRM/DIVIDO PELA IOSCO

WORLD INVESTOR WEEK 2020

FINTECHS E O MERCADO DE CAPITALIS

Isac Costa
08.10.2020

FRM/DIVIDO PELA IOSCO

WORLD INVESTOR WEEK 2020

Fraudes, Pirâmides e Esquema Ponzi Cuidados ao Investir

Luis Felipe Lobianco/CVM
08 de outubro de 2020

BRUNEI

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Autoriti Monetari Brunei Darussalam

Other IOSCO members:

Other participants: Brunei Darussalam Capital Market Association, Financial Planning Association of Brunei Darussalam and Centre of Islamic Banking, Finance and Management

Autoriti Monetari Brunei Darussalam (AMBD), in collaboration with the Brunei Darussalam Capital Market Association (BCMA), Financial Planning Association of Brunei Darussalam (FPAB), and Centre of Islamic Banking, Finance and Management (CIBFM) participated in the World Investor Week 2020 from 19 October 2020 to 25 October 2020.

In line with the global campaign, the event in Brunei Darussalam carried the theme “Invest with Confidence” which aimed to enhance awareness on the importance of savings, investor education and protection more so amidst the COVID19 pandemic. The event was also aimed to enhance the public's confidence to invest in Brunei Darussalam.

A number of activities were organised to achieve these objectives which include the following:

(1) “Guide to be a Smart Investor” Webinar

Three webinars were held in conjunction with the World Investor Week 2020. The first webinar was held for AMBD employees on 21 October 2020. The webinar was delivered by qualified investment professionals from BCMA and FPAB, covering topics on financial planning including budgeting, saving and debt management; and basic investment concept including how and where to invest and the different types of investment products available for investors in Brunei Darussalam.



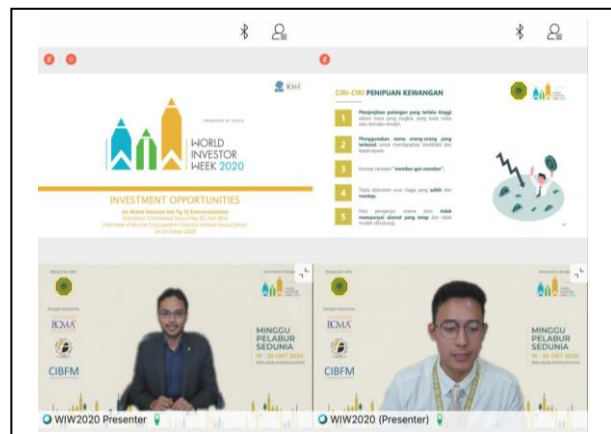
The second webinar, also held for AMBD employees was held on 23 October 2020. This webinar, delivered by a qualified financial adviser and capital market expert from AMBD, focused extensively on the role of AMBD as the securities regulator; the rights of an investor; and how to avoid financial scams.



The third webinar, targeted to the general public, was held on 24 October 2020. This webinar, delivered by field experts from AMBD, BCMA and FPAB, covered the basics of financial planning; concepts and practical guide to investment and investment opportunities in Brunei Darussalam; role of AMBD as the central bank and securities regulator; rights of an investor; and guide to avoiding financial scams.

This public webinar attracted more than 300 registrations and successfully attended by more than 200 participants. According to the feedback received, 23% of the respondents were highly satisfied with the topics delivered during the webinar, 14% of the respondents requested for a longer duration for the webinar and the Question and Answer Session; 14% of the respondents requested for more similar sessions to be delivered to the general public; whereas 10% of the respondents requested for more examples to be provided.

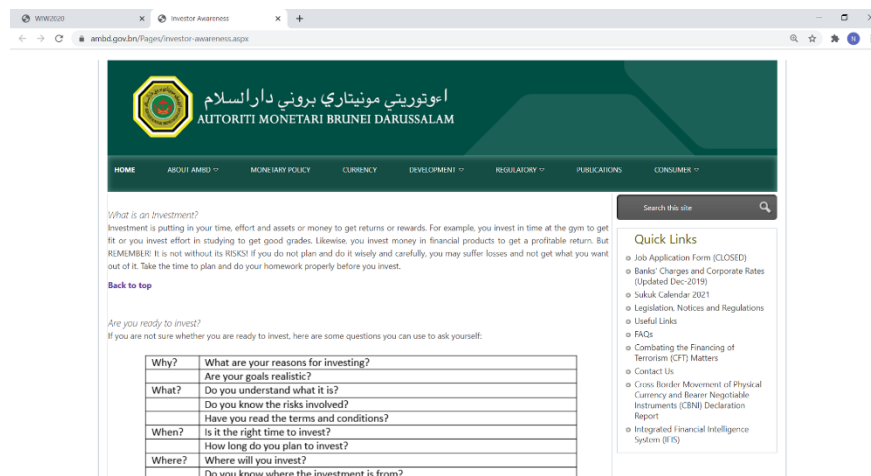
The targeted audience for this year's webinars focuses on two segments. The first segment focuses on professional workforce. As it focuses on AMBD, it also gives the chance to improve employees' knowledge and skills of staff who are already quite informed. The second segment focuses on the general public. Given that this was the first educational and awareness programme delivered virtually, covering a wide-array of timely and relevant topics, the general public was deemed as the most suitable segment. It is hoped that specific segments can be targeted in future sessions.





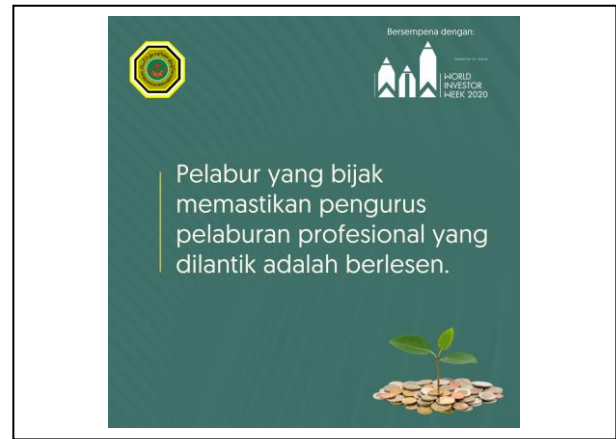
(2) AMBD Investor Awareness Page

The Investor Awareness Page was launched on AMBD's website on 20 October 2020. The webpage provides readily accessible information and is targeted for those who are interested to find out more about basic educational concept and tips on what the different types of securities are; tips in dealing with licensed investment advisers; and tips in identifying investment fraud to local investors. With this information readily available, it is hoped to further increase the public's confidence to invest in the country. It also aims to connect knowledge-seeking individuals to the correct, reliable, and trusted sources.



(3) Smart Investment Tips

Leveraging on the nation's high usage of social media, AMBD issued daily investment tips both in English and Malay medium on its social media account. The daily investment tips are consistent with IOSCO's investment tips and were chosen because of its strong relevance in Brunei Darussalam. It focuses on, among others, verifying licensed investment professionals, impacts of fees, importance of conducting research before investing, and diversification. It successfully attracted a total of 258 likes and earned an increase of 5.8% followers from 6,185 followers pre-event to 6,541 followers post-event.



(4) Television Interview

A television interview was conducted in the Rampai Pagi¹ programme on Radio Televisyen Brunei² (RTB) on 17 October 2020 delivered by FPAB. The theme of the interview is "Meningkatkan simpanan dengan melabur", or "Increase your savings by investing". The objective of the interview was to raise awareness on how investments can help in one's financial planning. Although the interview was held two days prior to the actual commencement of World Investor Week in Brunei Darussalam, it was strategically scheduled to promote the World Investor Week, in particular the events and initiatives organised during the week.



¹ Rampai Pagi Programme is a television programme in the format of a talk show broadcasted daily in the morning from 7.20am to 10am Brunei Time. It discusses mainly on current issues in Brunei Darussalam.

² Radio Televisyen Brunei (RTB) is the main television provider of domestic news to Bruneians.

(5) Radio Interview and Quizzes

A radio interview was co-organised by FPAB and BCMA and was aired live during peak time at 1.30pm on 20 October 2020 on Kristal FM, the most listened-to radio station in the country. The radio interview was targeted to 18-50 year olds. The interview focuses on casual conversations on the relationship between savings and investment, the promotion of licensed entities under BCMA, and the promotion of the webinars. The associations further collaborated in organising a 6-day radio quiz. The radio quiz, which aimed to test people's literacy on fundamental financial concepts, was conducted twice daily and had successfully attracted more than 600 participants.



(6) Instagram Artwork Contest

In conjunction with the World Investor Week 2020 and World Financial Planning Day 2020, FPAB successfully held an Instagram Artwork Contest beginning 20 October 2020 until 7 November 2020. The contest, opened to the public particularly Instagram users, within the age group of 18 to 50 years old aimed to increase awareness and understanding by engaging the targeted audience to utilise their creativity skills and portray their original masterpieces. The contest received a total of 28 participants and had awarded 3 top winners.



Canada (Ontario)

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Ontario Securities Commission (OSC)
Other IOSCO members: Autorité des marchés financiers (AMF) – national co-lead
Other participants: Investment Industry Regulatory Organization (IIROC),
 Mutual Fund Dealers Association of Canada (MFDA)

The Ontario Securities Commission (OSC) participated in the fourth annual World Investor Week, an initiative of the International Organization of Securities Commission (IOSCO), held in Canada from October 5 through 11.

The OSC's investor education and outreach initiatives successfully reached thousands of individuals despite challenges arising from the pandemic. Virtual and telephone-based events were held to promote key investor education messages and resources. Many Canadians faced increased financial uncertainty this year and the OSC provided clear, relevant investor education resources to help investors make informed financial decisions during these difficult times.

World Investor Week coincided with Investor Education Month activities in Canada.

Highlights of the OSC's World Investor Week 2020 activities are summarized as follows:

(i) Twitter Chat

The OSC hosted a Twitter chat to protect investors from COVID-19-related scams and help them make informed financial decisions. The OSC brought together a diverse group of stakeholders and partners including regulators, self-regulatory organizations, law enforcement, industry associations, community groups and nonprofit organizations. The one-hour event used the unique hashtag, #COVIDFraudChat, which generated more than 2.2 million impressions. Participants amplified key messages and shared important tips and resources.



(ii) OSC in the Community events

The OSC held several virtual and telephone based *OSC in the Community* investor seminars during World Investor Week as well as throughout the month of October (October is also Investor Education Month in Canada). The transition to virtual and teleconference events has been successful given the pandemic-related restrictions.

OSC in the Community is an outreach program that takes the OSC's important regulatory mandate from Bay Street to Main Street. *OSC in the Community* events give retail investors an opportunity to

receive the latest information on investor education and fraud prevention as well as ask OSC staff questions.

(iii) Investor News e-Newsletter

The OSC published two issues of its [Investor News](#) e-newsletter in October. The e-newsletters contained key investor education information including the importance of checking registration, having an investment plan, diversifying investments and avoiding investment fraud.

(iv) News Release

The OSC published a [news release](#) to announce its investor education and outreach activities for World Investor Week and Investor Education Month in October. Investors were encouraged to participate virtually this year.

A [national news release](#) was also published through the Canadian Securities Administrators, the council of the securities regulators of Canada's provinces and territories that co-ordinates and harmonizes regulation for the Canadian capital markets, which announced support for World Investor Week.

(v) GetSmarterAboutMoney.ca

The OSC released a series of new articles on its investor education website, [GetSmarterAboutMoney.ca](#). Key topics included COVID-19 and its impact on retirement savings, financial tips for recently unemployed individuals and COVID-19-related scams.

(vi) Social Media

The OSC developed a comprehensive social media strategy for Facebook ([@GetSmarterAboutMoney](#)) and Twitter ([@smarter_money](#)) which incorporated World Investor Week key messages and hashtags.

Canada (Quebec)

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Mr. Camille Beaudoin, Director, Financial Education Department, assisted by Anne-Bianca Morissette, Financial Education Expert.

Other IOSCO members:

Other participants: Canadian Securities Administrators (CSA), ÉducÉpargne, Chambre de la Sécurité financière (CSF).

AMF Québec held WIW 2020 from Oct 05 to Oct 11, 2020

AMF Québec WIW 2020 press release : ([French only](#))

Activities and initiatives

Online Seminars

AMF Québec offered 3 seminars during the WIW 2020 to its different clientele:

Senior investors

Seminar on fraud prevention to help senior investors recognize, avoid and report financial fraud.

Industry members (Financial Advisers)

The seminar is designed to present the financial education tools & programs available in Québec. The tools & programs presented in this seminar are intended to investors and can be used by industry members when meeting and informing their clients. This seminar offered by AMF Québec is free of charge and is granted with a 1 Continuing education unit for financial advisers.

Do-it-yourself investors

A new seminar designed for those who wish to invest on their own. The seminar is designed to go through the basic concepts and raise awareness on different issues related to investing on its own, especially during pandemic times.

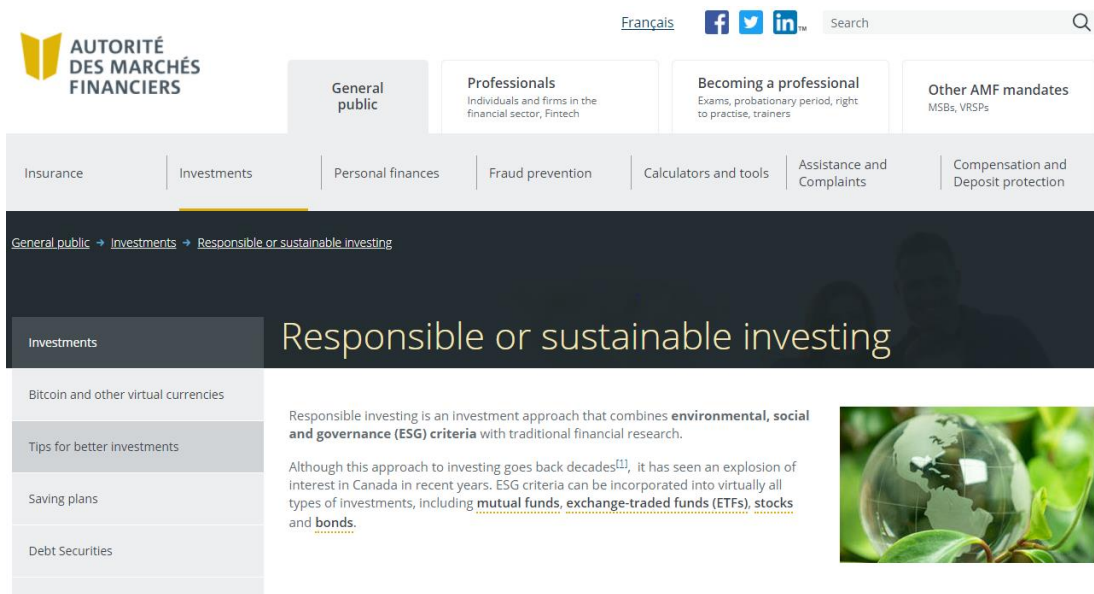
5th edition of AMF Québec *Talking money in class!* Contest

AMF Québec has launched its *Talking money in class! Contest* at the beginning of the WIW. The contest, which is open to primary school, secondary school, college and adult education teachers, has two objectives: to give teachers an opportunity to discover the many in-class financial education tools available in Québec and to help improve the personal financial literacy of as many students as possible.



New Website content on responsible or sustainable investing

New Web content on Responsible or sustainable investing has also been made available to investors : <https://lautorite.qc.ca/en/general-public/investments/responsible-or-sustainable-investing>



Active WIW 2020 campaign on social media (Facebook, Twitter, LinkedIn)

Facebook and Twitter cover image during the week:



A few images used for posts during the WIW 2020:



Examples:

Facebook and Twitter

The image shows three social media posts from the Autorité des marchés financiers (AMF) in Quebec. On the left is a Facebook post from October 2, 2020, announcing participation in World Investor Week 2020. The post includes a green-tinted photo of a man and a graphic with the event logo. The Facebook interface shows 499 people reached and 29 interactions. In the center is a Twitter profile page for @lautorite, featuring a bio, 378 followers, and a recent tweet about the event. The tweet includes a graphic and a link to the AMF website. On the right is a search result for @lautorite on Twitter, showing a grid of related tweets and a sidebar with recommendations and trending topics.

LinkedIn

The image shows the LinkedIn profile page for the Autorité des marchés financiers (Québec). The header includes the LinkedIn logo, a search bar, and navigation tabs for Accueil, Réseau, Emplois, Messagerie, Notifications, and Vous. The profile banner features a collage of images, including a woman and the World Investor Week 2020 logo. The profile name is 'Autorité des marchés financiers (Québec)' with 25,711 followers. The bio states: 'L'Autorité des marchés financiers est l'organisme de réglementation et d'encadrement du secteur financier du Québec.' Below the bio, it indicates that Cathy and 112 other relations work here, with a link to view all 629 employees. The left sidebar contains navigation options: Accueil, Mon entreprise (selected), À propos, Vie d'entreprise, Offres d'emploi, Personnes, and Vidéos. The main content area shows 'Autorité des marchés financiers (Québec) aujourd'hui' with two boxes: '6 collègues ont récemment rejoint Autorité des marchés financiers...' and '23 collègues ont récemment fêté des anniversaires professionnels...'. At the bottom, there is a section for 'Contenu populaire des collègues' with a post by Sylvie Boucher.

Social media results for WIW 2020

	Facebook	Twitter	LinkedIn	Total
Impressions (Number of times the WIW content has been displayed)	4,472	5,142	1,435	11,049
Engagements (Number of engagements the WIW posts received i.e. were liked, shared or commented)	194	81	22	297

WIW on AMF Québec homepage



Semaine mondiale des investisseurs 2020 →

L'Autorité prend part à la 4^e édition de la Semaine mondiale des investisseurs. Du 5 au 11 octobre, cette semaine vise à sensibiliser des millions d'investisseurs dans le monde sur l'importance de la protection des investisseurs et de l'éducation financière. Consultez la section Investissements.

Enseignants : participez à notre concours! →

Faites de l'éducation financière en classe ou à distance et courez la chance de gagner un des 5 prix de 1 000 \$!

N'attendez plus au téléphone : utilisez notre fonction de service de rappel →

Vous n'avez qu'à remplir le court formulaire qui correspond à votre profil et au sujet qui vous concerne. Un agent de notre centre d'information vous rappellera dès qu'il se libèrera. Ce service est **offert entre 9 h et 15 h**, du lundi au vendredi.

Other participants

Canadian Securities Administrators (CSA)

The Canadian Securities Administrators (CSA) is an umbrella organization of Canada's provincial and territorial regulators. CSA's members, including AMF Québec, also supported World Investor Week by highlighting cross-country activities.

ÉducÉpargne: <https://www.educepargne.ca/>

Chambre de la sécurité financière (CSF) : <https://www.chambresf.com/en/home/>

The AMF Québec has enjoyed taking part of the WIW IOSCO's working group and promoting World Investor Week 2020 among investors and other organizations in Québec and Canada. The AMF Québec is looking forward to next year's edition.

CAYMAN ISLANDS

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Shannon Francis, Cayman Islands Monetary Authority

Other IOSCO members:

Other participants:

The Cayman Islands Monetary Authority ("the Authority" or "CIMA") participated in IOSCO's World Investor Week ("WIW") campaign from 23 - 27 November 2020 to raise awareness about the importance of investor education and protection. As a member of IOSCO, CIMA recognizes the importance of this initiative and saw the campaign as a good opportunity to promote smart investment strategies within the Cayman Islands, especially in the wake of the global pandemic, when persons are more vulnerable to financial hardship. CIMA conducted several online activities during this period promoting key messages that highlighted the basics of investing, including, among other things, the importance of understanding that all investments entail risk, learning the right questions to ask when choosing an investment, and planning for life's unexpected challenges in uncertain times.

A press release was disseminated to the media, general public and industry associations to introduce campaign, including details on when it would take place, the purpose of the campaign and where to find key messages. It garnered a total of 394 views during World Investor Week.

The webpage was the primary source of information for the entire campaign. Everyday two new tips encouraging investors and consumers to make "smart" choices and be a "#SmartInvestor" was uploaded to the webpage. During World Investor Week the page was visited 165 times. Tips promoted included:

1. A smart investor verifies that an investment professional is licensed by a regulatory body.
2. A smart investor assesses the impact of fees when choosing an investment.
3. A smart investor understands that risk exists in all investments.
4. A smart investor is wary of 'quick-and-easy' money-making ventures.
5. A smart investor adjusts their lifestyle to encourage healthy saving habits for the future.
6. A smart investor never invests based solely on success stories or celebrity endorsement.
7. A smart investor plans for life's unexpected challenges in uncertain times.
8. A smart investor recognises the common red flags of potential investment fraud.
9. A smart investor reports any suspicious or fraudulent activity to the Financial Crime Unit.
10. A smart investor does not enter into an investment agreement that they do not fully understand.

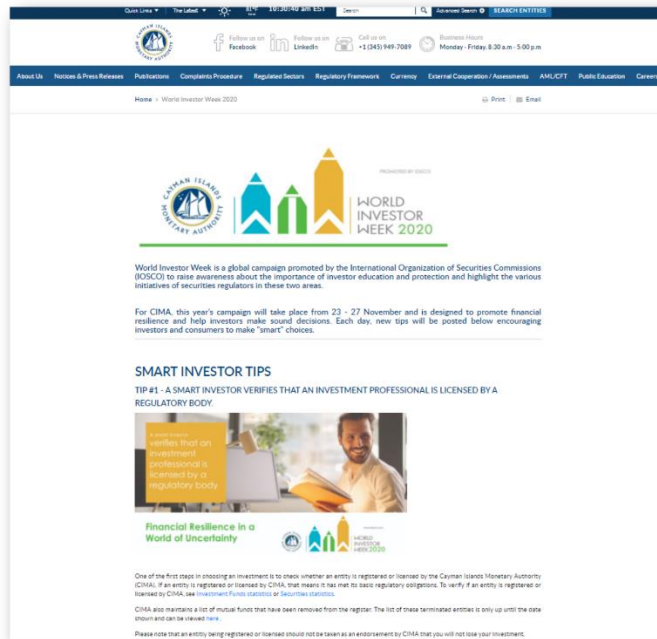
Banners were also placed on the Authority's website, social media headers and on staff email signatures. An article was published in the Authority's internal newsletter 'the Insider' with the intent to garner staff and stakeholder interest and further promote public educational initiative. This had a total of 265 views. An article will also be featured in CIMA's quarterly newsletter 'the Navigator'.

Throughout the World Investor Week, CIMA posted two tips per day on how to be a "#SmartInvestor" on the official CIMA LinkedIn and Facebook pages. Total Impressions on Facebook during the week Was 1,639; meanwhile the posts got 10,557 Total Impressions on LinkedIn.

Press Release



Webpage



Online Banners



Social Media Posts



Internal and External Newsletters

World Investor Week Recap

Earlier this month, CIMA proudly joined regulators from over 100 jurisdictions to celebrate World Investor Week 2020. Led by the International Organization of Securities Commissions ("IOSCO"), World Investor Week seeks to raise awareness about the importance of investor education and promote smart investment strategies.

The week-long event usually takes place in October; however, due to logistical issues and other challenges posed by the pandemic, regulators were given the opportunity to choose alternative weeks in October or November to stage their activities. For CIMA, this year's campaign took place from 23 – 27 November. Here's a quick recap:

Prior to the official launch, a press release was issued to introduce the purpose of the campaign.

Key messages were crafted to promote financial resilience and help investors make sound decisions, especially in the wake of the global pandemic. Such messages were disseminated each day (twice a day) of World Investor Week via CIMA's [LinkedIn](#) and [Facebook](#) social media platforms. Detailed tips were also posted on the [CIMA website](#). In total, the posts received over 6,000 views and interactions.

Branded online banners were also placed on the homepage of the CIMA website and utilised as email signature banners of all outgoing CIMA email correspondence. Similar graphics were also placed as headers on both social media pages. To maximise

participation and increase awareness, staff were encouraged to 'Like' and 'Share' at least one tip each day throughout the week. Additionally, articles were included in our monthly and quarterly newsletters.

This campaign demonstrates CIMA's commitment to enhancing the effectiveness of financial literacy in the Cayman Islands and the focus of this year's events could not have been more fitting!



Special thanks to our Public Relations team for spearheading this year's campaign and to all other CIMA participants, thank you for boosting financial resilience in the Cayman Islands.

CHINA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: CSRC

Other IOSCO members:

Other participants: exchanges, trade associations, other finance regulators, educational, industrial, commercial administrations, securities and futures firms, listed companies, universities and schools

According to the arrangement of the Committee 8, World Investor Week 2020 was held in China from 1 to 31 October. Because of the COVID-19, CSRC well organized and carefully arranged various online and offline activities, combined with "Being honest and trustworthy, Being a respected listed company" "Reasonable Investment Keeping Away from Illegal Securities and Futures Trap" "2020 financial knowledge popularization month" events which were ongoing during this period. WIW 2020 were attracted all CSRC local bureaus, exchanges, trade associations to participate, which coordinated nearly 6000 securities and futures firms, 601 universities, 503 schools to host more than 21000 events this year. The relevant activities had been very well received, with a coverage of 130 million people, and more than 1.3 million booklets and flyers distributed.

CSRC attached great importance to WIW 2020 and issued notice in 7th September. Owing to the COVID-19, all participants could choose a suitable time in October, using the 2020 WIW logo, to hold activities more flexible.

WIW 2020 enjoyed a wide range of investor education and protection activities, including typical cases booklets, investor Q&A, cartoons, film, games, online knowledge competitions, lectures, classes, entering listed companies, communities, schools, etc. We listed some typical activities below:

With the theme of "Caring for investors, Working hand in hand -- Studying and implementing the Securities Law, protecting the legitimate rights and interests of investors", **Shanghai Stocks Exchange(SSE)** launched a series of activities, which included releasing research reports, promotional videos, slogans, carrying out online knowledge contests, online lectures for investors on STAR Market and the pilot registration-based IPO system, online guided tours to listed companies and etc., which can be accessed via SSE's Wechat social media account and its official website.



activities held by SSE



Shenzhen Stocks Exchange (SZSE) focusing on the topic of GEM reform, held online and offline activities with welcomed formats, like a bell ringing ceremony for finance education, visits to fund management companies and listed companies, organizing working meeting and training for SZSE members, award ceremony of the second national animation competition and so on.



(activities held by SZSE)

Investor education center of China Securities Internet System Co., Ltd. together with the Securities Association of China launched colorful and interesting events for students. Carrying out "Learning the Securities Law and being a rational investor" securities knowledge competition for college students and setting up a series of financial literacy courses in schools, help students to foster the mind of rational investing and to raise awareness of investing risks. Besides, with the help of live broadcast platforms such as Xinhua network, sina finance, online live broadcast courses are launched to help investors and financial industry practitioners learn more financial knowledge.



(activities held by Investor education center of
China Securities Internet System Co., Ltd.)

CSRC Shenzhen Bureau worked with Shenzhen Local Financial Supervision and Administration and Shenzhen Central Sub-Branch of the People's Bank of China and other departments, held training for community workers, whose topics ranging from private placement funds, Chinese futures market, preventing investment risks and etc., to promoting the financial literacy of community residents through "financial service + social service". In addition, they prepared a special edition of WIW 2020 on "Shenzhen Investor Service" Wechat social media account for investors.



(training held by CSRC Shenzhen Bureau)

CHINESE TAIPEI

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Morgan Chen; Doris Lo

Other IOSCO members: Taiwan Stock Exchange Corp.; Taipei Exchange;
Taiwan Futures Exchange

Other participants: Securities & Futures Institute; Securities and Futures
Investors Protection Center ; Taiwan Depository & Clearing Corporation

The FSC participated in the World Investor Week (WIW) held by International Organization of Securities Commissions (IOSCO). The aim of the event was, through the participation of competent authorities around the world, to educate investors and enhance their self-protection competencies.

Joining with more than 100 countries to take part in WIW, the FSC played an active role in promoting investors awareness especially facing the risk of investing in volatile markets caused by the pandemic. Investor education and protection events and activities were planned by the Securities & Futures Institute (SFI) and held in cooperation with other organizations, key achievements include:

1. There were 69 different kinds of investor education activities, including financial knowledge and investment lectures, online quizzes with prizes and investor rights and interests conferences.

2. Investor education and protection related information and documents were posted on dedicated webpages, including: FSC "Anti-money Laundering and Countering Terrorism Financing of Securities and Futures Sector", TWSE "Investor Knowledge Network", the Securities Investment Trust and Consulting Association (SITCA) "Taiwan Equity Funds Promotion Area" and the Chinese National Futures Association "Futures Trust Fund Observation Platform".

3. Educational documents were published, including the Taiwan Depository & Clearing Corporation "TDCC Bimonthly" magazine and "TDCC Quarterly" magazine, the Taiwan Securities Association "Warrants Problem Discuss" e-book, and SITCA "Key Information for Sales of Mutual Funds -Know KYC and KYP well, and Create Win-win Situations with Customers" handbook and "the opinion of the supervision system of investment trust companies".

4. To raise public awareness of WIW, 2 lucky draws were held on SFI's WIW Facebook.

2020 WIW webpages for references:

SFI: <http://www.sfi.org.tw/wiw/index.asp>

IOSCO: <http://www.worldinvestorweek.org>



Taipei Exchange held investors Seminars



SITCA held the 2020 WIW FORUM



Taiwan Stock Exchange held investment Seminars in Community Colleges



Date	Event	Introduction	Organizer
2020/4-9/30	2020 ETF and ETN Competition	The TWSE encourages all securities firms to promote ETF and ETN products, and furthermore encourages liquidity providers to enhance the liquidity of Taiwan equity ETFs.	TWSE, the Taiwan Securities Association, the Securities Investment Trust & Consulting Association, and the Chinese National Futures Association
2020/5-11	"Investing for your future": The lecture series for the general public	Financial literacy matters. It's important for everyone to learn how to make financial decisions. We invite investment professionals to be the speakers and host seminars and workshops for the general public.	Securities and Futures Bureau(SFB) Taiwan Stock Exchange Corporation(TWSE) Securities and Futures Institute(SFI)

SFI posted "IOSCO World Investor Week 2020" information on its Website

COLOMBIA

WORLD INVESTOR WEEK (WIW) 2020

National / Jurisdictional Coordinator: Superintendencia Financiera de Colombia

The International Organization of Securities Commissions – IOSCO, organized for the fourth (4th) time in a row, the World Investor Week – WIW, which took place between October 5 to 9, 2020.

The Financial Superintendency of Colombia (Superintendencia Financiera de Colombia)- participated for the fourth time as an organizer of the World Investor Week. It was carried out in coordination with the Corporación Autorregulador del Mercado de Valores de Colombia S.A. (AMV), Universidad de los Andes, Universidad de Manizales, Universidad Santo Tomás, A2censo of the Bolsa de Valores de Colombia S.A., Unidad de Regulación Financiera URF, Global Securities Colombia S.A., Credicorp Capital Colombia S.A., SENA, and an expert in criminal finance, among others, a variety of activities such as: conferences, discussion panels and financial coaching workshops, with the purpose of promoting relevant topics in terms of investor protection and financial education in the securities market.

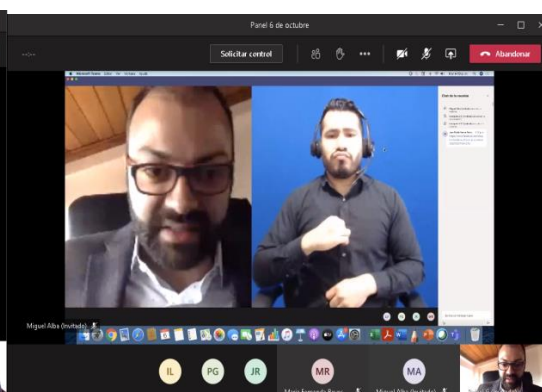
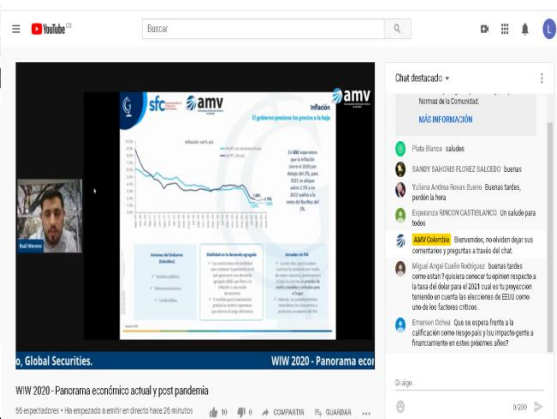
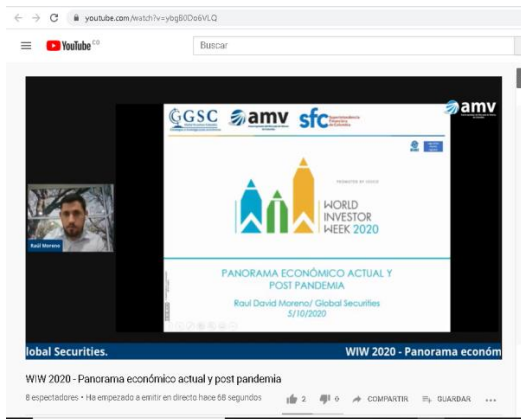
Given what was mentioned, this year’s World Investor Week in Colombia’s main topic was “Financial Education and information for the investors in time of pandemic”, and several activities such as the "Economic Outlook pandemic - post pandemic", "Opportunities and investment alternatives", "My first investment - become a financial coach", "New regulation on issues of simplified joint stock companies SAS", "investment alternatives and financing of the Current situation (Collaborative financing platforms)”; “ Illegal fundraising, fraud, securities and currency trading platforms ”and“ Talk on the prevention of illegal fundraising and fraud in the financial sector” were done.

In addition, the different activities were promoted through the institutional web pages and social networks of the Colombian Self-regulatory Organization of the Securities Market (Auto-regulador del Mercado de Valores de Colombia) and the SFC (Facebook, Instagram, Twitter, LinkedIn). The different conferences and discussion panels were broadcast through Facebook Live and Youtube.

Finally, 513 people signed up for the WIW, those attending the virtual events ranged from 54 to 228 people, with more than 4,000 reproductions. Likewise, a satisfaction survey was applied in which the attendees gave an overall rating of 4.97 in a range from 1 to 5, where 1 was the worst rating and 5 the best rating. Similarly, we used the Colombian sign language in some of the conferences held at the event.

This campaign continues to be a key platform in which different financial system actors can work jointly, with the purpose of promoting investor protection.



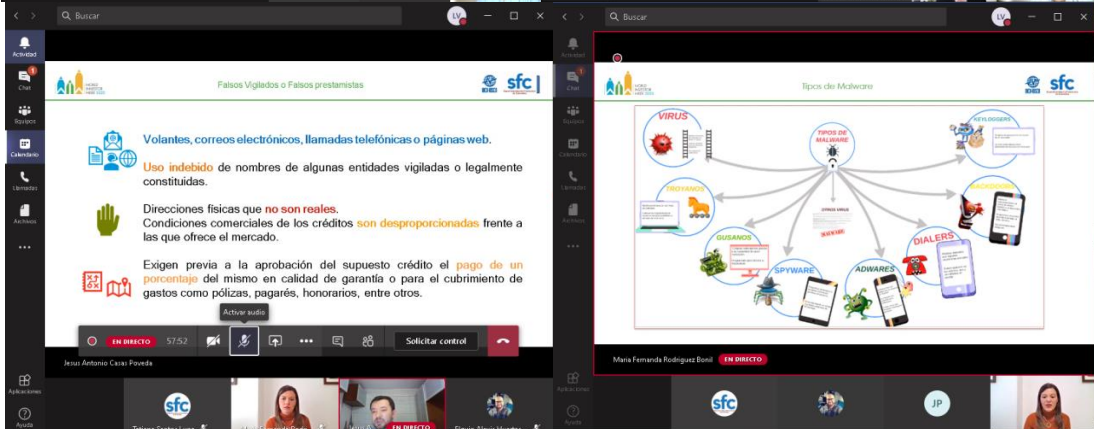
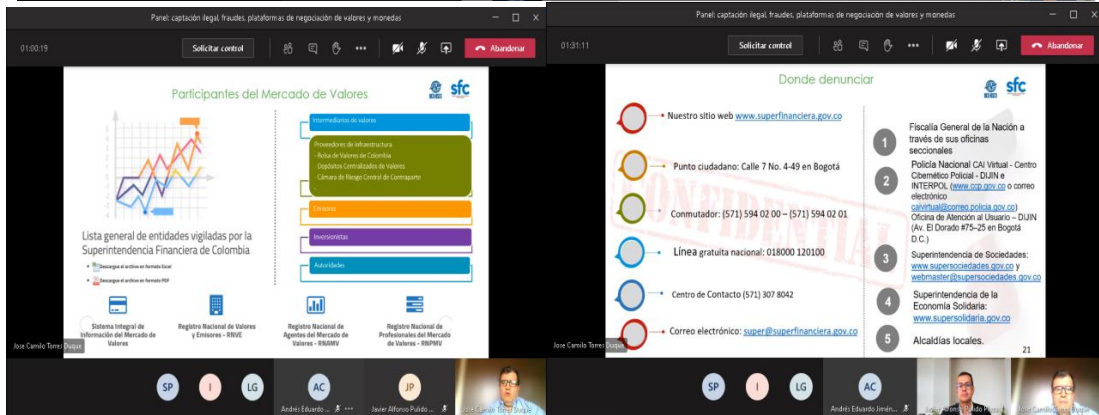
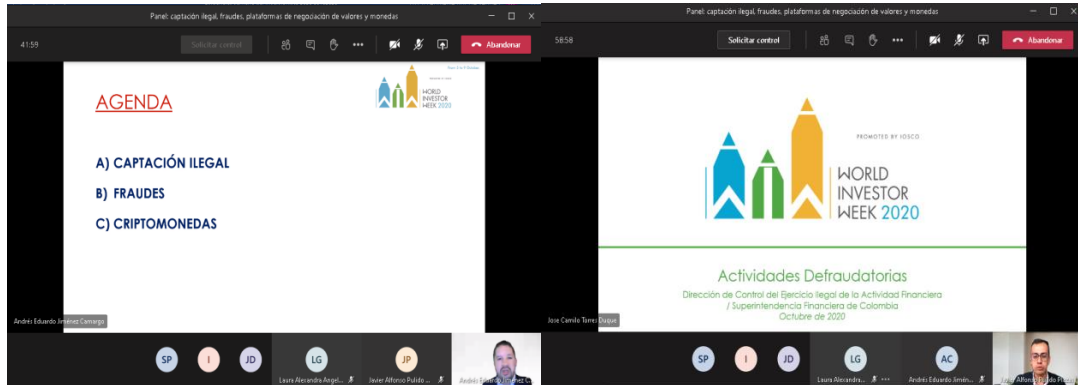


YouTube video player showing a presentation slide titled "CICLO FINANCIERO DE LA VIDA". The slide features a line graph showing an upward trend over time, with labels for "Tiempo de vida" and "Inversión". The video title is "WIW 2020 - Taller 'Mi primera inversión' conviértete en un coach financiero".

YouTube video player showing a presentation slide titled "¿Qué es más importante?". The slide compares "Mayor Ingresos" (9) and "Mayor Ahorros" (10) with a plus sign between them. The video title is "WIW 2020 - Taller 'Mi primera inversión' conviértete en un coach financiero".

Facebook post from "sfc" titled "Emisiones de valores por parte de las SAS". The post includes a flowchart showing the process: "JD Directiva" (3 members), "Revisor Fiscal" (1 member), and "Comité Auditoría" (3 members). It lists requirements for each role and the conditions for issuing securities.

Facebook post from "sfc" titled "Oportunidades de inversión a través de plataformas de financiación colaborativa". The post includes a diagram of a tree with labels for "Análisis", "Funding", "P2P", and "Market". It discusses the context of crowdfunding and its benefits.



DOMINICAN REPUBLIC

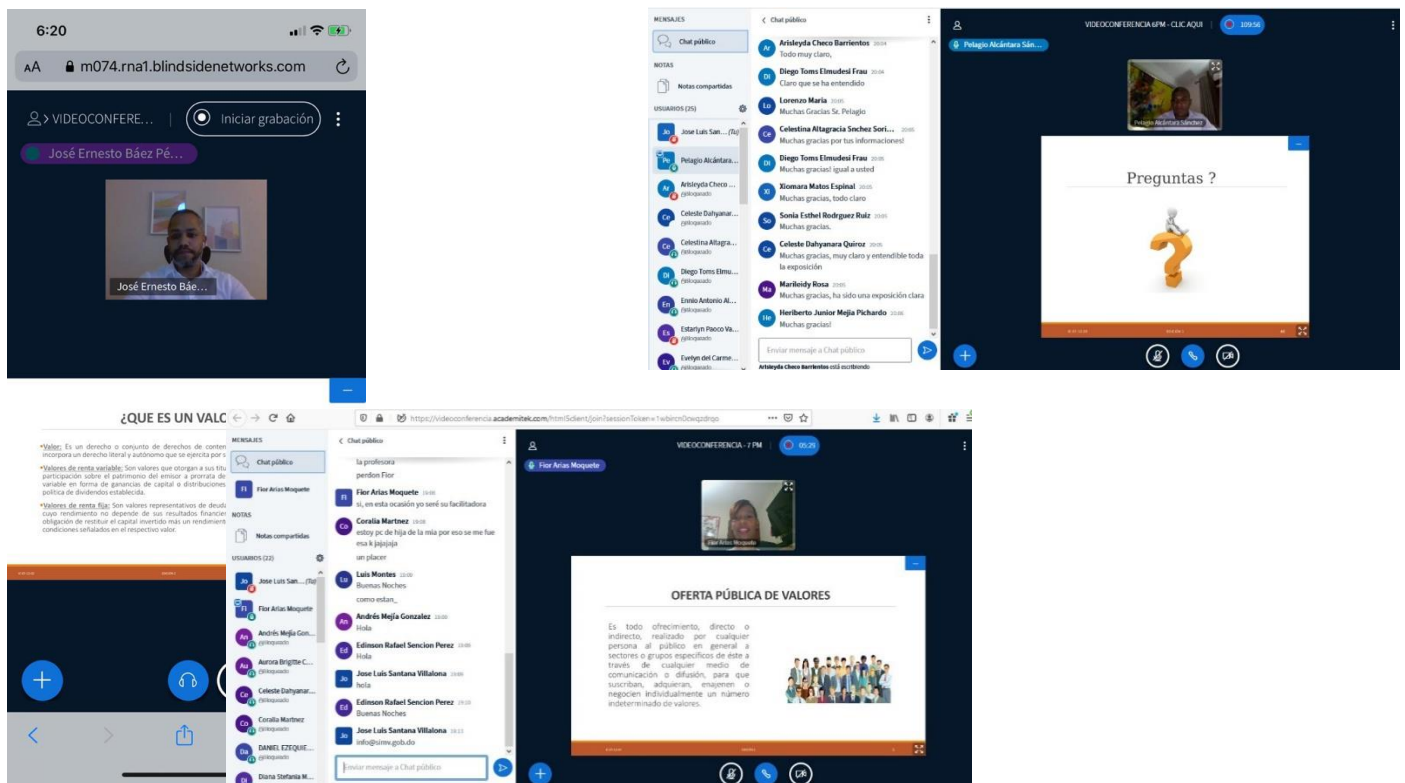
WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Superintendencia del Mercado de Valores (SIMV)

Superintendencia Del Mercado de Valores (SIMV) conducted several online activities during the period of the World Investor Week 2020, regarding the education, promotion and awareness of the role of our Institution as a regulator of the stock market in our Country.

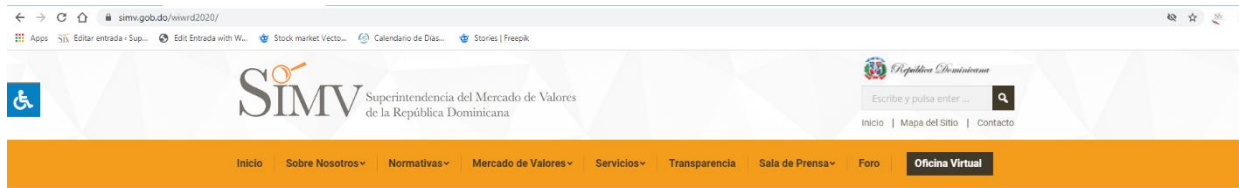
Since de COVID-19, we have been doing online courses, we did the Course of "Knowing the Stock Market & Knowing the Autonomous Heritage" with 100 participants.

We also did the "knowing investment funds, trusts and securitization" with 60 participants.



2- Creation of a Website:

This website had all the information about the WIW 2020, our agenda, pictures, videos, supporters, and links of our past activities. <https://simv.gob.do/wiwr2020/>



3- Online Campaign:

During the course of the week we promoted the WIW on all of our social media.

We did an online campaign to educate our followers about the stock market, how to invest your money, why you should invest, all the things that you have to learn before investing, we created awareness about what is WIW, and promoted our institution and our responsibility as a regulator of the stock market.

Social media used:

Facebook

Instagram

Twitter

We also did a IGTV with the investor protection department where he explained 10 ways to protect your money before investing with more than 1.3k views.

#ConociendoMV

¿Qué es el Mercado de Valores?

El Mercado de Valores comprende la oferta y demanda de valores representativos de capital, de deuda y de productos. Asimismo, incluye los instrumentos derivados, ya sean sobre valores o productos.

WWW.SIMV.GOB.DO

#ConociendoMV

¿Cuáles son los beneficios de participar en el mercado de valores?

Las empresas emisoras tienen la posibilidad de obtener mayor financiamiento y a un plazo mayor, a un menor costo y a tasas de interés más bajas.

El inversionista puede diversificar riesgos a través de la opción de invertir en diferentes productos financieros.

Los ingresos que se originan de las inversiones en el mercado de valores pueden tener un trato fiscal más favorable.

La infraestructura del mercado de valores está organizada para que las transacciones se realicen de forma ordenada, transparente y segura.

Existe una entidad encargada de regular y supervisar el buen funcionamiento del mercado y proteger a los inversionistas.

WWW.SIMV.GOB.DO

#ConociendoMV

¿Qué tomar en cuenta antes de invertir?

- 01 Verifique el producto antes de comprometer su dinero en cualquier inversión y sin importar qué tan alto es el retorno prometido, asegúrese de que la oferta está registrada en los organismos estatales que regulan la actividad.
- 02 No se deje presionar para actuar rápidamente. Investigue primero, piense antes de tomar su decisión de inversión.
- 03 Busque orientación: contacte a las entidades reguladoras oficiales del sistema financiero.
- 04 Verifique que la empresa que promueve el producto está autorizada y/o registrada en la Superintendencia del Mercado de Valores y en la Superintendencia de Bancos.
- 05 Verifique que las personas que ofrecen a la venta la mayoría de las inversiones están habilitadas por organismos reguladores oficiales. Consulte los recursos de crédito.

WWW.SIMV.GOB.DO

#ConociendoMV

MARTES 6 DE OCTUBRE 2020 CONOCIENDO EL MERCADO DE VALORES DE LA REPÚBLICA DOMINICANA 6:00 P.M. ¡GRATIS! - ONLINE -

Dar a conocer los fundamentos del mercado de valores en RD, su aporte al crecimiento económico y la labor que ejerce la Superintendencia de Valores como órgano regulador. El participante podrá comprender los elementos críticos en los cuales se basa el mercado de valores y discernir sobre su aporte al sistema financiero, emisores, inversionistas y economía.

Para reservar: 809-221-4433 Ext.: 2010
WWW.SIMV.GOB.DO

#ConociendoMV

¿Cuáles son los beneficios de invertir en un fondo de inversión?

- 1 Rentabilidad**
Comparativamente superior a los productos tradicionales de ahorro.
- 2 Asesoría**
Los fondos cuentan con asesores de inversión y con un área de servicio al cliente para resolver sus consultas y/o emergencias.
- 3 Disponibilidad**
Una vez cumplido el plazo mínimo de permanencia puedes disponer de tu dinero cuando lo desees, sin penalidades.
- 4 Manejo profesional**
Los fondos mutuos deben contar con un equipo de profesionales expertos en inversiones, que buscan constantemente las mejores oportunidades de inversión para tu dinero.
- 5 Fácil acceso**
No necesitas grandes sumos de dinero para ingresar de manera rápida y sencilla al mercado de capitales local e internacional.
- 6 Diversificación**
Los fondos mutuos invierten tu dinero en una variedad de instrumentos financieros, brindando una adecuada combinación de rentabilidad y seguridad, adecuada que a mayor diversificación menor riesgo.

WWW.SIMV.GOB.DO

4- Change headers on our social media:

During the course of the week we changed our social media headers.

The headers stayed the entire week to bring awareness to our audience about the WIW and promote the week.

#ConociendoMV

PROMOTED BY IOSCO

WORLD INVESTOR WEEK 2020

WWW.SIMV.GOB.DO

EGYPT

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Financial Regulatory Authority (FRA)

Other IOSCO members:

Other participants:

The Financial Regulatory Authority conducted several activities in celebration of World Investor Week 2020 from 1-7 November to raise awareness about FRA's role as a regulator of the capital markets, and to disseminate investor education and protection messages.

During the course of the week FRA carried out different activities in cooperation with other institutions, these included:

1- Awareness Sessions for Universities (Video Conference):

FRA promoted this by giving a number of awareness sessions through video conference due to COVID circumstances, highlighting the importance of investor awareness and protection. Also focusing on the role of securities regulators, how they supervise the activities of capital markets, how they offer information and services to increase the investor education and protection before and after investing to avoid wrong Practices and financial crimes. Also giving an introduction on the importance of sustainable development.

The online sessions gave us the chance to spread awareness among different governorates at the same time, minimizing the effort regardless the distance.

Universities that participated:

- a) Alexandria University
- b) Tanta University
- c) Aswan University
- d) Suez Canal University

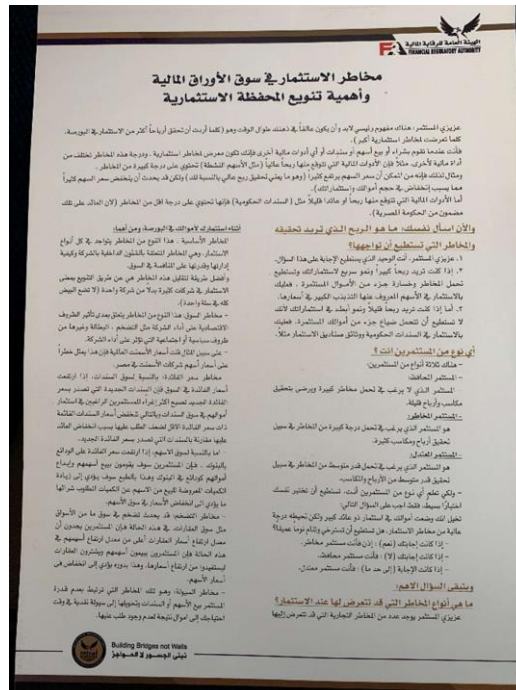
Topics Discussed:

- a) How to trade safely in the stock market
- b) Importance of NBF services for entrepreneurs
- c) Importance of sustainable development

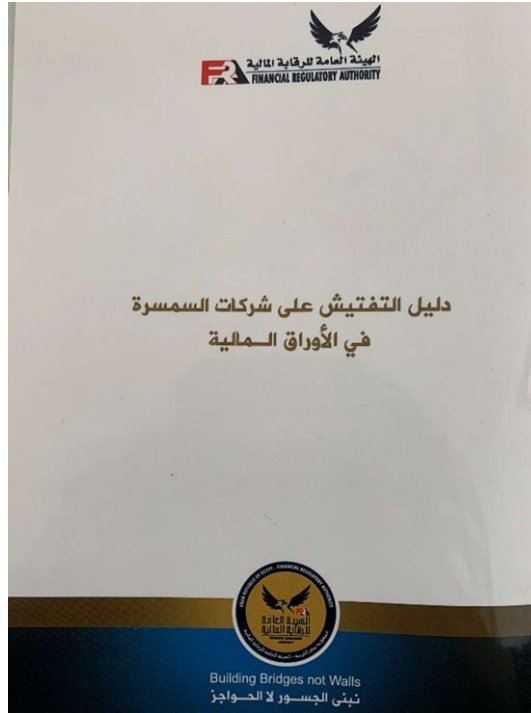
Do's and Don'ts in the stock market leaflet



Risks in stock market



Guide for Brokerage Firm Inspections



Legal Framework for Stock market



5- invest website:

a) Games : FRA issued a game to spread awareness of Non Banking Financial market for kids. The game and its model answers were uploaded on the investor awareness educational website iinvest.

FIND WORDS



لغز الكلمات



b) Consumer Finance Leaflet

c) Updating Mutual Fund database.

6- Media Coverage:

a) Press Releases	1
b) Number of Participants	400

7- Linkedin page:

Daily updates on linkedin page about the world investor week.

Page **Activity** 99+



Financial Regulatory Authority FRA ⋮

18,229 followers

2w · 🌐

Financial Regulatory Authority FRA started its activities in the World investor Week WIW 2020 promoted by The IOSCO by conducting an online awareness session via zoom application for students in different governorates in cooperation with the Egyptian Association for Finance and Investment Studies. As well as an awareness for the members of the Egyptian Freedom Party that was held today,

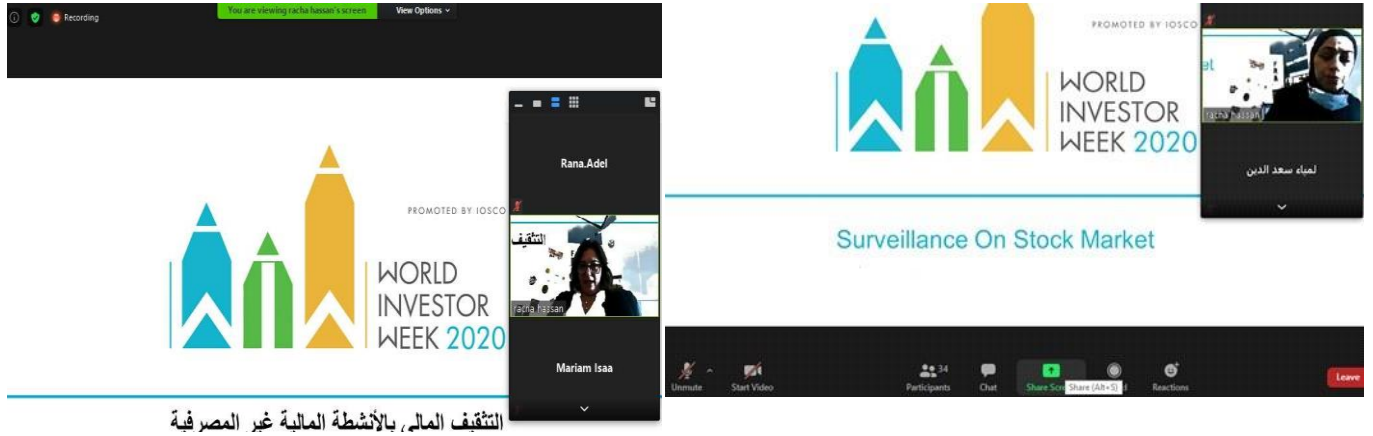
The sessions is covering the non-banking financial tools as well as a session on "How to trade in stock market" and an introduction to the sustainable development goals (SDGs) and the importance of the sustainable development in the Non-banking financial sector.

This sessions come along with a schedule of activities to be covered during October and through November to disseminate the key messages for investor protection and education to spread the financial literacy in 100 countries at the same time.

[#wiw2020](#) [#FRA](#) [#SDGs](#) [#sustainability](#) [#climateaction](#)

Zoom Meeting | 45 Minutes

8- Photos



التتقبف العالم، بالأنشطة المالية غير المصرفية

entrepreneurs

Video Conference Session on importance of the NBF's for

Video Conference Session on Surveillance of Capital Markets

نظرية العرض والطلب

رقم	الوقت	الاسم	القيمة	مستقل	مجموع صيقل	مجموع شركات العروض	عدد الصفقات	متوسط سعر
69.21		309968	130		67.27			
المالية	11-10 11:12	68	10000	100004598	?	حطان		
H S B C	11-10 11:11	68	685	100004336	?	بالونيرز		
خبريين	11-10 10:47	68	130	09996886	?	برام		
روية أونلاين	11-10 10:07	68	5000	09981074	?	المالية		
قاروس	11-10 10:36	68	1350	09993588	?	المالية		
H S B C	11-10 11:11	68	2995	100004336	?	المالية		
التجاري	11-10 10:58	68.05	5000	10000546	?	المالية		
أبوع الحسنة								
صعبة	11-10 11:26		H S B C	ارقام	100			
صعبة	11-10 11:18		H S B C	التورن	147			
صعبة	11-10 11:12		ارقام	المالية	1883			
صعبة	11-10 11:12		ارقام	المالية	1483			
صعبة	11-10 11:12		ارقام	المالية	822	68	202011	
صعبة	11-10 11:12		ارقام	المالية	739	68	202011	
صعبة	11-10 11:12		H S B C	المالية	2073	68	202011	
صعبة	11-10 11:10		ارقام	يلتون	73	68	202011	
كمية مقبولة		139	صعيات	أعلى طلب	68.04	أفضل	68.3	
1,477,681,340		276,576	المعمية	أقل عرض	67.85	أفضل	68.£	
رأس المال المتوفى		100,541,438,374	القيمة	أعلى عرض	68.36	أفضل	67.£	
		18,818,298	القيمة	أقل عرض	75.19	أفضل	67.£	
						الفسوق		
						الفسوق		

Video Conference Session on How to trade in stock market

Video Conference Session on importance of Sustainable Development



EL SALVADOR

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Superintendencia of Financial System (acronym in Spanish: SSF)

Other participants: Stock Exchange of El Salvador, Stockbrokers Association (acronym in Spanish: ASIB), Brokerage Firms, Investment Funds.

El Salvador joins the celebration of “World Investor Week 2020”, a global campaign promoted by the International Organization of Securities Commissions (IOSCO), whose purpose is to create awareness about the importance of education and protection for investors.

SSF coordinated the celebration “World Investor Week 2020” (WIW2020) held from November 9 to 13, 2020, inviting all of the entities integrated in the Stock Exchange to take active part of the event and raise the awareness of the need to promote financial disintermediation to generate financing and investing alternatives.

The objective raised by SSF while participating in this celebration was to offer knowledgeable resources to promote the population can be involvement in the Stock Exchange dynamics, which was oriented to investors and current or potential issuers, Stock Exchange entities, and the public in general.

The objective raised by SSF while participating in this celebration was to offer knowledge to promote the population can be investors in the Stock Exchange, for that reason the World Investor Week 2020 was oriented to investors and current or potential issuers, Stock Exchange entities, and the public in general.

Nowadays, due to the COVID-19 pandemic and the implementation of sanitary protocols to secure the population’s health, the World Investor Week 2020 event took place in a virtual environment, as technology has turned into an essential asset that allows to congregate and reach target audiences in digital spaces, therefore, the before mentioned measure.

Within the activities performed during World Investor Week 2020, the next ones can be listed:

- **Opening Greetings** to World Investor Week 2020, performed by Gustavo Villatoro, Superintendent of Financial System, event that marked the start of all the activities scheduled to carry out during Investor's week. <https://www.youtube.com/watch?v=Ix6ripere8I>

- **2 Conferences** which reached a total of 92 participants through the virtual platform, according to the following:

Nov/12/2020 **"Relevance of Financial Education and protection of investors"**, talks about the importance of financial education to empowerment investors, so they can have enough competences and abilities to take accurate financial decisions regarding their investment operations, and along with that, investors should take notice of the legal framework that protects them. This conference was moderated by Irina Cisneros, Director of User Support and Financial Education (SSF), the participants were Gerardo Serrano, Deputy Superintendent of Stock Market (SSF), and Valentín Arrieta, General Manager of El Salvador's Stock Exchange.

Nov/12/2020 **"Advantages of being an issuer and the importance of diversification of funding sources"**, conference shows that approached the process to become an issuer, how to prepare to take that decision, its benefits, obstacles, and how this experience contributes to the growth of businesses. With the participation in this conference of Giselle de Tejeira, Executive Vice President of Finance at La Hipotecaria, José Roberto Duarte, General Manager of SARAM, and Luis Salvador Liévano, Owner Director of AMC Credit Union. This conference was moderated by Wendy Doñan, head of the Financial Education Department (SSF).

- **Social media posts** that promoted the participation of public general in the diverse activities scheduled for World Investor Week. <https://www.facebook.com/Superintendencia-del-Sistema-Financiero-244834439018876/photos/1785465831622388>
- **3 TED Talks** about:
 - **"How to become an investor?"**, with the participation of Julia Castaneda, General Manager and Administrator of SGB Investment Funds, reach 14,578 views <https://www.youtube.com/watch?v=fkPtoT2hLNg>
 - **"Changing paradigms about investment in Stock Exchange"**, conference in charge of Juan Pablo Gálvez, investor. The dialogue reach 11,231 views. <https://www.youtube.com/watch?v=d-lwQyJzmdk>

- “Beware, of being fooled”, with the participation of José Alexander Castañeda, head of Cooperative Governance and Conduct Department (SSF). That received 14,346 views.

<https://www.youtube.com/watch?v=9-A7Nv1XUT4>



Semana del Inversor

Participa en el conversatorio virtual

Ventajas de ser emisores y la importancia de **diversificar las fuentes de fondeo**

13 de noviembre 5:00 p.m.
Inscríbete en el enlace

Panelistas:
Giselle de Tejera
Vicepresidenta Ejecutiva de Finanzas de La Hipotecaria
José Roberto Duarte
Gerente General SARAM
Luis Salvador Liévano
Director Propietario AMC

Moderadora:
Wendy Doñan
Jefa de Educación Financiera

www.ssf.gov.sv





FRANCE

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Florence Corne

Other IOSCO members:

Other participants: AFG, ANACOFI, Bourse Direct, CNCGP, Compagnie CGP CIF, Ecole de la bourse, Euronext, INC, La finance pour tous

The Autorité des marchés financiers (AMF) and its partners participated actively in the fourth edition of "World Investor Week" (WIW).

The AMF published a press release to inform about the launch of its MOOC, in partnership with the French institute for consumer protection (INC), and an article presenting the French initiatives for this edition of the WIW.

It generated 163 web, social media and press mentions. The internet users' total audience having been able to see the information was 721 983 and the number estimated of Internet users having been reached by the publications was 85 229.

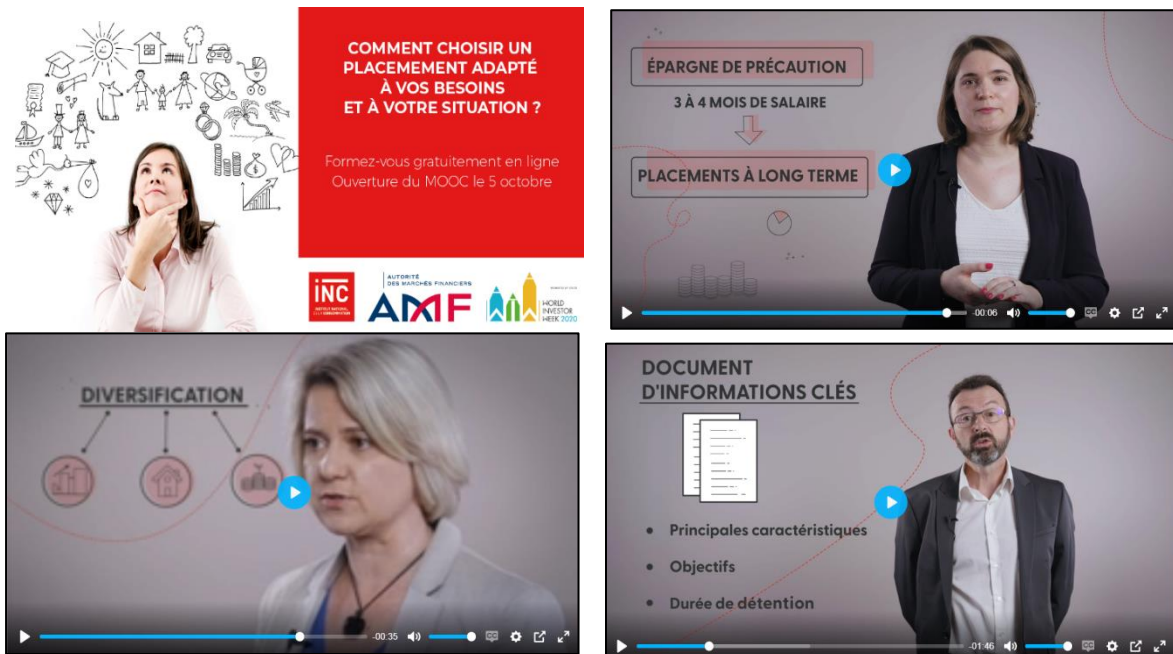
The AMF found partners to support the initiative. Partners also had to communicate on this week with their internal public (banks, asset-management companies, teachers, members...) and retail investors in general.

The AMF posted two posts every day on its Facebook page during the week with the templates sent. The number of impressions of these posts was 11 469 people with 316 clicks, reactions, comments or shares. The AMF also posted some posts on its Twitter account.

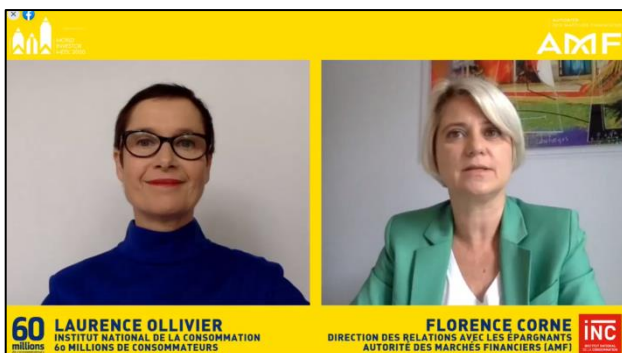


The AMF created specific content and organized several meetings during this week:

- Launch of the AMF 1st MOOC in partnership with the INC "How to Manage your Savings and Investments Effectively ?". 3 educational objectives: train on methods and good practices on savings and investment; know and understand the different savings and investment products; and raise awareness on the risk of scams. Participants had until November 23 to complete the evaluations. 21 405 people registered to follow this online course, and 25% of them achieved it successfully.



- Various events for investors:
 - a webinar for individual investors followed by 86 participants, organized with the French Federation of individual investors and investment clubs (F2iC) and the Banque de France about the extra-financial reporting for listed companies;
 - a Facebook Live broadcast with the INC about sustainable investment (3 675 views including replay); and
 - a webinar on how to invest wisely in shares with a specific development about the PEA (*Plan d'épargne en actions*) followed by 414 participants.



GERMANY (BAFIN)

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: BaFin, Bundesanstalt für
Finanzdienstleistungsaufsicht

Other IOSCO members: DDV

Other participants:

BaFin's activities:

During the October 2020 campaign, BaFin has released a new brochure providing key information for young adults with core elements of financial knowledge ("Schule vorbei - Was junge Menschen über Geld wissen sollten") and has published a new edition of its brochure "Investing during Retirement" ("Geldanlage im Ruhestand").

The brochure for young adults provides them with the most important information they need at the beginning of a professional career and contains key questions.

The revised brochure with useful advice on investing during retirement was published on BaFin's website. Thus, BaFin approached a group of consumers with increasing importance taking into account not only the growing number of people above the age of 65 but also the increasing amounts of money ready for investment by these persons and their specific needs such as the possibility of flexible and short-term disinvestment in case of illness and need of care.

Moreover, during the week of campaigning, BaFin experts participated in a digital meet-up organised by Digital-Kompass to inform consumers, especially senior citizens in a webinar about continuing obligations, in particular insurance contracts ("Dauerschuldverhältnisse, insbesondere Versicherungsverträge"). Digital-Kompass is a joint project between the German National Association of Senior Citizens' Organisations (Bundesarbeitsgemeinschaft der Seniorenorganisationen – BAGSO) and the non-profit association Deutschland sicher im Netz e.V.. The project is aimed at helping senior citizens when they use the internet. It is also supported by the Federal Ministry of Justice and Consumer Protection (Bundesministerium der Justiz und für Verbraucherschutz – BMJV). The meet-ups run by Digital-Kompass are streamed live as webinars with an interactive design.



GERMANY (DDV)

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: BaFin

Other IOSCO members: Deutscher Derivate Verband (e.V.)

Other participants:

During World Investor Week 2020 DDV – the association of issuers of structured products in Germany – promoted its self-regulatory initiatives.

Under the Fairness-Kodex the issuers of structured products are obliged to make the characteristics and costs of the products transparent.

With the Derivate-Liga the issuers have set up a standardized system of classification for structured products. They are obliged to match each of their products to one of the eleven categories. These are ranked by risk. The Derivate-Liga supports retail investors to take a profound investment-decision.

Furthermore the members of DDV organized Webinars during the World Investor Week. The aim of these webinars is to educate private investors and improve their knowledge and skills. Among the topic were “Trading strategies” and “Market assessment”.

GIBRALTAR

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Gibraltar Financial Services Commission (GFSC)

Other IOSCO members:

Other participants:

This year's World Investor Week (WIW) commenced on Monday 26 October and was exclusively an online campaign due to the ongoing COVID-19 pandemic.

The GFSC aimed to maximise key messages on safe investing to further educate and protect consumers via the GFSC and Moneywise's social media platforms (Facebook, Twitter and LinkedIn), and local press outlets.

A series of informative flyers on smart investing was published daily throughout the week, and included tips on potential risks when investing online or in person. Effective due diligence, considerations on celebrity endorsements, the use of credit cards and crypto, and red flags to be mindful of were all highlighted in the flyers.

Additionally, The Gibraltar Chronicle, the jurisdiction's leading daily newspaper published two articles during the week. The first, published at the start of the campaign, focused on the overall objective of IOSCO's World Investor Week and guidance on smart investing which took into account the steady increase in scams noted in the current pandemic.

The second feature, focused on the GFSC's Moneywise game and its subsequent launch on the following Monday (November 2). Accompanying posters of the game's launch were also published in the Gibraltar Chronicle and the GFSC's social media accounts.

As part of the GFSC's on-going consumer education programme, which aims at increasing public awareness and consumer protection, the Moneywise game was created for children from the ages of 5-12 and follows Penny the pig (the Moneywise mascot) on an adventure around Gibraltar in search of gold coins, whilst learning to be money-wise along the way. The game was developed in the style of an arcade game and is available free on iOS and Android devices.

The smart investing doodle video used in last year's campaign which focused on key things to consider when investing, was amended in reflection with this year and posted daily on another local media outlet's online platform –Your Gibraltar TV (YGTv).

The online feedback from consumers during this campaign was positive, with social media engagement in the form of views and likes, peaking into the thousands. Although this year has brought on limitations within face-to-face communication between regulators and consumers, social media and evolving technology has allowed the GFSC to continue delivering investor education efficiently and effectively.

BE A SMART INVESTOR

DUE DILIGENCE

- **THINK** •
DO YOU UNDERSTAND THE INVESTMENT? DO YOU NEED PROFESSIONAL ADVICE?
- **CONSIDER** •
DO YOU KNOW WHERE THE MONEY IS STORED AND HOW YOU CAN ACCESS IT?
- **READ** •
ARE YOU COMFORTABLE WITH THE FEES YOU HAVE TO PAY?
- **REMEMBER** •
ANYONE CAN CREATE A WEBSITE. IT DOES NOT MEAN THE INVESTMENT IS ALWAYS REAL.

GIBRALTAR FINANCIAL SERVICES COMMISSION

Useful links:

<http://www.moneywise.gi/>
<https://www.facebook.com/moneywisegib>
<https://www.facebook.com/GibFSC>
<https://twitter.com/gibfsc?lang=en>
<https://twitter.com/moneywisegib>
<https://www.linkedin.com/company/gibraltar-financial-services-commission>

BE A SMART INVESTOR

CREDIT CARDS

- **NOT YOUR MONEY** •
USING CREDIT CARDS FOR INVESTING CAN RESULT IN UNNECESSARY DEBT
- **INCOME STRUGGLE** •
• **HIGH INTEREST** •
RATES ON CREDIT CARDS MEAN YOU NEED MORE PROFIT TO BREAK EVEN
- **UNAUTHORISED CHARGES** •
FRAUDSTERS CAN ASK FOR CREDIT CARD PAYMENT DETAILS & CHARGE WITHOUT PERMISSION

GIBRALTAR FINANCIAL SERVICES COMMISSION

BE A SMART INVESTOR

CELEBRITY ENDORSEMENTS

- **DOES THE INVESTMENT SUIT YOU?** •
ENSURE THE PRODUCT OR SERVICE MEETS YOUR NEEDS
- **FRAUDULENT ADVERTISING** •
• **CELEBRITY'S NAME MAY BE USED WITHOUT CONSENT**
- **RESEARCH** •
DO YOUR RESEARCH BEFORE CONSIDERING THE PRODUCT
- **FRAUDULENT ENDORSEMENT** •
CELEBRITIES MAY BE LURED INTO ENDORSING A PRODUCT OR SERVICE WITHOUT KNOWING IT'S A SCAM

GIBRALTAR FINANCIAL SERVICES COMMISSION

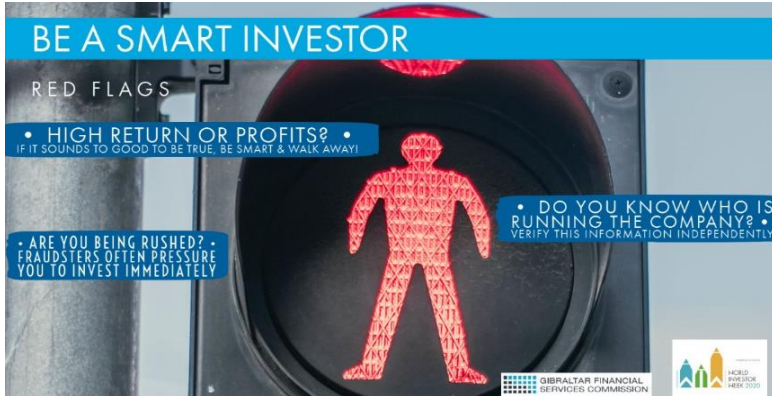
Smart investing flyers published on the GFSC and Moneywise's social media platforms (Facebook, Twitter and LinkedIn) and in the Gibraltar Chronicle (print)

BE A SMART INVESTOR

CRYPTOASSETS

- **FLUCTUATION** •
PRICES WILL GO UP AND DOWN. YOU COULD LOSE YOUR WHOLE INVESTMENT
- **TARGET FOR HACKERS** •
IF YOUR CRYPTO IS STOLEN, YOU MOST LIKELY WILL NOT BE ABLE TO GET IT BACK
- **NO COMPENSATION** •
THERE ARE NO COMPENSATION SCHEMES FOR THIS TYPE OF INVESTMENT

GIBRALTAR FINANCIAL SERVICES COMMISSION



The moneywise game coming soon and live promotional posters featured in the Gibraltar Chronicle (print) and the GFSC's social media pages.



The moneywise game example available of Android and iOS devices for children from the ages of 5-12.



GIBRALTAR CHRONICLE



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MONDAY 26TH OCTOBER, 2020 The Independent Daily - first Published 1801 PRICE £1

Four new virus cases in Govt schools

Four new positive cases of Covid-19 were identified within government schools and educational settings last Friday. One positive case of Covid-19 was identified in each of St Bernard's Upper Primary School, Bishop Pitcairne Upper Primary School, St Martin's School and the Gibraltar College.

The Contact Tracing team have been liaising with staff at all four educational institutions and the Department of Education, and have conducted interviews with all relevant individuals.

All individuals who have been identified as close contacts of the positive cases have been informed that they are required to self-isolate.

Close contact is defined as close proximity within an enclosed area for a period of time longer than 15 minutes.

The case in St Bernard's Upper Primary is the first case identified within this school.

No other pupils or staff there have been deemed to have been in close contact with the positive case and therefore no individual within the school setting, apart from the positive case, has been instructed to self-isolate.

(Continued on page 2)



CHARITY WELL: Action4Schools Sierra Leone has funded another water well, thanks to local charitable donations, which is now operating in Redemption Primary and Junior Secondary School in Makolo, Port Loko, Sierra Leone. It is the 65th of its kind.

Catering sector hopeful for additional help from Govt

by Priya Gulraj

The Gibraltar Catering Association is hopeful for new financial support from the Gibraltar Government to adequately reflect the impact of further restrictions imposed on the catering industry.

The catering industry is one that has already been affected by the Covid-19 pandemic, with bars and restaurants forced to remain shut to the public earlier this year as Gibraltar went into lockdown.

And although BEAT Covid measures provided a lifeline to businesses during the height of the pandemic, the Gibraltar Catering Association hopes support measures will continue for the sake of the sector's survival. Alternatively, it also hopes to

gain a seat at the CELAC table and form a part of the Gibraltar Government's Covid Emergency Liaison & Advisory Committee, to put forward its industry's concerns.

The GCA said members have reported a drop in trade, losing up to 40% and 60% in revenue in comparison to last year.

In an interview with the

(Continued on page 2)

GFSC campaign puts spotlight on sound investments



by Matthew Ramirez See page 4

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The Gibraltar Chronicle paper – Monday 26 November: 'GFSC campaign puts spotlight on sound investments' front page story.

Smart investing doodle video featured on Your Gibraltar TV (YGTV) online platforms.

HONG KONG

WORLD INVESTOR WEEK 2020 – REPORT OF HONG KONG, CHINA

National / Jurisdictional Coordinator: Investor and Financial Education Council

Other IOSCO members: Hong Kong Exchanges and Clearing Limited

Other participants: Hong Kong Green Finance Association, Association of Chartered Certified Accountants Hong Kong, CFA Institute, CFA Society Hong Kong, Institute of Financial Planners of Hong Kong



The Investor and Financial Education Council (IFEC) promoted key education messages in conjunction with World Investor Week (WIW) 2020. We organised a series of activities around the impact of the pandemic on investments, promoting prudent investment concepts and highlighting the trending investment scams to retail investors. Due to COVID-19, this year's WIW activities were conducted via online platforms.

Launch event

- **Ring the Bell for Financial Literacy cum financial education webinar**

The Hong Kong Exchanges and Clearing Limited and the IFEC held an [online bell-ringing ceremony](#) on October 5 to kick start the WIW. This was followed by a webinar to explore how organisations could join forces to enhance the financial wellness of their employees and that of the public. The virtual event was attended by 120 institutional representatives.



Education talks

- **Green Investment Opportunities in Hong Kong**

A [FB live investor education talk](#) was arranged on October 7 to help retail investors understand ESG and sustainable investing and green investment opportunities in Hong Kong. Speakers included representatives from the Securities and Futures Commission, the Hong Kong Green Finance Association and a Chief Market Strategist from a major bank. This live session was hosted on the Facebook page of a popular financial media in Hong Kong. There were 135 participants during the FB live session and 85,000 video views after live session.



- **Technology Sector Analysis Webinar**

In view of the popularity of technology sector stocks, a [webinar](#) was held to discuss how retail investors could conduct their own research, including how to analyse business models, fair value and risk factors of technology stocks. The webinar was held on October 8 and drew some 407 participants.



- **ABC of Investing Webinar**

This webinar targeted novice investors with the aim to equip participants with the necessary investment knowledge and skills. It was arranged on October 12 and there were 61 attendees.

- **TV programme for young adults**

To extend the momentum of WIW, the IFEC arranged a TV programme on October 15 to discuss saving and investment habits and behaviours among young adults. The programme had an audience of around 50,000 people.



Online resources

- **Investing 101 video series**

The IFEC produced [15 short videos](#) to help the public to learn about investment basics and important concepts. These tutorial videos, hosted by three popular TV anchors, were promoted in social media and recorded over 500,000 video views.



- **Be aware of stock trading scams on social media**
A web article was published to alert the public of the ever-growing issues of “pump and dump” schemes in social media. Advertising banners were arranged in popular online platforms to widespread the messages. The web article recorded over 20,000 views.
- **Chatbot games**
Two rounds of Chatbot games via FB Messenger were arranged on October 6 and October 9 to engage the public. The games attracted 1,671 participants.
- **Others**

The IFEC arranged seven social media posts on FB and IG to promote WIW and these received 1,000 likes. The IFEC also sent out a press release in late September to announce the WIW activities and covered this in the newsletter.

Activities organized by stakeholders

- **World Financial Planning Day**

To support the WIW and the World Financial Planning Day, the Institute of Financial Planners of Hong Kong conducted the “COVID-19 Impact on Retirement Planning” [Public Survey](#) to gather public views on retirement planning since the outbreak of the COVID-19 pandemic.

END

INDIA

WORLD INVESTOR WEEK 2020

National Coordinator:

INDIA –SECURITIES AND EXCHANGE BOARD OF INDIA (SEBI)

Other IOSCO members:

BSE LIMITED (BSE)

MULTI COMMODITY EXCHANGE OF INDIA LIMITED (MCX)

NATIONAL STOCK EXCHANGE OF INDIA LIMITED (NSE)

(as available from the website of IOSCO:

<https://www.iosco.org/about/?subsection=membership&memid=3>)

Other participants:

SEBI Recognized Institutions/Trainer	Investors' Associations (IAs)
	Commodities Derivative Trainers (CoTs)
	Securities Market Trainer (SMART)
Stock Exchanges	BSE Ltd.
	National Stock Exchange of India Ltd. (NSE)
	Metropolitan Stock Exchange of India Ltd. (MSE)
Depositories	National Securities Depository Limited (NSDL)
	Central Depository Services (India) Limited (CDSL)
Commodities Derivatives Exchanges	Multi Commodity Exchange of India Limited (MCX)
	National Commodity & Derivatives Exchange Limited (NCDEX)
Other Associations	Association of National Exchanges Members of India (ANMI)
	BSE Brokers Forum of India(BBF)
	Association of Mutual Funds of India (AMFI)

SECURITIES AND EXCHANGE BOARD OF INDIA

WORLD INVESTOR WEEK – 2020 IN INDIA November 23-29, 2020




LIVE Webinar on Awareness Programme for FPOs - World Investor Week

Date: 27 November 2020 Time: 04:00 PM TO 06:00 PM

Speakers

- Mr. S. R. Garg, Executive Director, SEBI
- Mr. Prasad Jagdale, General Manager, SEBI
- Mr. Vijay Kumar, MD & CEO, NCDEX
- Mr. Umang Kapoor, Sr. Manager, NSEI
- Mr. Bhuvan Bhaskar, Moderator

Webinar organized by NCDEX IPFT



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

Securities Market Trainers (SMARTS) Program
launched by
Shri Ajay Tyagi
Chairman, SEBI
on November 25, 2020



WORLD INVESTOR WEEK 2020
November 23-29, 2020

INVESTOR AWARENESS: A KEY TO FINANCIAL WELL-BEING

Plan and invest according to your needs and goals.

भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

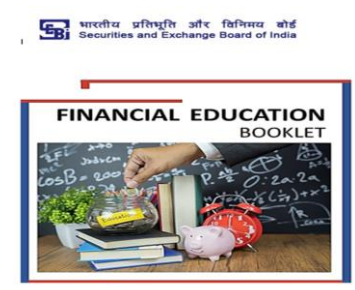
WORLD INVESTOR WEEK 2020



Booklet on **SECURITIES MARKET**
UNDERSTANDING FROM INVESTOR'S PERSPECTIVE

भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

BSE, NSE, XNSE, NSDL, CDSL

भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

FINANCIAL EDUCATION BOOKLET

EXECUTIVE SUMMARY

World Investor Week-2020 (WIW-2020) is a week-long, global campaign promoted by IOSCO to raise awareness about the importance of investor education and protection and highlight the various initiatives of Securities Market Regulators in these two critical areas. The WIW-2020 was held from November 23-29, 2020 in India for the fourth consecutive time.

Following the decision of the Committee 8, all WIW events were held online due to COVID-19 Pandemic. SEBI as the National Co-ordinator under the aegis of IOSCO celebrated WIW 2020 with the co-ordination of the stock exchanges, depositories, commodities derivatives exchanges (Market Infrastructure Institutions(MIIs), Associations of Mutual Funds (AMFI), SEBI recognized Institutions and other associations. A host of initiatives were taken to encourage to promote investor awareness and education using digital platform for conducting Pan India Investor Awareness Programs. The objective is to equip existing and potential investors with the knowledge of understanding of the securities markets.

As part of this initiative, series of activities were undertaken to celebrate the WIW-2020. A special message from Chairman, SEBI were displayed at official website of SEBI, SEBI investor website and websites of MIIs, AMFI and other associations.

Bilingual Digital/Physical banners about celebration of WIW-2020 were exhibited in all Offices of SEBI. Digital Banners/Physical Banners were also displayed at all offices of SEBI recognized stock and commodity derivative exchanges and depositories and other associations who had taken part in the celebration of WIW-2020. Digital Ticker about the celebration of WIW-2020 was also run on the SEBI official website, SEBI investor website and also on the websites of SEBI recognized stock and commodity derivative exchanges and depositories and other associations. Digital Teaser was also run before the beginning of the celebration of WIW-2020 on SEBI websites. Digital Micro-site was developed and run on the SEBI investor website for the investors to know about the various awareness programs being conducted by MIIs, AMFI and other Associations.

As a part of the celebration, Ring the bell ceremony was organized by MIIs. Officials of MIIs and SEBI Senior officials attended the ceremony. Securities Market Booklet in Braille, videos curated specially for hearing impairment, revised Securities Market Booklet and Financial Education Booklet were launched during the opening bell ceremony.

In order to enhance the reach and increase the number of investor education programs, SEBI launched a new model Securities Market Trainer (SMART). This

new initiative was inaugurated by Chairman, SEBI with the presence of Whole Time Member and other senior officials of SEBI.

Investor awareness campaign through webinars were conducted independently and with co-ordination with SEBI as Guest Speakers on various topics by MIIs, AMFI, SEBI recognized Investor Associations and other Associations for farmers/farmer producers organization in the commodity derivatives market and investors in equity market. The webinar attracted interest from countries across the globe. 120 million people were reached through webinars, newspaper articles, E-mails, SMS, TV shows and radio programs etc.

Special investor awareness webinars were organized inviting securities market dignitaries by ANMI and BBF-IFIE in association with MIIs.

Under a unique women centric initiative “Be your own Laxmi” and programs focusing towards young women earners, women entrepreneurs, women volunteers/workers of Self Help Groups/NGOs were conducted by MIIs. This received very good response.

National level online Quiz contests were organized by MIIs across India for investors on Equity and Commodity Derivatives. There were wider participation of investors and the winners were awarded prizes. Spot slogan competition was organized by MII during WIW-2020 related to commodities market and the best slogans were given prizes. SEBI also organized internal triathlon competition for its employees comprising of three competitions viz. Slogan Writing contest, Idea writing contest and poster designing contest on various themes on investor awareness. The winners of the said competition were rewarded.

Knowledge series of Videos were launched on various concepts by MIIs in various languages related to Equity market and Commodity Derivatives.

Awareness campaign on various topics were conducted during WIW-2020 on TV/Radio, Print and Social Media platforms viz. Youtube, Facebook, Twitter, LinkedIn, Whatsapp, etc.

Various print media advertisement was published in leading newspaper about Do's and Don'ts investing in securities market.

1. INTRODUCTION

Investor Protection is one of the prime objectives of International Organisation of Securities Commission (IOSCO). As it is an accepted principle that an informed investor is a protected investor, investor awareness is a key activity of the securities market regulators.

Towards this end, IOSCO celebrates World Investor Week across the globe every year. During the week, there is a heightened activity undertaken by the securities market regulators towards investor awareness.

This year, owing to the pandemic, IOSCO permitted the jurisdictions to celebrate the Week anytime during the months of October or November. Accordingly, the World Investor Week was celebrated in India during November 23-29, 2020. SEBI was the National Co-ordinator for the same.

Under the aegis of IOSCO and SEBI, the Week was celebrated with the active participation from Market Infrastructure Institutions such as Stock Exchanges, Commodity Derivatives Exchanges, Depositories, Association of Mutual Funds of India. SEBI recognized Institutions/Trainer viz. Investor Associations, Commodity Derivatives Trainers, Securities Market Trainers and trade bodies such as Association of National Exchange Members of India (ANMI) and BSE Brokers Forum of India (BBF) were also part of the celebration.

This year there were also a few new unique initiatives carried/launched out during WIW-2020 celebrations namely, women centric programs, booklets launched and video launched for visually challenged and hearing impaired people, launch of Securities Market Trainer etc. This report brings out the summary of activities carried out by the participating entities.

2.SERIES OF EVENTS – BEGINNING OF THE WIW-2020 IN INDIA

I. Display of Banners and Tickers about celebration of World Investor Week, 2020

Bilingual banners about celebration of WIW-2020 were exhibited in all offices of SEBI viz. Head office in Mumbai, four regional offices in New Delhi, Chennai, Kolkata and Ahmedabad and local offices located at various states in India. Banners were also displayed at all offices of SEBI recognized stock and commodity derivative exchanges and depositories. The bilingual banners were also displayed at SEBI website (www.sebi.gov.in) and SEBI investor website (www.investor.sebi.gov.in). The format of the banners displayed is as follows:



- II. **TICKER:** Bilingual Ticker about celebration of WIW was run on SEBI website and SEBI investor website as well as websites of stock exchanges, commodity derivative exchanges and depositories in the following format:

“World Investor Week November 23-29, 2020 being Celebrated under aegis of IOSCO and SEBI.”

Image: WIW-2020 banner displayed during an investor awareness program

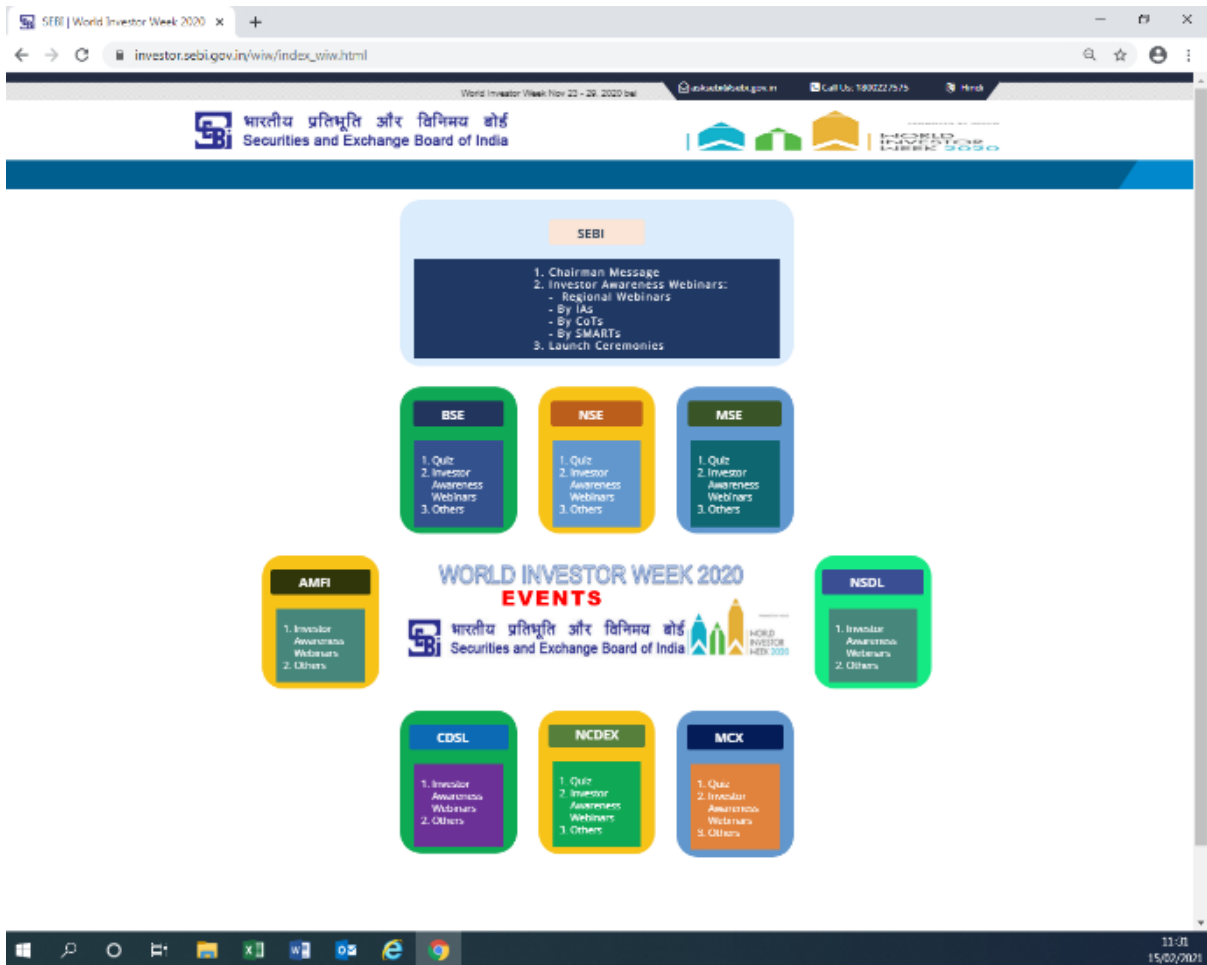
- III. **Teaser:** Bilingual Digital teaser about WIW-2020 celebrations was developed and the same was run before the beginning of WIW-2020 on SEBI website.

Image: Teaser displayed during WIW-2020.



IV. **Micro-Site:** A micro-site was developed for WIW – 2020 on SEBI Investor Website containing all information related to celebration and links of different websites of other participants members for various awareness activities conducted under them.

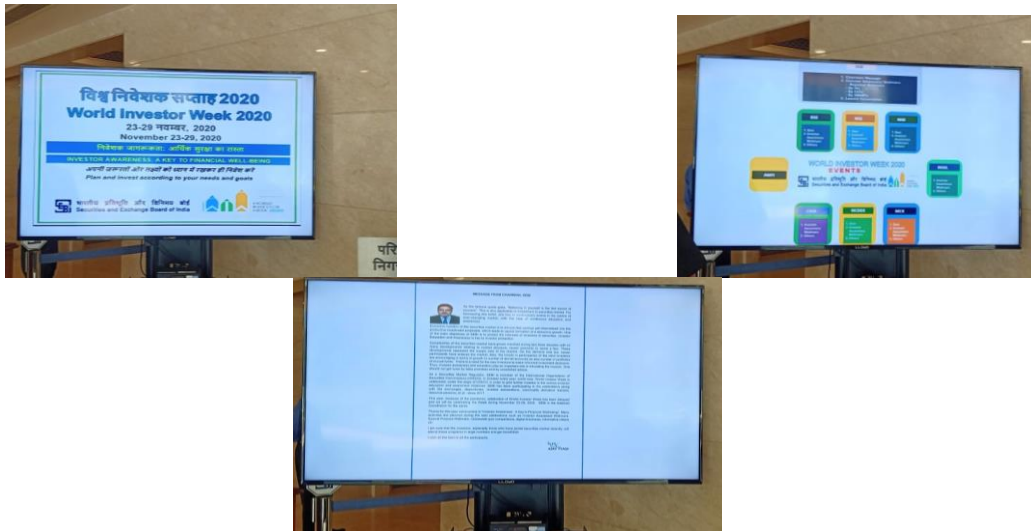
Image: Micro-site displayed during WIW-2020 at the official site of SEBI investor website.



VI. Display on TV Screens at SEBI Bhavan Premises:

A Powerpoint presentation of Banner, Micro-site and Message from Chairman were displayed at the TV screens at Premises of SEBI Headquarters

Image of the Display on TV Screens at SEBI Bhavan, Headquarters in Mumbai



VII. Ring the Bell Ceremony

As a part of commemorating WIW-2020 celebrations, a “Ring the Bell Ceremony” was organized by BSE Ltd., NSE and MCX Ltd.. As part of this initiative, BSE Ltd., and MCX Ltd., commemorated opening Bell ceremony to mark the beginning of the WIW-2020 in the presence of Senior SEBI Officials and Officials of BSE Ltd., and MCX Ltd., on November 23, 2020 and November 24, 2020 respectively. National Stock Exchange of India Ltd. (NSE) rang the closing bell on November 23, 2020.

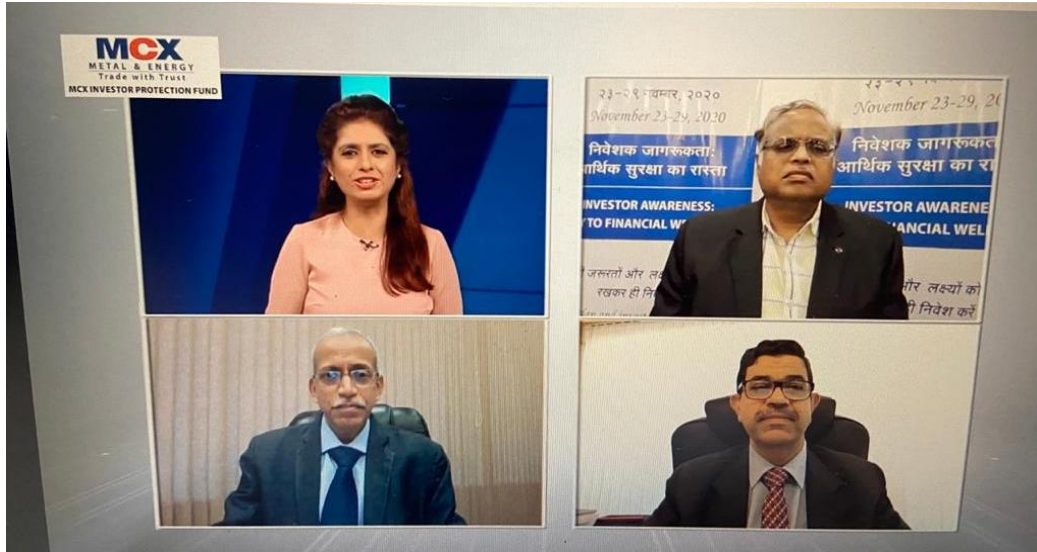
On this occasion, Mr. G P Garg, Executive Director, SEBI said, “Investor should take well informed decisions pertaining to investments. Every investor should understand the risk associated with investments.”

Image: Ring the Bell Ceremony





Ring the Bell Ceremony by Mr. G.P. Garg, Executive Director, SEBI (4th from the left), Mr. N. Hariharan Chief General Manager, SEBI (3rd from the left), Ms. Latika S. Kundu MD & CEO, MSEI (2nd from the left), Mr. Prasad Jagadale General Manager (SEBI extreme left), Mr. Ashish Kumar Chauhan, MD & CEO, BSE Ltd. (4th from the right) Mr. Yogesh Kundnani, Vice President, CDSL (3rd from the right), Mr. Krishnanand Raghavan, Chief General Manager, SEBI, (2nd from the right) and Ms. Jeny John, General Manager SEBI (extreme right) at BSE on November 23, 2020.



Ring the Bell ceremony in the virtual presence by Mr. G. Mahalingam, Whole-Time Member, SEBI (Bottom left), Mr. P.S. Reddy, MD & CEO, MCX (Top-right) and Mr. G.P. Garg, Executive Director, SEBI (Bottom right) on November 24, 2020.

Closing Bell Ceremony- NSE



Closing Bell Ceremony by Mr. G.P. Garg, Executive Director, SEBI (in center) at NSE on November 23, 2020. Mr. N. Hariharan, CGM, SEBI (to the left) and Mr. Ravi Varanasi, Chief Business Development Officer, NSE (to the right).

3.SNAPSHOT OF THE REACH OF WIW-2020.....

	Views/Reach (in Million)
Twitter (views)	1.90
Facebook (views)	20.03
Instagrams (views)	37.84
You tube (viewed)	0.01
LinkedIn(views)	0.22
SMS Sent	5.00
Emails sent	12.00
TV shows (views)	>10.00
Newspapers	>10.00
Radio	>6.00
Booklets, flyers distributed	>15.00
Lectures, talks series, webinars reached out	1.00
online resources (pageviews)	1.30

Approximately there was reach-out of 120 million people during the WIW-2020

4. Educational Content

I. Launch of Revised Booklet on Securities Market: Understanding from Investors' Perspective

To streamline and standardise the availability of educational content for both the existing and prospective investors, a standardised booklet titled: “Booklet on Securities Market: Understanding from Investors' Perspective” was developed and released by Mr.G.P Garg, Executive Director, SEBI, during the WIW-2020 opening bell ceremony organised by BSE Ltd., on November 23-2020. The release ceremony was also attended by Mr. N Hariharan, CGM, SEBI and Mr. Krishnanand Raghavan, CGM, SEBI along with other SEBI Officials along with dignitaries from Market Infrastructure Institutions (MIIs).



Image: Release Ceremony of the Securities Market Booklet by Mr. G.P. Garg, ED, SEBI (third from the right) on November 23, 2020 in the presence of Senior officials of SEBI and BSE Ltd

This revised booklet was developed making it more reader friendly, easy to understand and explaining the concepts with including more infographics. The booklet covers basic aspects of investing in securities market like introduction to securities market, process of investing in securities market, recent market developments in Indian Securities Market like introduction of e-KYC mechanism, concepts of margin pledge/ re-pledge, revision of the risk-o-meter of mutual funds, etc., precautions to be taken by investors while investing, among others. The said booklet was jointly developed by SEBI in coordination with all the Market Infrastructure Institutions (MIIs). This common booklet will be used by the SEBI and MIIs in all investor awareness program across the country. The booklet has been prepared in English and various other Indian Languages.

A unique step for financial inclusion of differently abled persons had launched the said booklet in Braille version by one of the MII. investor awareness videos curated specially for hearing impaired people were also launched.

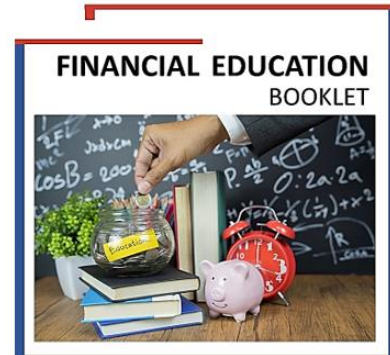
A. Financial Education Booklet

With the objective of financial inclusion to students, home makers, retired people, self help groups an educational content for financial education programs, a common booklet on Financial Education, a standardised booklet was developed and released by Mr. G.P Garg, ED, SEBI, during the WIW-2020 on November 25, 2020. The release ceremony was also attended by Mr. N Hariharan, CGM, SEBI and Mr. Krishnanand Raghavan, CGM, SEBI along with other SEBI Officials.



Image: Release of the common Financial Education Booklet by Mr. G.P. Garg, ED, SEBI (fourth from the right) on November 25, 2020.

This booklet was developed to demystify the basic financial education concepts with including more infographics. The booklet covers basic concepts of financial education related to capital markets, banking sector, insurance sector and pension sector, government schemes, current market developments like basic services demat account, e-KYC mechanism, do's and don'ts' of investing, grievance redressal mechanism, caution against Ponzi schemes precautions to be taken by investors while investing, etc.



II. KEY MESSAGES IN LINE WITH IOSCO KEY MESSAGES.

Broad theme of key messages in line with IOSCO key messages was shared with all the Market Infrastructure Institutions to create awareness through media campaign and social media.

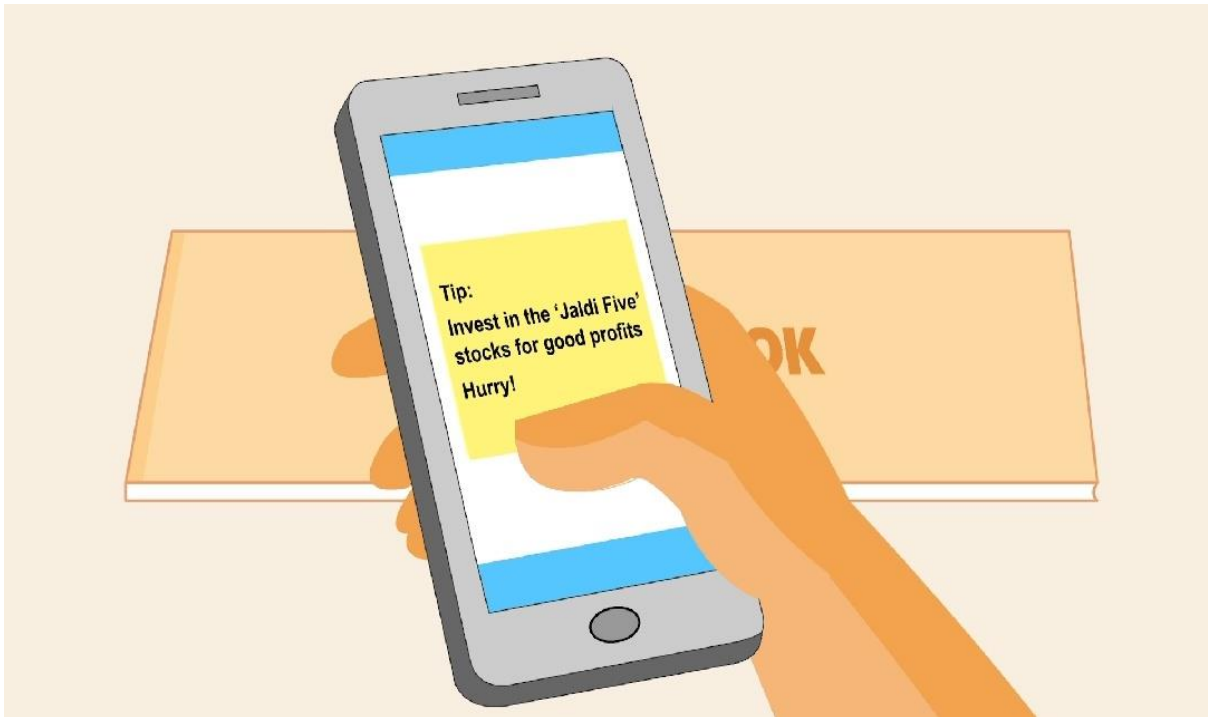
The key messages covered on the topics on Do's and Don'ts and precautionary messages. The themes viz. one-time know your client, Grievance Redressal, Precaution against Dabba Trading, Dealing in Securities Market, A smart investor avoids get rich quick and can't lose schemes, recognizing the importance of Diversification, importance of due diligence, not to invest based on a celebrity endorsement, assesses the impact of fees when choosing an investment, to understand the risks involved and to conduct research etc.,

In light of above, MIIs launched TV and print advertisements in leading TV Channels and newspapers. The major themes covered are as follows:

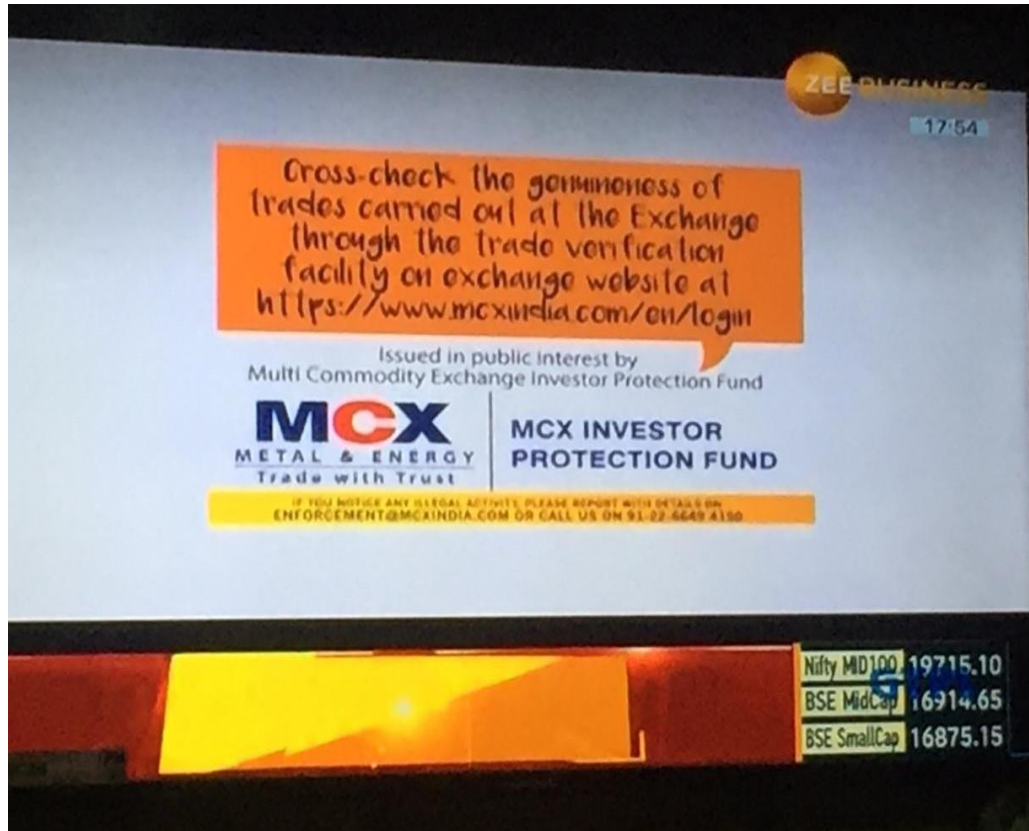
- i. An informed investor is a protected investor: This campaign contained precautionary measures that investor should follow before investing in securities market.



- ii. Not believe in Hot Tips on trading: The campaign was published with the objective of cautioning investors about not to believe in hot tips, rumours, assurances of huge returns etc before investing in securities market.



- iii. Advantages of trading on the Stock Exchange platform. The objective of this campaign is to make the investors aware of advantages in trading in stock exchange platform.



- iv. Grievance Redressal Mechanism: The objective of this campaign for investors to know where to approach in case of dispute/grievance against the stock brokers.



**APPEAL
UMPIRE SNICK O METER
HOTSPOT HAWK EYE
LED BAILS... AND DRS**

**EXCHANGE'S DISPUTE
RESOLUTION MECHANISM
GETS YOU JUSTICE
FASTER**

- You can file your grievance/dispute online on Exchange website or visit the nearest Investor Service Centre (ISC) of the Exchange
- Your grievance shall be redressed within the Turn Around Time specified by SEBI
- The Exchange Dispute Resolution Mechanism includes handling the dispute by the Grievance Redressal Committee (GRC)/ Arbitration/ Appellate Arbitration
- If aggrieved by the Arbitral / Appellate Arbitral Award the parties can appeal in the Court of Law u/s. 34 of the Arbitration and Conciliation Act, 1996

To participate in commodity market, contact only registered stock broker. All the transactions are subject to market risk. Read the risk disclosure document (RDD) carefully before transacting in commodity futures and options.

5. INVESTOR AWARENESS PROGRAMS - WEBINARS

I. Digital Investor Awareness Programs conducted during WIW-2020

SEBI along with other participant's viz. stock and commodity derivatives exchanges, depositories, SEBI recognized Investors' Associations and other Stakeholders conducted various investor education and awareness programs.

The information about the various programs conducted during World Investor Week, 2020 were provided in the public domain through social media channels like Youtube, Facebook, LinkedIn, Twitter and through SMSes and emails.. In addition to that, several awareness messages were uploaded on social media creating a wider penetration of investor awareness activities.



anmi
ANALYSTS AND INVESTORS ASSOCIATION OF INDIA

INVITES YOU TO THE INAUGURAL CEREMONY OF INVESTORS EDUCATION & AWARENESS WEEK
in part of
WORLD INVESTOR WEEK 2020
November 23, 2020 at 3:45pm

UNDER THE AEGIS OF
MINISTRY OF FINANCE
SEBI
NSE BSE
MCX NCDEX XNSEI NSDL CDSL NISM

SPEAKERS

Mr. Anand Mishra
Mr. V. S. Subramanian
Mr. Arup Biswas
Mr. R. Lakshmi
Mr. E. S. Narayanan
Mr. R. S. Maheshwari

Mr. Anand Mishra
Mr. V. S. Subramanian
Mr. Arup Biswas
Mr. R. Lakshmi
Mr. E. S. Narayanan
Mr. R. S. Maheshwari



ZEE MEDIA
ZEE BUSINESS & MCX IPF
Presents
बुलियन डेरिवेटिव कम रिस्क, सही निवेश
Live Webinar

ZEE BUSINESS और MCX IPF मिलकर बुलियन डेरिवेटिव पर एक वेबिनार का आयोजन कर रहे हैं

OUR PANELISTS

Mritunjay Kumar Jha
Mayank Khemka
Harsh J Acharya
Manoj Jha
Anurag Rastogi
Shivanshu Mehta
Surendra Mehta
Nayan Mahendra Gogri
Nitin Khandselwal

WEDNESDAY, 25TH NOVEMBER, 2020
1:00 - 2:30 PM

REGISTER NOW

BUILDING BHARAT, BUILDING BRANDS FOR 26 YEARS

FOR INNOVATION STUDIO AND CUSTOM CONTENT SOLUTIONS, CONTACT:
RITESH SHUKLA 9694729777 SOUMIK GHOSH +919920405799

Web based panel discussion “India Investor Show 2020” was organized on topic “Investor Protection – where do we stand” by BBF-IFIE.



Image: flyers for the program scheduled “India Investor Show 2020

Physical Programs conducted for Farmers:

As the farmers are not tech savvy to attend the programs through digital, Commodity Derivatives Exchange conducted 37 awareness physical programs for farmers in the State of Gujarat during WIW-2020.

II. Investor Awareness Programs – SEBI and in association with MIIs, AMFI and SEBI recognized IAs, CoTs, SMARTs and Visit to SEBI:

SEBI had conducted various awareness programs in association with MIIs, AMFI and SEBI recognized Investor Associations (IAs), Commodity Derivatives Trainers (CoTs), SMARTs, Visit to SEBI.

a) Investor Education

During WIW-2020, PAN India based digital Investor Awareness Programs were conducted independently and jointly by SEBI, in association with the Stock Exchanges (NSE and BSE); Commodity derivative exchanges (MCX and NCDEX) and Depositories (NSDL and CDSL). More than million of investors were reached out through e-mails, SMS, Whatsapp, Twitter, Facebook etc. In total 1603 investor awareness programs were conducted through webinar by

the MIIs independently and in association with SEBI attended by 91,678 participants. Out of these IAPs, 1213 programs by MIIs attended by 68,221 participants, 324 programs by AMFI covering 17,850 participants, 32 programs by SEBI recognized Investors' Association covering 2,988 participants, Nine (9) programs by SEBI recognized Commodities Derivatives Trainers (CoTs) covering 530 participants, SMARTs two (2) programs covering 146 participants, 21 programs by Visit to SEBI covering 1,563 participants were conducted.

In these programs, investors were provided useful information in securities market covering basic concepts like role of regulators, introduction to securities market, precautionary to be taken while investing in securities market, mutual fund investments, Grievance Redressal mechanism etc.

SEBI recognized institutions/trainers such as Investor Associations, Securities Market Trainer and Commodity Derivative Trainers also conducted dedicated awareness programs in the fields of securities market and commodities derivatives market, respectively.

III. Special Webinars conducted inviting Market Dignitaries:

Special Webinars were conducted by ANMI and BBF-IFIE inviting various market dignitaries from securities markets and senior official of SEBI. The guest speaker was who have extensive knowledge and vast industry experience in the securities market and commodity derivatives.

Images: webinars having industry experts and seniors dignitaries as speakers:



6. UNIQUE INITIATIVES :

During WIW-2020, a host of unique new initiatives were undertaken focussing on Women centric programs, Securities Markets Booklets for Visually challenged people, video curated for hearing impaired, theme based presentation, contests to increase investor awareness and education and launch of SMART.

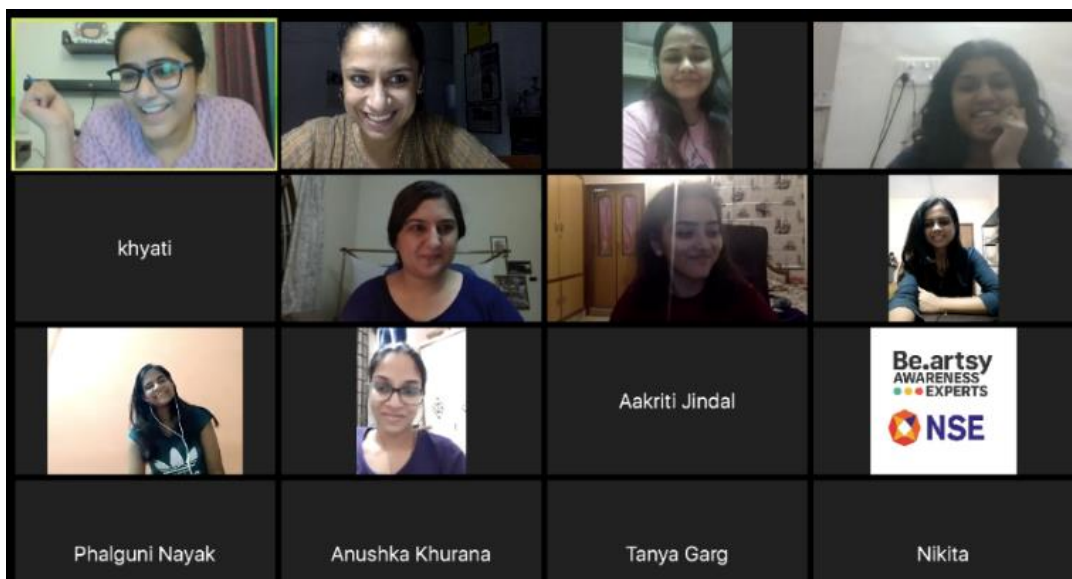
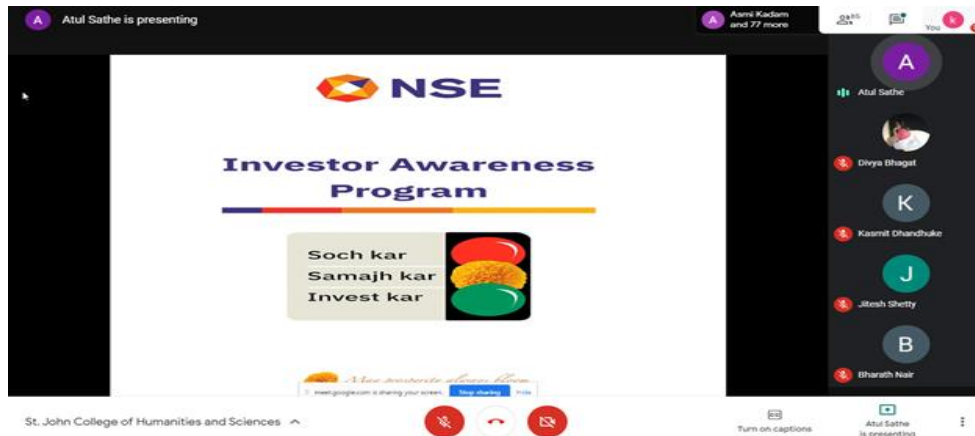
I. Women Centric Programs:

A special focus on creating awareness and education amongst the women, MIIs had conducted unique webinar was conducted by MIIs:

- “Be your own Laxmi” focused towards young entrepreneurs was wherein, 5179 women participated in 69 webinars.

- Women centric financial literacy program” a total of 738 women participants had participated in 10 sessions during WIW-2020.
- Conducted investor programs in nine women colleges, wherein, 378 participated in these programs
- Special women focussed physical programs were also conducted at three different States for women volunteers/workers of Self help groups/NGOs.

Image: Be your own Laxmi-Focused webinars for young entrepreneurs



II. Release of Securities Market Booklet in Braille and Videos curated for Hearing impaired:

BSE Ltd had taken a unique step for financial inclusion of differently abled persons and had undertaken two good initiatives. First, launching a Braille version of the “Securities Market Booklet” for the visually challenged people and Second, launched investor awareness videos curated specially for hearing impaired people. The effort taken by

BSE Ltd to add to the financial well-being of the differently abled will go long way to create investor awareness and education among this section of people. The short video of launching the aforesaid program <https://sendgb.com/Wy7oOzJmPpw>



Image: Booklet on Securities Market understanding from Investor's Perspective released in Braille for differently abled persons

III. Quiz and Competition

Nationwide Quiz program held by MIs:

Nationwide Quiz competition were held by MIs inviting participants to register for the online quiz that was being held during WIW – 2020. Once the participants registered for the online quiz, e-booklet on securities market was forwarded to the registered participants. MIs conducted quiz on various topics on securities market and commodity derivatives through their official website, social media like twitter, Instagram, facebook etc. Approximately two lakhs had participated in the quiz contest held by the MIs out of which 573 participants were declared winners. The successful winners were awarded prizes by the MIs.

Images of the quiz competition held by the Mills:







INVESTORS' KNOWLEDGE QUEST

Presented by:
National Stock Exchange (NSE)
National Securities Depository Limited (NSDL)

Part of IOSCO WORLD INVESTOR WEEK 2020

- **Open for All – Zone wise**
- **30 multiple choice questions**
- **30 minutes time**
- **Register Now.. No Fees**
- **Exciting Prizes to be won**
- **Top 100 Winners to be declared**

Date & Time	ZONE	Registration Link
Nov 23, 2020 – 5.00 pm	WEST	https://bit.ly/WIW-IKQ-WTReg
Nov 24, 2020 – 5.00 pm	NORTH	https://bit.ly/WIW-IKQ-NTReg
Nov 25, 2020 – 5.00 pm	EAST	https://bit.ly/WIW-IKQ-ETReg
Nov 26, 2020 – 5.00 pm	SOUTH	https://bit.ly/WIW-IKQ-STReg

Note: Participation link will be sent to registered email at 3 pm, on respective Event Date

Investor Awareness Initiative by NSE IPFT & NSDL IPFT

ZONE – States & Union Territories Covered

West - Maharashtra, Gujarat, Madhya Pradesh, Goa, Dadra and Nagar Haveli and Daman and Diu
North - Delhi, Rajasthan, Uttar Pradesh, Punjab, Haryana, Himachal Pradesh, Uttarakhand, Chandigarh, Jammu & Kashmir, Ladakh
East - West Bengal, Chhattisgarh, Bihar, Assam, Jharkhand, Arunachal Pradesh, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim, Tripura
South - Tamil Nadu, Karnataka, Kerala, Andhra Pradesh, Telangana, Odisha, Puducherry, Andaman & Nicobar Islands, Lakshadweep

“On the Spot: slogan writing competition:

Commodity Derivative Exchange conducted “On the Spot” slogan writing competition during WIW-2020 related to commodities market. A good response was received for the said competition



IV. Launch of Securities Market Trainers(SMARTs) Program-

Mr. Ajay Tyagi, Chairman, SEBI launched SMARTs program during the WIW-2020 on November 25, 2020. SMARTs is a new SEBI initiative for enhancing investor education activities across the Country. Mr. G. Mahalingam, Whole Time Member, Executive Directors and other senior officials of SEBI attended the launch function. The individuals who have been empanelled under the SMARTs program attended the launch via web conferencing.

Complementing the trainers who have been empanelled as SMARTs, Chairman, SEBI highlighted the need of hand holding the retail investors since there has been a surge of new investors in the securities markets in the last few months. He recounted SEBI's efforts towards financial education through SEBI empanelled Resource Persons and investor education in association with Exchanges, Depositories and SEBI recognized Investors Associations. "In the present scenario of uncertainty in economic growth and extra ordinarily buoyant market, there is a dire need to further ramp up the investor education and awareness efforts. In that sense there couldn't have been any better time to launch SMARTs – an initiative of SEBI to strengthen the existing investor education framework", he said. Chairman, SEBI also raised the concern relating to convoluted distribution of active investors throughout the country and said that SMARTs program would aim to address this concern by increasing investor outreach in far flung areas. He exhorted SMARTs to keep abreast of developments in the securities market and also expressed his confidence that SMARTs would take investor education to all parts of the country. "It is noteworthy that SMARTs programme has been launched when World Investor Week is being celebrated across the country", he added. The First batch of SMARTs empanelled by SEBI includes 40 individuals across 16 States and Union Territories covering 31 Districts on a Pan-India basis, who have also undergone four days training in National Institute of Securities Market (NISM). The SMARTs will conduct investor education programmes in their respective geographical areas, across the Country.

Image of launch of SMARTs Program



Image: Inauguration of SMART by Chairman, SEBI (in center), Shri G. Mahalingam, Whole Time Member (7th from left) and in presence of Senior Officials of SEBI on November 25, 2020

V. Standardised PowerPoint Presentations on various themes related to Securities Market:

To further facilitate and provide standardised content on various topics pertaining to securities market, standardised power point presentations were prepared and are adopted during various investor awareness programs. These power point presentations were shared with MIIIs to use it during the investor education and awareness programs.

The various topics on which the power point presentations were prepared are as follows:

- i. PPT-1: Introduction to Securities Market.
- ii. PPT-2: KYC Procedure (Opening of Trading and Demat Account).
- iii. PPT-3: How to Invest in IPO?
- iv. PPT-4: How to Invest in Rights Issue?
- v. PPT-5: Corporate Actions- Dividends, Bonus, etc.
- vi. PPT-6: How to Buy and Sell shares in stock exchange?
- vii. PPT-7: Depository Services (availed by demat account holder).
- viii. PPT-8: Introduction to Mutual Funds.
- ix. PPT-9: Investor Grievance Redressal Mechanism- SEBI SCORES, NSE, BSE, NSDL and CDSL.
- x. PPT-10: Introduction to REITS and InvITs.

VI. Digital Display of Precautionary messages in Airports at Mumbai and Delhi

Awareness campaign on precautionary messages such as Dabba Trading, unsolicited tips, illegal trading in Commodity Derivatives market were digitally displayed at Mumbai and Delhi Airport.

Images of digital display at Mumbai and Delhi Airport





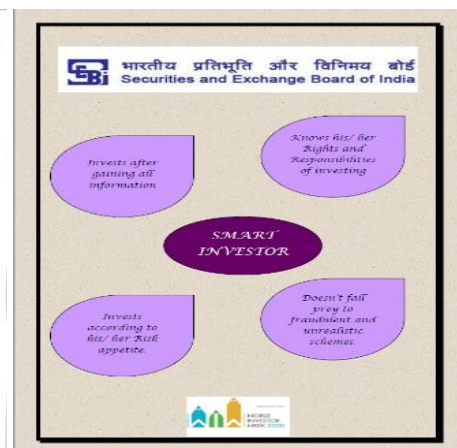
Contest for SEBI employees

SEBI also held triathlon competition viz. Slogan Writing, Idea Writing and Poster Designing for its employees during the WIW -2020. The topic for the contest was given related to key messages on investor awareness and education and ideas were sought from the employees considering the COVID-19. A good response of entries was received from the employees.

Few of the entries received for Slogan Writing and Poster designing:

SLOGAN IN English Language
While investing, be wise, aware and well-informed, Your financial health will then be well-formed.
Awareness is investor's torch to light up the path of financial wellbeing.
Financial literacy' - the only vaccine to protect yourself from various viruses in the market

Posters Design



7. Media Campaigns/TV Channels/Social Media /Videos/Print Media

Investor awareness camps were also telecasted on various Television channels during WIW-2020 covering topic on Accessing Commodity Markets through Institutional Participants, Bullion Derivatives, Indian Base Metal Market- A paradigm shift. There was awareness created in regional languages of Do's and Don't of investing in the stock market and broadcasted on Television Channels.

Image of Awareness program through Social Media



A BIG CHANGE IN COMMODITY DERIVATIVES IS COMING BUT WHAT DOES THAT MEAN FOR YOU?

MCK MEDIA & EVENTS PRESENTS
CNBC TV18

PRESENT

COMMODITY CLASSROOM

ACCESSING COMMODITY MARKETS THROUGH INSTITUTIONAL PARTICIPANTS

23rd Nov 2020 | 2:30 PM onwards

REGISTER NOW →

OUR EXPERT PANELISTS

 Manisha Gupta EDITOR - COMMODITIES & CURRENCIES, CNBC-TV18	 Mr. Rishi Nathany HEAD - BUSINESS DEVELOPMENT AND MARKETING, MCK	 Mr. Umang Papneja SENIOR MANAGING PARTNER, IIFL WEALTH MANAGEMENT LTD.
 Mr. Vikram Dhawan FUND MANAGER AND HEAD - COMMODITIES, NIPPON LIFE INDIA ASSET MANAGEMENT LTD.	 Mr. Aurobinda Gayan HEAD - COMMODITIES STRATEGIES, TATA ASSET MANAGEMENT LTD.	 Mr. Ramesh Varakhedkar HEAD - COMMODITIES AND CURRENCIES, ICICI SECURITIES LTD.



Videos/Radio Jingles

Radio jingles on awareness campaign on Dabba Trading, Mutual Fund Sahi Hai were aired on Radio FM in regional language.

Launch of knowledge series videos in Marathi Language on various concepts of Commodity Derivatives markets viz. Futures, Options, Trading at Exchange, Open interest, margin, settlement etc Link of launch video <https://youtu.be/atrdHS8IAIQ>

Social Media:

Due to Pandemic situation, Social Media was extensively used to promote Investor Awareness and Education. Posts on social media viz. Facebook, Twitter, Youtube etc., was made during the WIW-2020, highlighting various awareness activities on Securities market and Commodity derivatives Market, which was met with enthusiasm.


SNAPSHOTS OF THE SOCIAL MEDIA VIEWS:

	Views (in Million)
Twitter (views)	1.90
Facebook (views)	20.03
Instagrams (views)	37.84
You tube (viewed)	0.01
LinkedIn(views)	0.22

Approximately 60 million people viewed the social media during WIW-2020

SESSION 9
29 NOVEMBER | SUN
12:00 - 01:00 PM

Zoom participants attendance: 79



NCDEX
 26 November at 18:02

As we celebrate #WorldInvestorWeek2020, here's a message from Mr. Avinash Mohan, EVP & Surveillance & Investigation, NCDEX...
 #investors #smartinvestors #investorawareness
 Securities and Exchange Board of India (SEBI)





MR. AVINASH MOHAN
 EVP & HEAD - SURVEILLANCE & INVESTIGATION, NCDEX

“WWI reiterates the underlying need to enhance the investor literacy. Participants who are more financially literate should be able to take more rational investment decisions and should be less prone to behaviours that are disruptive for the stability of financial markets.”

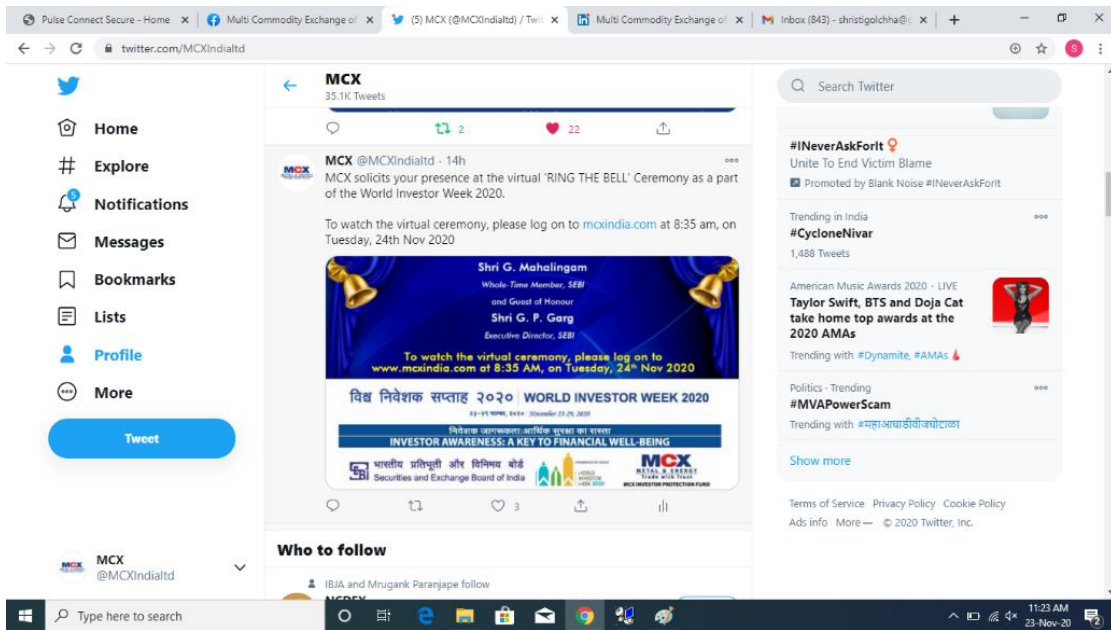


भारतीय प्रतिभूति और विनियम बोर्ड
 Securities and Exchange Board of India



493 People reached 24 Engagements **Boost post**

14 2 shares



Digital and Print Media Advertisements:

As a part of celebrating WIW-2020, MIs launched print and digital newspaper articles in various regional leading newspapers about celebration of WIW-2020. Print advertisements about the precautionary messages of Do's and Don'ts were also carried out in the Newspapers.

Image and extract of Newspaper articles.

Business Standard

Make informed calls, shun false promises: Tyagi ahead of Investors Meet

As Sebi prepares to spearhead World Investors Week, Chairman Ajay Tyagi said there is a need for new investors to take informed investment decisions without getting lured by false promises

Economic Times

Stock exchanges, depository observing world investors week to raise awareness

23 November 2020

<https://economictimes.indiatimes.com/markets/stocks/news/stock-exchanges-depository-observing-world-investors-week-to-raise-awareness/articleshow/79371950.cms>

Financial Express

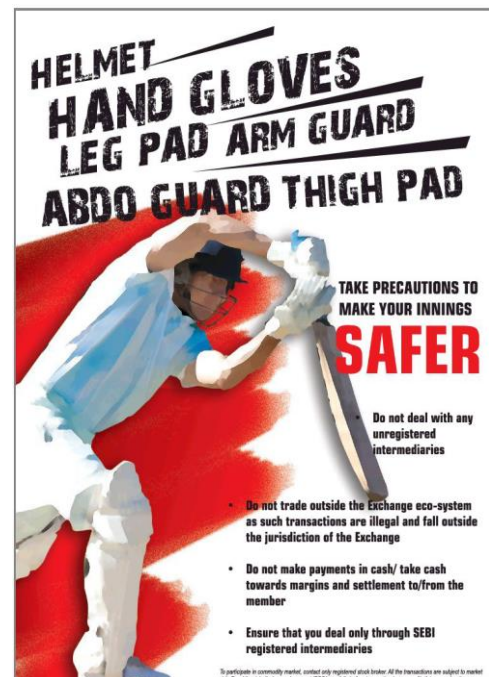
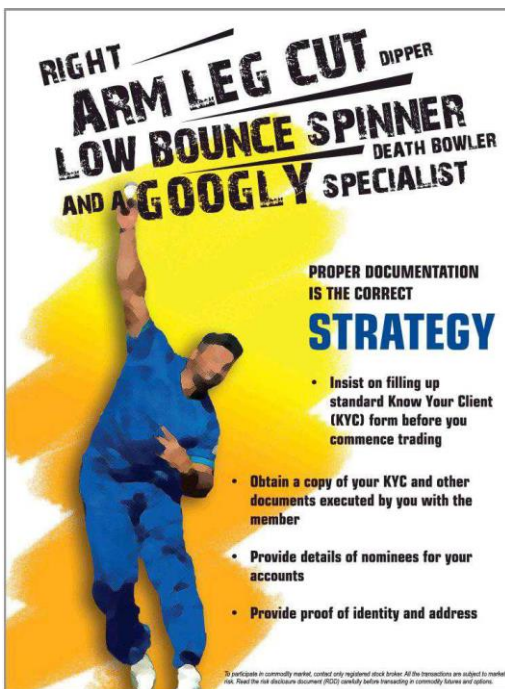
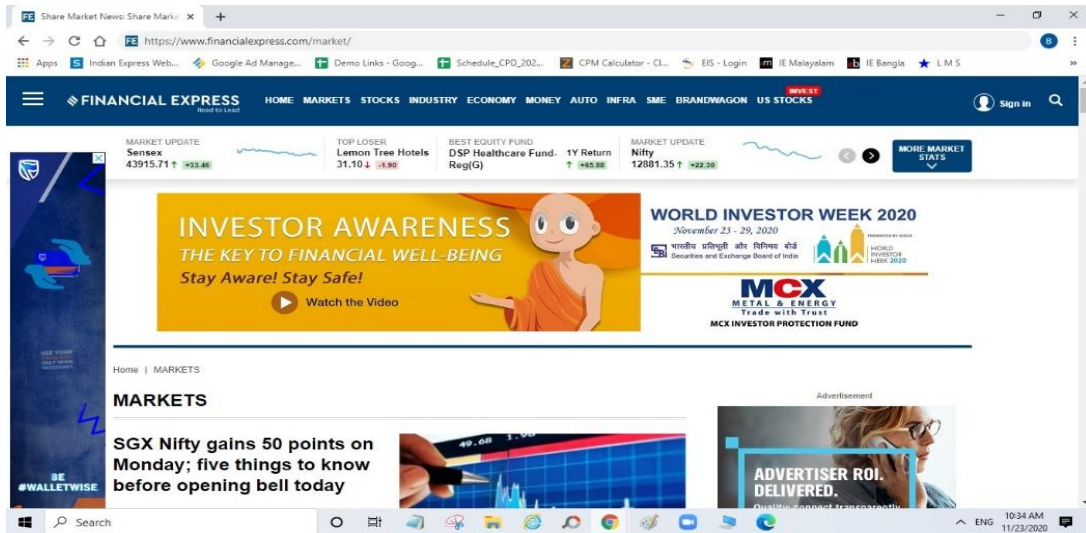
Only 27% Indians are financially literate: Sebi's Garg

The regulator, stock exchanges and institutions need to do a lot more as financial literacy levels are very low in India 24 November 2020

The regulator, stock exchanges and institutions need to do a lot more as financial literacy levels are very low in India.

At a NSE event to commence the World Investor Week, GP Garg, executive director, Securities and have any issue with respect to the securities market.

<https://www.financialexpress.com/market/only-27-indians-are-financially-literate-sebis-garg/2134842/>



8. Conclusion:

Due to pandemic – COVID-19, WIW-2020 was a challenging experience which provided an impetus to the investor education and awareness initiatives in the country. The new avenues brought new experiences and new perspective. The efforts of various stakeholders in this direction was synergized to ensure wider reach and participation. The multipronged approach also set benchmarks for future programs. Since it was during COVID-19 Pandemic the programs were mostly digital/virtual. WIW -2020 also provided some learnings for conducting such programs. There was effective use of digital/social media for wider reach, target group specific programs (like women centric programs, guest invitees, etc.) are received better; different methods used for creating investor awareness to physically challenged people and hearing impaired. The informational campaign in social media received active support, which lead to a sharp rise in the number of visitors of existing and prospective investors. However, there is a lot of ground to be covered as far as retail participation is concerned. Celebration of World Investor Week is not only a welcome initiative towards the investor awareness but also highlights the need of investor awareness particularly in India. Overall, the event WIW-2020 received avid response and served as a stepping stone for many budding investors.

It will be an endeavour of SEBI to celebrate the Week every year as also to continue the sustained initiatives towards investor awareness throughout the year.

INDONESIA

WORLD INVESTOR WEEK 2020

National Coordinator: Indonesia Financial Services Authority (IFSA)

Other IOSCO members:

Other participants:

Indonesia Financial Services Authority (IFSA) held the World Investor Week (WIW) 2020 in collaboration with Indonesia Stock Exchange (IDX) from 5th-11th October 2020. The number of activities that have been organized are 51 activities with a total of 23,377 participants consist of 30 live Instagram, 20 virtual education about the capital market, and 1 virtual education related to the Islamic capital market. Moreover, IFSA has also published 8 educational contents relating to capital markets on the website and social media with the number of impressions of 28,261. Detailed informations of the event are as follows:

1. A total of 20 activities of capital market education have been conducted virtually in the form of socialization, workshops, and school of capital markets to promote the capital market sector to students and society. The School of capital market is a kind of seminar held by the Indonesia Stock Exchange with securities companies to educate the public about the theory and practice of investing in the capital market. The event was held in various regions in Indonesia such as Aceh, Jakarta, Banten, Semarang, Surabaya, and Palu and was attended by a total of 1650 participants.
2. Islamic capital market education events that have been held virtually through the zoom application and youtube on October 10th 2020. This event aims to encourage the level of understanding of investing in the Islamic capital market. The event was attended by 51 participants and targeted to public.
3. Financial education talkshows through Live Instagram on Instagram accounts @sikapiuangmu, @pasar_modal_syariah, and @indonesiastockexchange together with experts/influencers who are experts in their fields. Live Instagram targeted to the millennial with an approximate total of 21.676 views. We received positive responses from the viewers, and their enthusiasm through the comments feature and also the Q&A feature from Instagram by asking further related information about safe investment during pandemic, the benefits and risks of investing in the capital market, how to buy products (stocks and mutual funds), etc. There are 30 event of live instagram that have been held with the following details:

No	Date	Instagram Account	Speaker	Topic	Views
1	October, 5 th 2020	@pasar_modal_syariah	Sharia Capital Market Expert	Q & A Part 3: Buy Sharia Stocks	1649
2	October, 5 th 2020	idx_jakarta	Capital Market Expert	Ig Live with @indonesiastockexchange	2742
3	October, 5 th 2020	idx_sumut	Capital Market Expert	Market Review with Phintraco Sekuritas	358
4	October, 5 th 2020	idx_jawatimur	Capital Market Expert	Market Update With Maybank Kim Eng Securities	141
5	October, 5 th 2020	idx_jakarta	Capital Market Expert	Morning Chat About Investments with Phintraco Sekuritas	272
6	October, 5 th 2020	idx_semarang	Capital Market Expert	Market News with RHB Securities	363
7	October, 5 th 2020	idx_kalteng	Capital Market Expert	Market Update with Phintraco Sekuritas	124
8	October, 6 th 2020	@sikapiuangmu	Sharia Capital Market Expert	Comfortable Investment in Sharia Stocks	1004
9	October, 6 th 2020	idx_aceh	Capital Market Expert	Ig Live with RHB Securities	411
10	October, 6 th 2020	idx_sumut	Capital Market Expert	Market Review with Trimegah Securities	83
11	October, 6 th 2020	idx_kalteng	Capital Market Expert	Market Review with RHB Securities	109
12	October, 6 th 2020	idx_semarang	college student	Ig Live with the Polines capital market study group	179
13	October, 7 th 2020	@pasar_modal_syariah	Sharia Capital Market Expert	Q & A Part 4: Ask about Sharia Mutual Funds	2148
14	October, 7 th 2020	idx_kalteng	Capital Market Expert	Market Review with Phintraco Securities	86
15	October, 7 th 2020	idx_semarang	Stock enthusiast	Share Positive Spirit Through Investment	153

16	October, 8 th 2020	@pasar_modal_syariah	Sharia Capital Market Expert	Q & A Part 5: Ask about Corporate Sukuk	2071
17	October, 8 th 2020	@sikapiuangmu	Capital Market Expert	Easy And Inexpensive Investing In Capital Market For Youths In Central Pandemic Covid-19	1221
18	October, 8 th 2020	idx_aceh	Capital Market Expert	Market Update with Indo Premier Securities	199
19	October, 8 th 2020	idx_kepri	Capital Market Expert	Market Review with Phintraco Securities	219
20	October, 8 th 2020	idx_sumut	Capital Market Expert	Market Update with IndoPremier Securities	368
21	October, 8 th 2020	idx_kalteng	Capital Market Expert	Market Review with RHB Securities	123
22	October, 8 th 2020	idx_semarang	college student	Ig Live with Unikal capital market study group	119
23	October, 9 th 2020	@pasar_modal_syariah	Sharia Capital Market Expert	Get to know Sharia Investment	2157
24	October, 9 th 2020	idx_sumut	Capital Market Expert	Tourism and Investment Potentials of Aceh and North Sumatra	318
25	October, 9 th 2020	idx_jawatimur	Capital Market Expert	Capital market socialization with PT. Kresna Securities	141
26	October, 9 th 2020	idx_kalteng	Capital Market Expert	Market Update with Phintraco Securities	129
27	October, 9 th 2020	idx_semarang	college student	Early Investment For Study Provisions	306
28	October, 10 th 2020	@pasar_modal_syariah	Sharia Capital Market Expert	Mistakes of Beginner Investors in Investing	2072
29	October, 10 th 2020	@pasar_modal_syariah	Sharia Capital Market Expert	Let's get to know Sharia investment, with Mrs. Dien Sukmarini	128
30	October, 11 th 2020	@sikapiuangmu	Influencer/ Investment Practitioner	Capital Market Investment in the middle Pandemic Covid-19	2283

Social Media Campaign of “SikapiUangmu” on Facebook, Instagram and Twitter. This initiative aims to enhance public’s awareness on the campaign of “SikapiUangmu” which is intended for millennials generation. Eye-catching visualization, illustration and infographic embedded into the content. We also created a national hashtag with all stakeholders in the capital market, i.e: #IOSCOWIW, #WIW2020 and #WorldInvestorWeek2020. Social media response matrix is set out below:

No	Social Media	Reach*	Engagement**	Impression***
1	Facebook	1.391	293	1.566
2	Twitter	-	337	5.287
3	Instagram	17.958	1.224	21.408
Total		19.349	630	28.261

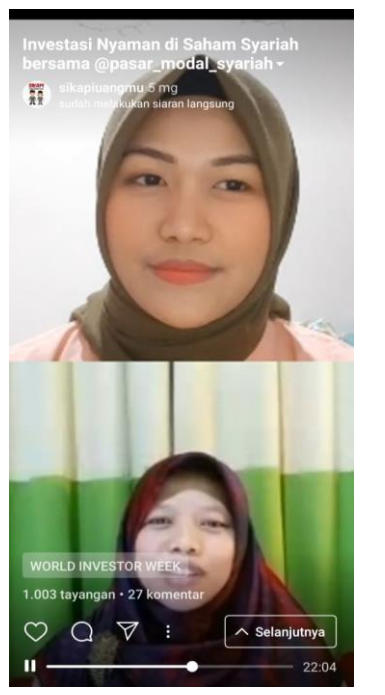
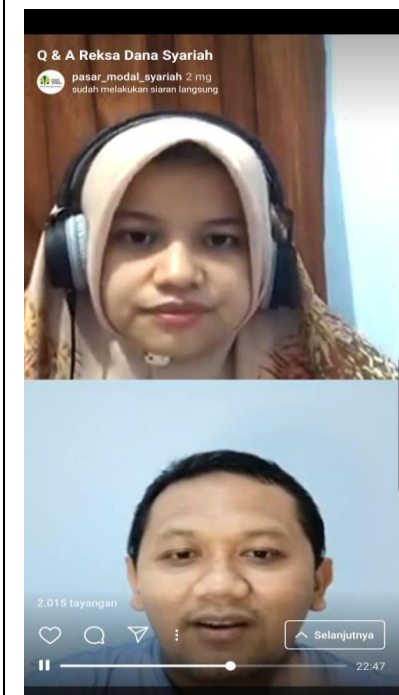
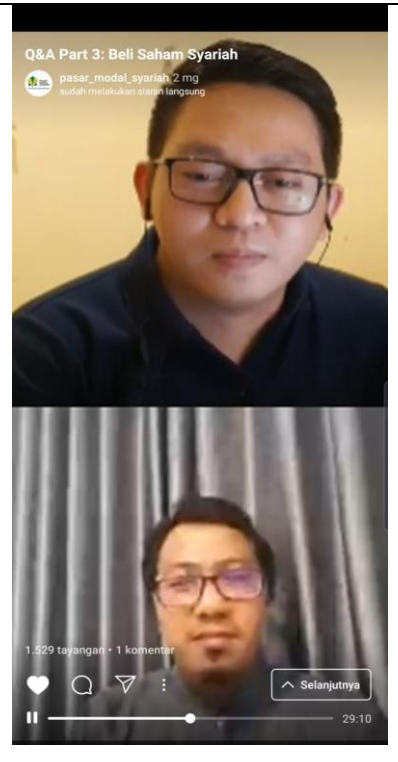
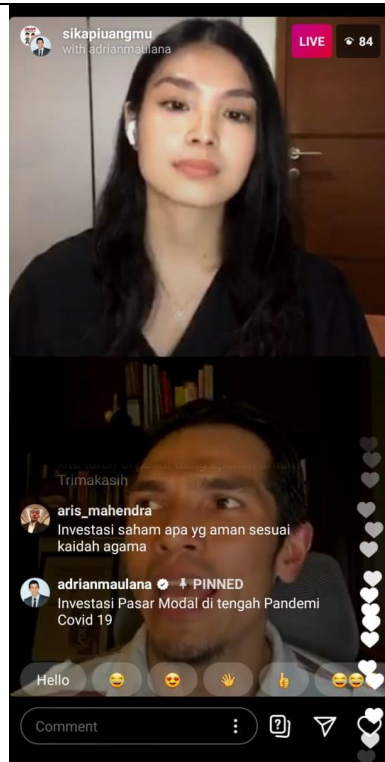
Note:

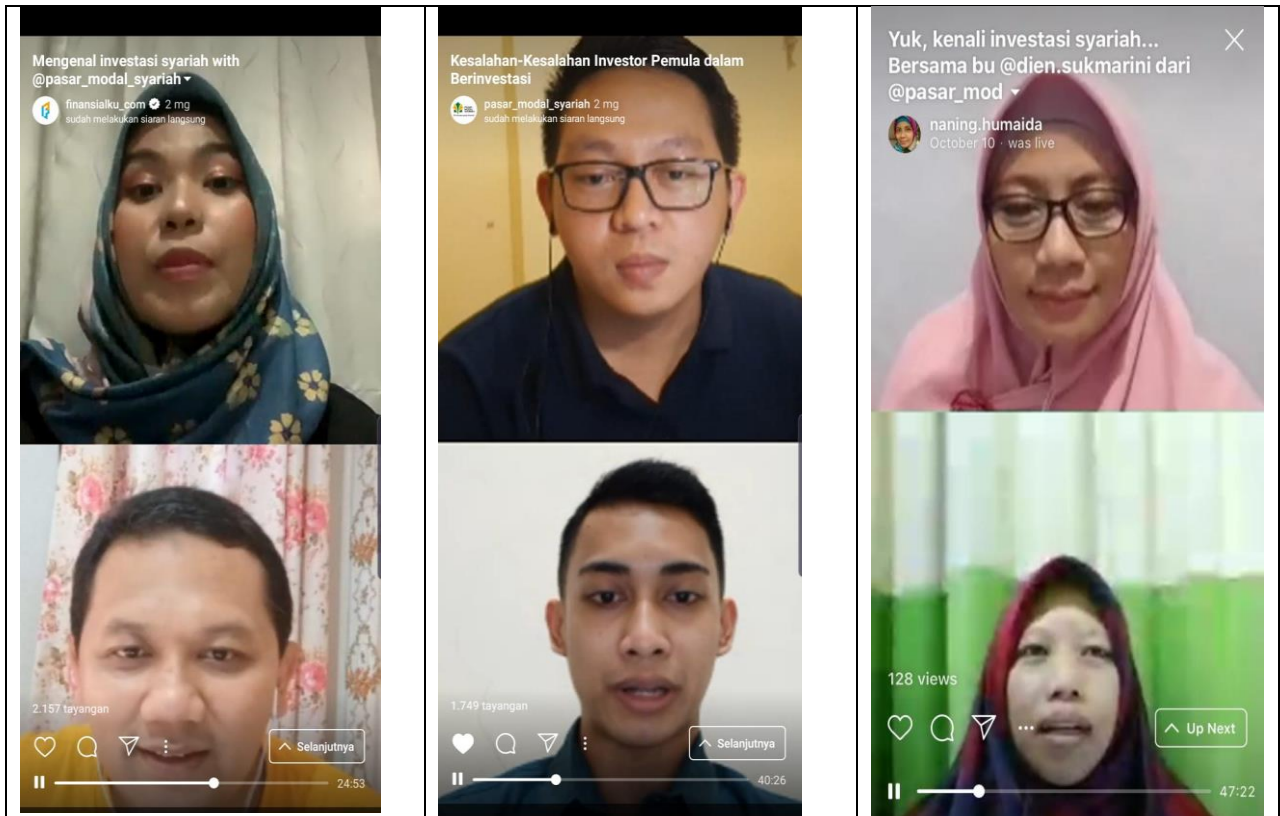
*Reach: the number of unique account who saw the post .

**Engagement: the number of times the post was liked, saved, and commented on

***Impression: the total number of times the post has been seen.

6 th – 20 th OKTOBER 2020										
No	Judul	Facebook			Twitter			Instagram		
		Reach	Engagement	Impression	Reach	Engagement	Impression	Reach	Engagement	Impression
1	All About Stock Buyback in the Capital Market	176	50	191	-	75	700	2.255	200	2.621
2	Understanding Bearish and Bullish in Capital Markets	114	24	131	-	29	530	2.285	188	2.765
3	Before Choosing an Investment, First Understand the Duties of Investment Manager!	275	44	327	-	35	720	2.556	170	3.164
4	Investing in the Islamic Capital Market? No need to worry!	133	30	149	-	23	554	1.852	123	2.167
5	Sharia Mutual Fund Cleansing Process	154	33	170	-	46	522	2.164	133	2.663
6	three things that are often heard in investing	155	42	167	-	42	551	1.410	132	1.745
7	Sikapipedia J: Share Ration	192	34	215	-	40	942	2.863	111	3.196
8	FAQ of Stock investing	192	36	216	-	47	768	2.573	167	3.087
Total		1.391	293	1.566	-	337	5.287	17.958	1.224	21.408





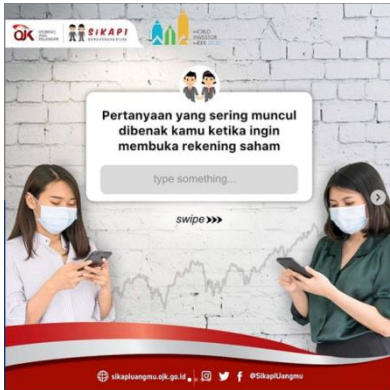
sikapiangmu
apalagi oi dengan pandoem ini, ouyback saham juga santer dibahas karena mampu memberikan stimulus perekonomian dan mengurangi dampak di pasar modal yang berfluktuasi signifikan.
Nah, tapi kamu tau nggak nih Sobat, apa itu sebenarnya buyback saham? Memang apa manfaatnya buyback saham ini bagi perusahaan maupun negara? Pas banget nih, kita akan ulas serba serbi buyback saham di pasar modal. Cuss langsung swipe ilustrasi di atas dan baca selengkapnya di bit.ly/sikapiangmu641.
#sikapiangmu #buyback #saham #pasarmodal #buyback #saham #WorldInvestorWeek #IOSCOWW2020 #OJKWIW2020
185 likes
OCTOBER 6
Add a comment... Post

sikapiangmu
Ingin memulai berinvestasi, pastikan kamu mendapatkan informasi yang benar dan terpercaya yah Sobat. Supaya makin paham, kamu bisa pantengin terus Instagram maupun minisite sikapiangmu.ojk.go.id untuk menambah pengetahuan kamu dalam hal keuangan dan investasi yah!
Jangan lupa, pastikan entitas atau produk investasi yang Sobat pilih telah terdaftar maupun berizin di OJK. Jangan mau terjebak dengan iming-iming imbal hasil yang luar biasa tapi ternyata Sobat malah terjebak tawaran investasi ilegal oke...
#sikapiangmu #investasi #mitos #fakta #WorldInvestorWeek #IOSCOWW2020 #OJKWIW2020
122 likes
OCTOBER 6
Add a comment... Post



sikapiangmu
sikapiangmu Buat kamu para investor di pasar modal, mungkin udah nggak asing dengan istilah yang namanya jatah saham. Tapi, kamu tau nggak sih Sobat apa itu jatah saham?
Nah, jatah saham adalah jumlah surat berharga yang dibagikan kepada peserta dalam suatu kelompok lembaga investasi yang dibentuk untuk menanggung dan mendistribusikan suatu emisi baru. Jadi, kalau Sobat tertarik untuk membeli saham yang baru melantai di bursa efek, kamu perlu menunggu hasil penjatahan saham dulu nih Sobat. Nggak bisa sembarangan yaa!
#sikapiangmu #jatahsaham #pasarmodal #WorldInvestorWeek
Liked by pasar_modal_syariah and 107 others
OCTOBER 6
Add a comment... Post

sikapiangmu
atau ada yang masih no idea itu apa. Bagi Sobat yg peka investasi di pasar modal tentunya sudah tidak asing dengan istilah tersebut ya.
Jadi begini Sobat, bearish dan bullish itu adalah istilah yang menggambarkan kondisi atau tren di pasar modal. Hal ini juga sering dikaitkan dengan tren mayoritas tindakan para investor di pasar saham. Oke, terus bearish itu dikaitkan dengan kondisi yang seperti apa dong? Lalu, gimana dengan bullish? Yuk Sobat, swipe ilustrasi di atas dan baca selengkapnya di http://bit.ly/sikapiangmu642.
#sikapiangmu #bearish #bullish #tren #investasi #pasarmodal #WorldInvestorWeek #IOSCOWW2020 #OJKWIW2020
161 likes
OCTOBER 9
Add a comment... Post



sikapiuangmu

sikapiuangmu Sobat Sikapi, tahu gak sih bahwa saham merupakan salah satu instrumen investasi yang cukup populer bagi investor. Nah, bagi Sobat Sikapi yang tertarik untuk berinvestasi pada instrumen ini, pastinya harus membuka rekening saham dulu yah.

Lalu gimana sih cara membuka rekening saham? Pertanyaan di atas pastinya menjadi pertanyaan yang muncul di benak kamu yah Sobat. Untuk tahu jawabannya langsung swipe gambar di atas yah Sobat.

#sikapiuangmu #saham #membukarekening #pertanyaansaham #WorldInvestorWeek #IOSCOWW2020 #QJWW2020

138 likes
OCTOBER 12



sikapiuangmu

modal karena ingin memilih instrumen investasi yang sesuai dengan syariat Islam. Elits... Yakin? Sekarang kan udah ada produk investasi di pasar modal syariah Sobat, jadi kamu nggak perlu ragu lagi deh untuk investasi.

Tapi, emang ada apa aja sih produk investasi di pasar modal syariah? Dan apa yang membedakan satu produk dengan produk lainnya ya Sobat baik dari sisi risiko maupun imbal hasil? Penasaran? Yuk, langsung cek selengkapnya di bit.ly/sikapiuangmu644.

#sikapiuangmu #pasarmodal #syariah #saham #reksadana #sukuk #WorldInvestorWeek #IOSCOWW2020 #QJWW2020

Liked by pasar_modal_syariah and 112 others
OCTOBER 16



sikapiuangmu

sikapiuangmu Tahukah kamu Sobat bahwa sejumlah instrumen investasi menyediakan yang dikelola oleh Manajer Investasi atau MI, misalnya pada reksa dana dan Kontrak Investasi Kolektif Efek Beragun Aset (KIE-EBA).

Nah, buat Sobat Sikapi yang belum paham seperti apa tugas Manajer Investasi bisa mempelajari informasi tersebut di bit.ly/sikapiuangmu643 atau cukup swipe gambar di atas. Dengan mengetahui informasi seputar Manajer Investasi, kamu dapat terhindar dari jabatan Manajer Investasi bodong dan tentunya jangan lupa untuk pastikan produk dan manajer investasi yang kamu pilih terdaftar dan memiliki izin dari OJK yah Sobat!

#sikapiuangmu #manajerinvestasi #MI #WorldInvestorWeek #IOSCOWW2020

151 likes
OCTOBER 13



sikapiuangmu

sikapiuangmu Indonesia sebagai salah satu negara dengan penduduk muslim terbesar, tentunya produk investasi syariah dapat menjadi pilihan produk investasi yang cocok buat kamu ya Sobat, misalnya reksa dana syariah.

Nah buat Sobat Sikapi yang tertarik dengan reksa dana syariah, sudah tahu belum kalau dalam reksa dana syariah ternyata memiliki proses cleansing? Nahh untuk tahu seperti apa pengertian dan prosesnya, kamu bisa langsung pelajari di bit.ly/sikapiuangmu646 atau dengan swipe di IG story yah Sobat!

#SikapiUangmu #cleansing #syariah #reksadana #WorldInvestorWeek #IOSCOWW2020 #QJWW2020

Liked by kontak157 and 125 others
OCTOBER 20



SiKAPI
@sikapiuangmu

Pernah mendengar 2 istilah ini? Mungkin sebagian dari kalian berpikir ttg sesuatu yg terkait saham atau ada yg masih no idea itu apa. Sobat pelaku investasi di pasar modal tentu sudah tidak asing dg istilah tersebut ya. Baca selengkapnya di bit.ly/sikapiuangmu642 #sikapiuangmu

Terjemahkan Tweet



12:00 · 09 Okt 20 · Hootsuite Inc.

4 Retweet 6 Suka



SiKAPI
@sikapiuangmu

Saham merupakan salah satu instrumen investasi yg cukup populer bagi investor. Nah, Sobat yg tertarik untuk berinvestasi pada instrumen ini, harus membuka rekening saham dulu ya. Lalu gimana sih cara membuka rekening saham? Cek gambar berikut untuk tahu jawabannya #sikapiuangmu

Terjemahkan Tweet



13:35 · 12 Okt 20 · Twitter Web App

3 Retweet 12 Suka



ISLE OF MAN

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Claire Whitelegg

Other IOSCO members:

Other participants:

On the Isle of Man, we held World Investor Week from 19th to 23rd October 2020.

Our focus this year was to promote the WIW2020 key messages using web based materials accessible through the consumer area of our website <https://www.iomfsa.im/consumer-material/consumer-awareness/>, our World Investor Week 2020 page <https://www.iomfsa.im/consumer-material/world-investor-week-2020/> and the Facebook page Isle of Man World Investor Week.



ABOUT REGULATED SECTORS FUNDS PENSIONS DESIGNATED BUSINESS AML/CFT

Home > Consumer material > World Investor Week 2020

World Investor Week 2020



Isle of Man World Investor Week 19 – 23 October 2020

World Investor Week is a yearly international event to raise awareness about the importance of investor education and investor protection.

During the week, individual investors, investment professionals, teachers, parents, researchers, and other interested individuals - as well as firms and regulators - are

avoid get-rich-quick and get-rich schemes

- Recognizes the power of compound interest
- Recognizes the importance of diversification
- Plans for and invests according to his/her future needs and goals
- Recognizes the benefit of long-term, regular and diversified investment
- Plans for life's unexpected challenges and investing in uncertain times

ICOs, digital assets, and investing online - A smart investor:

- Understands the risks that are associated with initial coin offerings and crypto-assets in general and is careful in deciding whether to invest in these products
- Does not forget about the importance of due diligence when considering investments in online and digital environments
- Recognizes the red flag warning signs of online investment fraud
- Never invests based solely on a celebrity endorsement
- Understands the methods in which legitimate firms receive money for investments

This year, the Financial Services Authority ("the Authority") is using social media to promote the Key Messages and the Authority's consumer information. We have also created short videos to reinforce the messages.

[World Investor Week Facebook page](#)

[How to be a Smart Investor video](#)

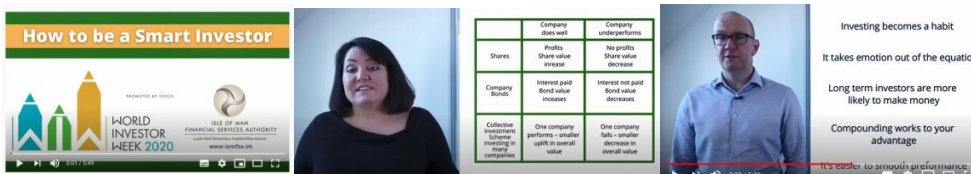
[How to be a Smart Investor in Online and Digital Assets video](#)

[How to be a Smart Investor leaflet](#)

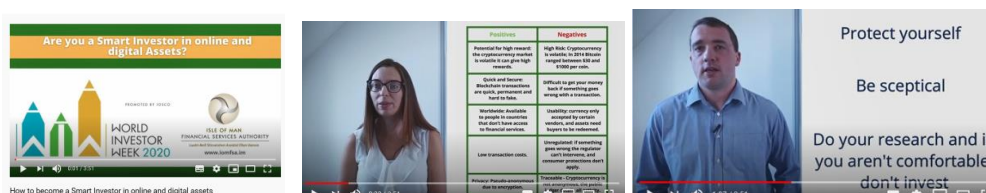
[What type of product or service do you need and who can provide it?](#)

We filmed 2 videos taking the World Investor Week themes and graphics and explaining them in a local context.

- How to be a Smart Investor <https://www.youtube.com/watch?v=xyb-oxfttm8&t>



- How to be a Smart Investor in Online and Digital Assets <https://www.youtube.com/watch?v=4llkKdYjGKo&t>



We used Facebook to post the key messages across the week and to promote our videos and online consumer materials. We also promoted materials through local press.

We also used our regulated business and asked them to follow and promote our core materials.

Feedback received was all positive.

ISRAEL

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Israel Securities Authority

Other IOSCO members: -

Other participants: -

This year, the ISA celebrate the campaign with various activities intended to bring the subject to a young target audience in the social media and the internet. Hereunder main activities. The campaign received wide coverage by the national and economic press.

Survey of investors' characteristics in a digital questionnaire – At the center of the campaign we published a survey of investors' characteristics with the slogan "I discovered the investor in me". The survey is designed to identify the connections between knowhow and character features and an inclination and readiness to invest in the capital market. The ten questions survey characterize "investor type" – one of five: "King!" – lion, "Goes for a sure thing" – koala, "On one leg" – flamingo, "Hakuna Matata" – meerkat and "Impromptu"– fledgling. At the end of the questionnaire, each respondent was exposed to a brief explanation of the tools associated with the capital market.

Based on the questionnaire, additional products were distributed to raise awareness: short videos, stickers and an AR effect, with various investor figures.

In addition, IOSCO messages were also posted as part of the channel's official social networks.

Collaboration with N12 – We collaborated with one of the most popular news and content websites in Israel to publish the survey on their website.

Facebook – the main promotion channel of the campaign.

During WIW approx. 5000 surfers entered the questionnaire. More than half of the surfers responded to the questionnaire. About 5% of the surfers answered in addition to 3 questions for research purposes (gender, age and level of education).

Exposure during WIW: campaign videos - approx. 70,000 Facebook users; IOSCO messages posts - approx. 40,000 Facebook users.

WhatsApp – The ISA launched a Financial Education WhatsApp group – Instant messaging platform, with the potential to build a community. Through the group we distributed specially designated WhatsApp Stickers set up to spread the financial education content.

WhatsApp Sticker:



Instagram – we promoted the campaign with AR effects. The ratio of views to followers was about 16% with the national average being 10%.

Exposure during WIW: approx. 170,000 Instagram users, and an increase of 700 followers.

Online meeting with the public – The ISA hosted an online event for women, to enhance knowledge about the capital market, in the shadow of Corona times, among this target audience. The meeting headed by the ISA's Chairwoman, and with the participation of the director of the Corporate Finance department, and a guest lecture by an entrepreneur and international lecturer. More than 130 women participated, and very positive feedback was received.

Facebook posts:



World Investor Week | **Discovered the Investor in Me**



Twitter:



WhatsApp stickers:



Instagram AR effect:



Online Event:

The Israel Securities Authority Invites You to Join Us for an Exciting Online Women's Event!



Enrich Your Knowledge About the Capital Market in the Light of the Coronavirus And Enjoy A Fascinating Presentation on Ground-Breaking Female Entrepreneurships



Director of the Corporate Finance Department
Sarah Kandler



Israel Securities Authority Chairwoman,
Anat Guetta



Keynote Speaker & Corporate Resilience Trainer
Tzameret Fuerst

22.10.2020 | 20:30

*Advance Registration is Required. The Event is Free of Charge.

The screenshot shows a Zoom meeting in progress. The main window displays a grid of 15 video thumbnails of participants. The chat window on the right shows a list of participants (108) and a chat history with messages in Hebrew. The chat messages include: "צוות דוברות וחינוך פיננסי רשות ניירות ערך", "From sarit eytan to Everyone: האם המפגש מיועד גם לטירוניות בניירות ערך?", and "From Shir Kahanov to Everyone: כיולה לכתוב את שם הארגון?".

News Press articles:

מדבקות לזוטסאפ וסטוריו באינסטגרם: רשות ני"ע רוצה להנגיש חינוך פיננסי לצעירים



החשבון החדש ברישיון, סוגים כאלו... מדבקות לזוטסאפ וסטוריו באינסטגרם: רשות ני"ע רוצה להנגיש חינוך פיננסי לצעירים

רשות ני"ע רוצה להנגיש חינוך פיננסי לצעירים באמצעות מדבקות לזוטסאפ וסטוריו באינסטגרם. מדבקות אלו יאפשרו לזוטסאפ וסטוריו להשיג את המטרות שלהם באופן יעיל יותר.

Coverage of the campaign by the leading press with significant exposure in Israel.

האשטג אריבטראז': האם מדבקות בווזאטאפ יעודדו צעירים להתעניין בבורסה?

רשות ני"ע רוצה להנגיש חינוך פיננסי לצעירים באמצעות מדבקות לזוטסאפ וסטוריו באינסטגרם. מדבקות אלו יאפשרו לזוטסאפ וסטוריו להשיג את המטרות שלהם באופן יעיל יותר.

אהב סוכן או דווקא הולך על בטוח: גלו איזה סוג של משקיע אתם



אהב סוכן או דווקא הולך על בטוח: גלו איזה סוג של משקיע אתם. רשות ני"ע רוצה להנגיש חינוך פיננסי לצעירים באמצעות מדבקות לזוטסאפ וסטוריו באינסטגרם.

רשות ניירות ערך משקיעה: שבוע המשקיעים הבינלאומי

המטרה: להנגיש את המשקיעים לציבור, ולספק את המידע הנדרש להחלטות על השקעה. רשות ני"ע רוצה להנגיש חינוך פיננסי לצעירים באמצעות מדבקות לזוטסאפ וסטוריו באינסטגרם.

אהב סוכן או דווקא הולך על בטוח: גלו איזה סוג של משקיע אתם

בכל אחד מאתנו מסתתר משקיע פוטנציאלי - השאלון של הרשות לניירות ערך יגלה לכם - איזה סוג של משקיע אתם. רשות ני"ע רוצה להנגיש חינוך פיננסי לצעירים באמצעות מדבקות לזוטסאפ וסטוריו באינסטגרם.

ITALY

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Consob

Other IOSCO members: -

Other participants: Adeimf; Anasf; Feduf; GLT Foundation; Museo del Risparmio di Torino; QfinLab-Politecnico di Milano; USR Lombardia and USR Lazio

The 4th edition of the World Investor Week (WIW) in Italy has been celebrated with a number of initiatives mainly held between Monday 2 November and Sunday 8 November 2020. In light of the high level of flexibility allowed in organizing WIW 2020, participants have been also given the opportunity to choose a different week or period within the month of October, exploiting any synergies with the Italian 'Financial Education Month' ("FEM").

The FEM, held in October every year, is promoted by the National Committee for the planning and coordination of financial education activities ("Edufin Committee"). The Edufin Committee has been established in 2017 to promote and plan awareness-raising campaigns as well as financial education initiatives and plays a crucial role in laying down and implementing the National Strategy for Financial Education in Italy.

As usual, during the FEM and the WIW 2020, CONSOB has operated in tight connection with the Edufin Committee, contributing to the planned activities jointly with the other members.

Consob has also collaborated with historical WIW partners, namely "Associazione dei Docenti di Economia degli Intermediari e dei Mercati Finanziari e Finanza d'Impresa" (ADEIMF), "Associazione Nazionale Consulenti Finanziari" (ANASF), "Fondazione per l'Educazione Finanziaria e al Risparmio" (Feduf), "Global Thinking Foundation", "Museo del Risparmio", "Qfinlab - Politecnico di Milano", Ufficio Scolastico Regionale (USR) della Lombardia e USR del Lazio, creating over 70 streaming events and (virtually) reaching tens of cities and thousands of recipients (from primary and secondary school students, to university students, adults and women).

Given the current economic crisis, the aim of WIW 2020 has been first of all to raise awareness among the recipients of the events on the role of financial education as a useful tool for preventing financial vulnerabilities and coping with challenging times (in line with the concept of 'smart investor'). Most initiatives have covered thematic areas like financial scams and illegal acts, the crisis triggered by the pandemic, investment in times of crisis, sustainability and digital innovation.

More specifically, Consob has carried out 24 events, reaching a total of about 4,800 people. Among these initiatives, it is worth mentioning:

- 12 repeats of “Beware of scams”, a show-conference inspired by the famous Ponzi scheme's phenomenon which is part of an experimental project of edutainment on financial education called “Finance on stage”;
- 3 repeats of a show-conference developed in cooperation with Taxi 1729, a scientific dissemination company, based on pandemic-oriented educational contents created by Consob;
- 2 events dedicated, respectively, to primary school teachers in Lombardy and to kids ranging from 7 to 10 years, based on educational material prepared by Consob about financial market main players and their functions, by means of an illustrated story;
- an event dedicated to secondary school students in Trento city, based on the 'App ... rendimento' game, developed in collaboration with the University of Trento and available on CONSOB website, which helps to simulate an investment path and understand which cognitive and behavioral errors can affect investor choices.

FEM and the WIW 2020 have also been the showcase for research and studies that CONSOB conducted independently or in collaboration with numerous Italian Universities.

As expected, the organization of WIW 2020 has proven to be particularly challenging, also because of the constraints stemmed from the pandemic. Due to the very limited room for organizing events in person, educational formats have had to be converted into digital formats. The use of digital means/languages/platforms has highlighted a series of opportunities for future financial education activities, in terms of size of the offer and of the audience that can be reached. As far as content is concerned, evidence from WIW 2020 has highlighted the importance of taking into account the long-term effects of one's choices and the social costs of individual decisions as well as the role of digital culture as a useful tool for seizing the opportunities and challenges of the context in which we are called to make our daily financial decisions.

Information and details about the Italian campaign (e.g. official calendar, list of partners, contact references, related documents and media coverage) are provided in a designated area of the Consob investor education portal (<http://www.consob.it/web/investor-education/mese-2020>), accessible also through the partners' websites by way of dedicated web links. The official list of all the initiatives is available at the link <http://www.consob.it/documents/46180/46181/MeseWIW2020.pdf/e7cb219e-737b-4188-b8c6-930eec26fcad>.

Partners' press offices cooperated with Consob to guarantee clear, shared communication policy and messages throughout the WIW, also by means of social networks.

Below a selection of digital event leaflets and some pictures taken during the few in-person initiatives in the calendar.



Nadia LINCANO e Paola SOCCORSO (CONSOB) incontrano i docenti della scuola primaria della Lombardia per presentare un racconto dedicato ai più piccoli, "Sofia va in borsa". Sofia, nella ricerca di indizi sulla professione della sua mamma, apparentemente così difficile da spiegare, approda in Borsa e conosce un loro che le spiegherà quali sono le funzioni di un mercato finanziario, cosa sono gli imprenditori e le società, cosa sono gli investimenti e così via CONSOB.

28 ottobre 2020
ore 17.00 - 19.00
(su piattaforma digitale)

#OttobreEdufin2020
Il mese dell'educazione finanziaria



La CONSOB è la società di divulgazione scientifica Tealè 1929 spiegano cosa accade ai mercati finanziari durante una crisi. Quali sono i fattori psicologici e comportamentali che possono influenzare in negativo le decisioni in materia di investimenti e quanto sia importante per i piccoli investitori ponderare attentamente la propria scelta senza farsi trascinare dall'emozione.

4 novembre 2020
ore 17.00-18.30
(su piattaforma digitale)

#OttobreEdufin2020
Il mese dell'educazione finanziaria



Massimo GORDANO interpreta **Charlie Ponzio**, diventato famoso per aver realizzato su larga scala uno schema di truffa, ancora oggi noto con il suo nome. **Teddy di Nadia LINCANO e Massimo GORDANO**, **Nadia LINCANO e Paola SOCCORSO (CONSOB)** illustrano i meccanismi psicologici di cui bisogna essere consapevoli e le precauzioni da prendere per evitare di rimanere vittime di una truffa. **Il prof. Paolo SALOMBA (Dipartimento CONSOB)** e la prof.ssa **Donnamaria LUSARDI (Direttore Comitato EduFin)** aprono i lavori con i saluti istituzionali.

FINANZA IN PALCOSCEVICO
programma di educazione finanziaria rivolto a tutti.
Partecipazione riservata.

#OttobreEdufin2020
Il mese dell'educazione finanziaria



JAPAN

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Financial Services Agency

Other IOSCO members: Japan Securities Dealers Association, Japan Exchange Group

One of the characteristics of Japan's initiatives during the World Investor Week 2020 campaign is the active participation of SROs, namely Japan Securities Dealers Association (JSDA) and Japan Exchange Group (JPX).

1. Japan Securities Dealers Association (JSDA)

The JSDA, through the WIW, is currently undertaking the following initiatives with respect to investor protection and investor education.

- **Creating a special website dedicated to WIW and requesting cooperation from JSDA Members**

As with last year, the JSDA created a special website dedicated to introducing the WIW, and disseminated the WIW logo and key messages. In addition, the JSDA requested cooperation from its member securities firms, and provided them with the WIW logo so that they can promote and proliferate the message of WIW. For more information, see <https://www.jsda.or.jp/about/international/wiw2020.html> (Japanese)

- **Posting message advertisements which underline the significance of securities investment**

The JSDA put up advertisements with graphics at major subway stations in Tokyo, Nagoya, and Osaka, as well as posts on social media, with the aim of making more people aware of the "social significance" of securities investment (social, economic, and corporate support). For more information, see <https://www.jsda.or.jp/future/index.html> (Japanese)

- **Posting Dollar-Cost Averaging NISA video advertisement**

The JSDA posted video advertisements on social media to promote understanding of Dollar-Cost Averaging NISA, mainly targeting young people.

- **Conducting activities related to "Securities Investment Day"**

The JSDA has designated October 4th as "Securities Investment Day" in order to get more people interested in securities investment. In order to help JSDA member firms (securities firms) develop and implement activities (seminars, events, campaigns, etc.) related to Securities Investment Day, the JSDA compiled examples of the efforts of each member company conducted up to last year and shared them with other members. In addition, the JSDA created and distributed posters to member firms for their use in explaining the event to investors to enhance the public recognition of Securities Investment Day.

- **Activities for financial and securities education**

The JSDA is hosting seminars & events, dispatching lecturers, and providing online content with the aim of disseminating financial securities knowledge.

For more information, see

<https://www.jsda.or.jp/gakusyuu/index.html> (Japanese)

https://www.jsda.or.jp/jikan/movie_kiso (Japanese)

- **Activities to prevent investment scams**

In order to prevent investment scams, the JSDA conducted public awareness campaigns directed at general consumers and investors that call attention to their potential risk of becoming victim to investment fraud, including creating newspaper advertisements and providing information on the JSDA website.

For more information, see

https://www.jsda.or.jp/anshin/inv_alerts/toushisagi/campaign.html (Japanese)

2. Japan Exchange Group (JPX)

During WIW 2020, due to the coronavirus situation in Japan, seminars and events were moved entirely online. JPX held webinars in Tokyo and Osaka and posted them on its Official JPX YouTube channel, and sponsored a two-day webinar event held by a media outlet. Together, the webinars and events registered a combined total of more than 14,500 views. The circumstances also led to an online presence being created for a physical special exhibition in Osaka to let the public view its contents via the Internet. Detailing Japan's ties with gold, silver, and copper throughout history, the exhibition webpage enjoyed an average of 1,800 pageviews per day.

3. Financial Services Agency (FSA)

The Japan FSA contributed to the WIW 2020 as a national coordinator, closely communicating with relevant domestic and global parties and collaborating on events held during the campaign period.

Due to the Covid-19 situation in Japan, the Japan FSA held an online event for retail investors on Oct. 8 (Thu.), 2020, during the WIW 2020.

The Japan FSA also published several press releases and tweeted about the WIW and the online event in order to raise awareness of the initiatives during the 2020 event.

Both before and since the WIW, the Japan FSA has promoted investor protection and investor education to improve financial and digital literacy through:

- Looking ahead to the implementation of the new junior high school / high school study guidelines, (i) training for teachers, and (ii) dispatching of lecturers to teacher training courses at universities
- Taking measures more effectively to improve financial literacy, such as school visits by officers, seminars, and the creation of teaching materials in cooperation with related organizations
- Proposing content to better understand a variety of financial products
- To disseminate NISA / Dollar-Cost Averaging NISA



(Click and watch the video (Japanese only))

JERSEY

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Jersey Financial Services Commission (JFSC)
Other participants: Channel Islands Financial Ombudsman

For World Investor Week 2020, which took in place in Jersey between 5 October and 9 October, the Jersey Financial Services Commission (JFSC) focused its campaign on investors' protection. In order to deliver the key message: "Live your today. Plan your tomorrow", the JFSC designed a schedule of online activities containing webinars, pre-recorded videos and social media posts on LinkedIn, Instagram and Facebook.

The World Investor Week was initiated by the JFSC's Director General, Martin Moloney, delivering a welcome message that highlighted the importance of being informed, safe and smart when making investment decisions. The recorded message was available on the JFSC's website³ and had 86 views. It reached 317 people on Facebook and generated 16 engagements. Additionally, its LinkedIn publication attracted 995 views. Throughout the week, the JFSC posted four social media graphics highlighting the "DOs" and "DON'Ts" of a smart investor. These posts reached further 260 and 641 people on Facebook and LinkedIn respectively. The JFSC dedicated website page also gained 99 views.

Throughout the week, the JFSC ran various activities to re-emphasise the basics of investing and to address any questions islanders may have about mis-selling, financial grooming and virtual currencies.

Day two of WIW 2020 saw the Chief Executive of the Channel Islands Financial Ombudsman, Doug Melville being interviewed about investment mis-selling. The webinar's emphasis was to educate the public on how to research an investment product, identify red flags and recognise the benefits of long-term, regular and diversified investments. Across various digital platforms this webinar attracted an audience of 1,472 people.

On the third day of WIW 2020, JFSC adviser and FinTech lead Olenka Apperley delivered a short video on virtual currencies. She explained the concept of digital assets and the risks associated with this type of investment, e.g. price volatility, cyber threats and regulatory risks.

For day four of the campaign, the JFSC's acting Director of Enforcement, Kerry Petulla delivered a short video on what to look out for to avoid financial grooming and mis-selling. Both Kerry and Olenka's videos are available on the JFSC website, Facebook and LinkedIn and have attracted over 2,300 views altogether.

For the remainder of the week the JFSC used news banners placed on a local online newspaper's⁴ website to raise awareness of the World Investor Week. They attracted just under 11,000 views. The graphic posts continue to be shared on social media.

³ www.jerseyfsc.org

⁴ Bailiwick Express available at www.bailiwickexpress.com

Jersey Financial Services Commission

A smart investor

Assesses the impact of fees when choosing an investment.

Verifies that an investment professional is licensed.

Conducts research on a product before investing.





Jersey Financial Services Commission

A smart investor

Avoids “get rich quick” and “can’t lose” schemes.

Understands that risk exists in all investments.

Recognises the power of compound interest.






Jersey Financial Services Commission

A smart investor

Plans for and invests according to his/ her future needs and goals.

Recognises the benefit of long-term, regular and diversified investment.

Plans for life’s unexpected challenges and investing in uncertain times.









Jersey Financial Services Commission

A smart investor

- Understands the methods in which legitimate firms receive money for investments
- Understands the risks that are associated with initial coin offerings and crypto- assets in general and is careful in deciding whether to invest in these products
- Never invests based solely on a celebrity endorsement
- Recognises the red flag warning signs of online investment fraud
- Does not forget about the importance of due diligence when considering investment in online digital environments





The JFSC is taking part in the fourth World Investor Week campaign, a global public awareness initiative to promote investor education and protection that is supported by more than 90 jurisdictions across the globe.

<https://vimeo.com/jerseyfsc/review/464920873/f7600f6cea>



Our Head of Policy Jon Stevens was joined by the Chief Executive of the Channel Islands Financial Ombudsman, Doug Melville to discuss what mis-selling is and who you should contact if you think you've been mis-sold.

<https://vimeo.com/466086269>



Do you know what digital assets are? Our Policy Adviser, Olenka Apperley breaks down what they are and the risks with investing in them.

<https://vimeo.com/466491173>



Our acting Head of Enforcement Kerry Petulla advises on what to look out for to avoid financial grooming and mis-selling.

<https://vimeo.com/jerseyfsc/review/465781206/1c8b36d306>

 Jersey Financial
Services Commission

World Investor Week 2020



KAZAKHSTAN

Approved by
Chief Executive Officer
Mukhtar Bubeyev

21 October 2020

**AFSA Report to IOSCO on outcomes of 2020 World Investor Week in the
Astana International Financial Centre (AIFC)**
(as per IOSCO template)

National / Jurisdictional Coordinator: Agency for Regulation and Development of the Financial Market of the Republic of Kazakhstan

Other IOSCO members: Astana Financial Services Authority (AFSA)

Other participants: (AIFC bodies and organisations)

1. AIFC Authority
2. AIFC Court and International Arbitration Centre
3. AIFC Academy of Law
4. Astana International Exchange (AIX), the AIFC investment exchange
5. Bureau for Continuing Professional Development Ltd.
6. FinTech Hub Ltd.
7. AIFC Green Finance Centre Ltd
8. AIFC Business Connect Ltd

Introduction.

In 2020 the events on margins of the World Investor Week in the Astana International Financial Centre (AIFC) were held from 5 to 9 October online in the form of virtual events, such as webinars, presentations, panel sessions and conferences.

The Programme included 5 days of virtual events and 13 sessions covering various topics: investment opportunities and investor protection in the AIFC, capital markets financial instruments in AIFC, Islamic investments in Kazakhstan, investor protection in the AIFC in the context of digitalized financial services. This is the third time when AIFC has joined the global campaign under the leadership of the Astana Financial Services Authority, which reflects AIFC's adherence to financial consumers' education and protection.

The purpose of the event was to raise awareness about financial services consumer protection and about basic principles of investing. 2020 World Investor Week allowed participants to improve their level of financial and investment literacy, learn more about consumers' protection, get to know more about financial services and conditions for doing business at the AIFC.

Due to its digital nature this year's WIW in AIFC was an inclusive event held by AFSA, which gave any interested person an opportunity to participate. 3000 participants from 23 cities of Kazakhstan attended events and lectures by experts of AIFC, as well as domestic and foreign experts in the field of stock market, consumer and investor protection, financial technology, green and Islamic finance, financial instruments of the securities market, as well as continuing professional development.

A dedicated web-page has been created for all willing participants to obtain information on the programme of WIW-2020 and register: <https://afsa.aifc.kz/events/wiw2020/>.

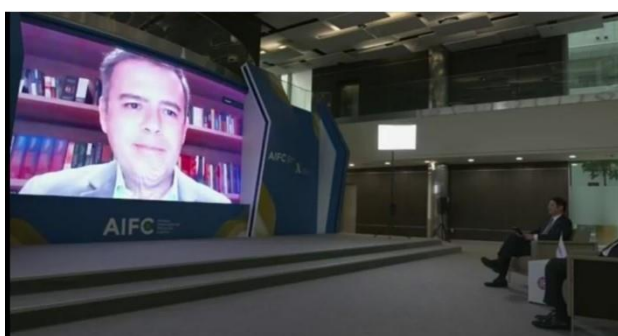
Broad media coverage on national media outlets as well as social media targeting tools around accounts of AIFC in Facebook and Instagram allowed to attract target audiences from various social groups.

The Programme of 2020 WIW in AIFC consisted of the following 4 initiatives:

	Date	Initiative
1.	5 October	Opening of the Virtual World Investor Week in AIFC
2.	6 October	Retail Investors Day
3.	7-8 October	Investment Awareness Campaign
4.	9 October	Investor's Day Conference

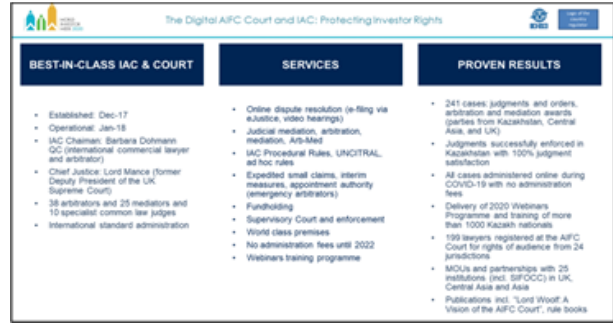
1. Opening of the Virtual World Investor Week in AIFC

The first day of conference has featured welcoming remarks by Mukhtar Bubeyev, CEO of AFSA, and José Alexandre Vasco, Chairman of IOSCO Committee on Retail Investors and Director of Investor Protection and Assistance Office, Brazilian Securities and Exchange Commission.

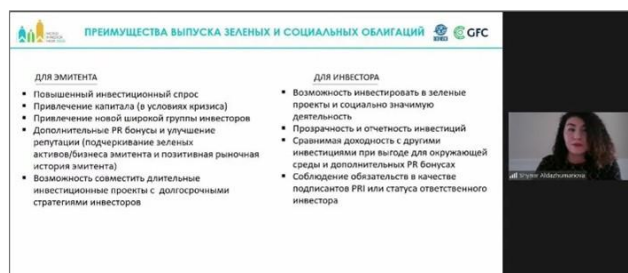
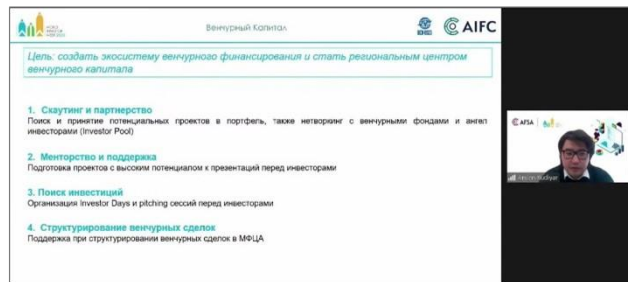


After the opening ceremony the WIW in AIFC has featured following events:

1.1. Webinar “Investor protection in the AIFC” covering investor protection system and approach of AFSA, as well as the role of judiciary in protection of investments;



1.2. Lectures on financial services and investment opportunities in AIFC, reflecting on topics of de-risking investments in AIFC, financial services related to FinTech, Islamic and Green Finance.



2. Retail Investor Day

During the Retail Investor Day, the AIX experts delivered presentations “AIX – investment opportunities for retail investors” (in Russian and Kazakh). The presentation covered the following topics: overview of AIX, trading on AIX, investment strategies, main instruments of passive investments, Exchange Traded Funds and Exchange Traded Notes on AIX.



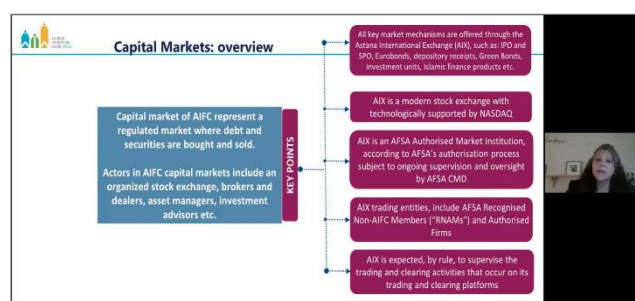
3. Investment awareness campaign

During 2 days of the investment awareness campaign, experts from AFSA and relevant AIFC bodies and organisations, as well as invited speakers covered various topics: capital markets financial instruments, Islamic investments in Kazakhstan, debt capital market, regulatory structure and supervision over the AIX and family finance.

Day 1 of the campaign:

3.1. Webinar “Capital Markets Financial Instruments”

AFSA experts delivered presentation “Capital Markets Financial Instruments – Investor Tips”. The presentation covered the following topics: overview of AFSA capital markets, types of financial instruments and what and investor should look for and think of about before investing.



Things to look for and think about before investing in any financial instruments

- Find a broker who is a trading member of the exchange and open a trading account – Ask questions
- Understand the financial instrument and do your own research
- Understand all the risks
- Monitor for company disclosures
- Verify your trading activity
- Don't be greedy and don't trade on non-public information from an "insider", nor try to manipulate the prices

Types of financial instruments

- Equities
 - Shares
 - Stocks
- Debt
 - Bonds
- ETN/ETF & Units
 - Funds
 - Aggregate debt
- Global Depository Receipt (GDR)
 - Bank certificates
- Green Bonds
 - Climate and Environmental projects
- Eurobonds & Notes
 - Foreign currency
- Derivatives
 - Complex
 - Leveraged
 - OTC or on exchange
- Futures & Options
 - Beware that some bad actors play on quick win scenarios!
 - Usually sold as high return instruments
 - Low cost
 - Targets high net worth individuals

3.2. Panel session “Islamic Investments in Kazakhstan”

AFSA experts and invited speakers held a panel session “Islamic Investments in Kazakhstan”, which provided an overview of Islamic finance instruments.



«Иджара»

Цена – договор лизинга/аренды, в соответствии с которым владелец какой-либо собственности на согласованных условиях передает ее в пользование другому лицу на согласованный период времени.

Основные критерии:

- Предметом лизинга/аренды должна быть **собственность, выгодная для использования**. Всё, что имеет свойство быть **нерасходуемым**, невозможно передать в лизинг: Предмет лизинга должен быть полностью определен сторонами.
- Все обязательства по собственности несет лизингодатель, а ответственность за пользование имуществом несет лизингополучатель.
- Должен быть четко определен срок лизинга/аренды
- Лизингополучатель не может использовать предмет лизинга в иных не указанных в договоре лизинга целях. Лизингополучатель не может использовать предмет лизинга в целях не соответствующих Шариату.
- Лизингополучатель обязан возмещать лизингодателю ущерб, нанесенный вследствие злоупотребления или халатности со стороны лизингополучателя. Любой ущерб или убыток, причиняемый по не зависящим от лизингополучателя причинам, несет лизингодатель.

3.3. Webinar “Debt Capital Markets”

AIFC Academy of Law experts held a webinar “Debt Capital Market in the AIFC – a Checklist”. During the webinar, experts discussed lending relationship, bondholder relationship and focused on debt capital market checklist.

PROMOTED BY IOSCO
WORLD INVESTOR WEEK 2020

AIFC – DEBT CAPITAL MARKETS
Andrew Roberts, Member of the AIFC Legal Advisory Council
7 OCTOBER 2020

AIFC – DEBT CAPITAL MARKETS

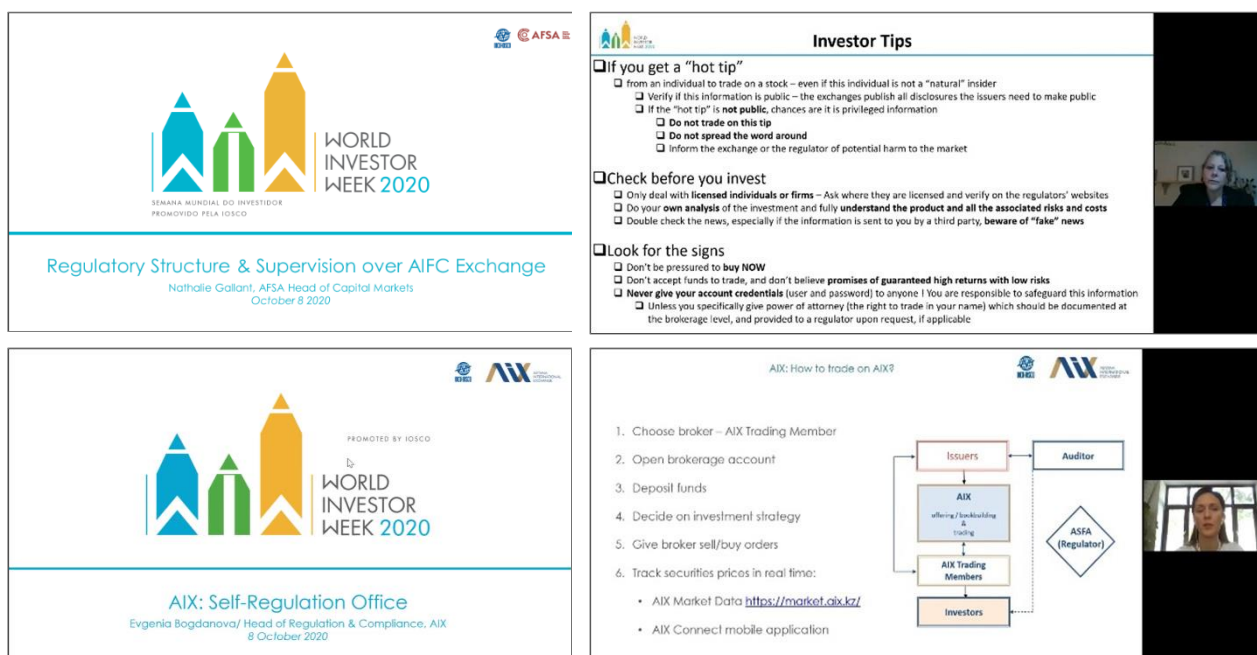
CHECKLIST for bonds in a “new” Jurisdiction

- Internal approvals
 - board / shareholders
 - Borrowing restrictions
- External approvals
 - Consent to issue from securities or industry regulator
 - Restrictions on use of proceeds
 - Consent for future issuance under programmes
- Exchange Controls
- Taxes
 - Withholding tax
 - Issue tax
 - Tax on transfers
 - Stamp duty payable on any court filings

Day 2 of the campaign:

3.4. Webinar “Regulatory Structure and Supervision over the AIX”

AFSA and AIX experts held webinars “Regulatory Structure and Supervision over the Astana International Exchange”. The webinar covered AFSA’s oversight over the AIX, including such matters as insider trading, market manipulation prohibitions and investor protection. Experts also discussed how investors can trade on AIX and provided investor tips.



The image shows two screenshots from webinars. The left screenshot is titled "Regulatory Structure & Supervision over AIFC Exchange" and features Nathalie Gallant, AFSA Head of Capital Markets, speaking on October 8, 2020. The right screenshot is titled "Investor Tips" and lists several key points for investors, such as verifying information, understanding products, and being cautious of "hot tips".

Regulatory Structure & Supervision over AIFC Exchange
Nathalie Gallant, AFSA Head of Capital Markets
October 8, 2020

Investor Tips

- ❑ If you get a “hot tip”
 - ❑ from an individual to trade on a stock – even if this individual is not a “natural” insider
 - ❑ Verify if this information is public – the exchanges publish all disclosures the issuers need to make public
 - ❑ If the “hot tip” is not public, chances are it is privileged information
 - ❑ Do not trade on this tip
 - ❑ Do not spread the word around
 - ❑ Inform the exchange or the regulator of potential harm to the market
- ❑ Check before you invest
 - ❑ Only deal with licensed individuals or firms – Ask where they are licensed and verify on the regulators’ websites
 - ❑ Do your own analysis of the investment and fully understand the product and all the associated risks and costs
 - ❑ Double check the news, especially if the information is sent to you by a third party, beware of “fake” news
- ❑ Look for the signs
 - ❑ Don’t be pressured to buy NOW
 - ❑ Don’t accept funds to trade, and don’t believe promises of guaranteed high returns with low risks
 - ❑ Never give your account credentials (user and password) to anyone! You are responsible to safeguard this information
 - ❑ Unless you specifically give power of attorney (the right to trade in your name) which should be documented at the brokerage level, and provided to a regulator upon request, if applicable

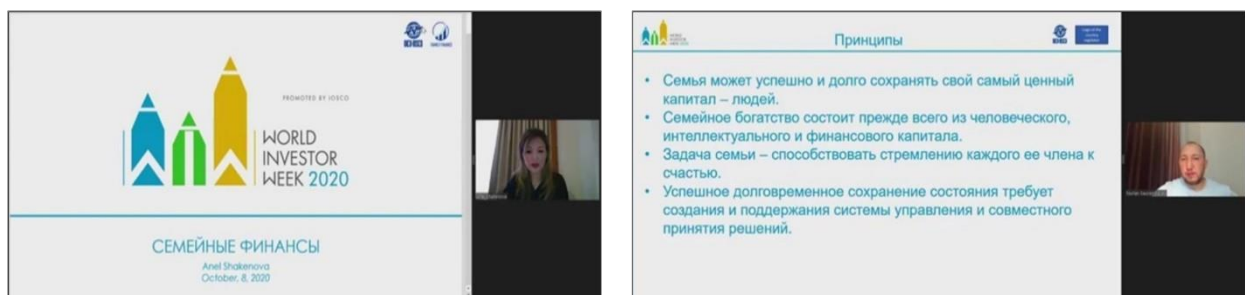
AIX: How to trade on AIX?

1. Choose broker – AIX Trading Member
2. Open brokerage account
3. Deposit funds
4. Decide on investment strategy
5. Give broker sell/buy orders
6. Track securities prices in real time:
 - AIX Market Data <https://market.aix.kz/>
 - AIX Connect mobile application

The diagram shows the flow from Issuers to AIX (offering/backbuilding & trading), then to AIX Trading Members, and finally to Investors. An Auditor and ASFA (Regulator) are also shown in the process.

3.5. Panel session “Family Finance”

AIFC experts and invited external speakers held a panel discussion “Family Finance”. Speakers discussed the importance of family values, how to adopt the right attitude within the family towards financial resources and how to improve the quality of life by using knowledge and financial instruments.



The image shows two screenshots from a panel session. The left screenshot is titled "Семейные финансы" (Family Finance) and features Anel Shalenova speaking on October 8, 2020. The right screenshot is titled "Принципы" (Principles) and lists several key points for family finance, such as preserving family capital and understanding family wealth.

Семейные финансы
Anel Shalenova
October, 8, 2020

Принципы

- Семья может успешно и долго сохранять свой самый ценный капитал – людей.
- Семейное богатство состоит прежде всего из человеческого, интеллектуального и финансового капитала.
- Задача семьи – способствовать стремлению каждого ее члена к счастью.
- Успешное долговременное сохранение состояния требует создания и поддержания системы управления и совместного принятия решений.

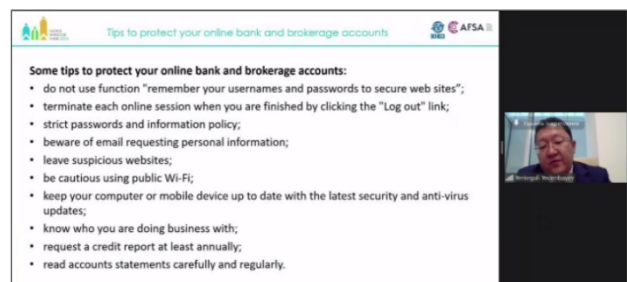
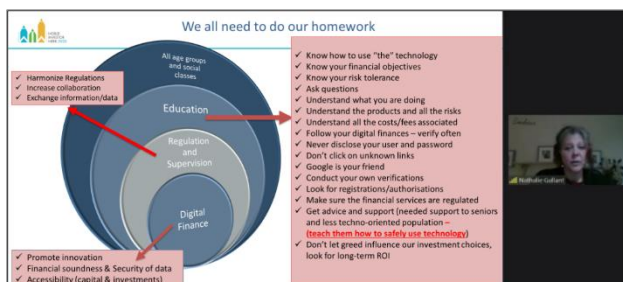
4. Investor's Day Conference

Investor's Day Conference concluded the 2020 WIW in the AIFC, which has been opened with welcoming remarks by Mukhtar Bubeyev, CEO of AFSA. Mukhtar Bubeyev underscored the importance of World Investor Week's objective, which is investor education and protection. He also highlighted the importance of raising awareness about financial services consumers' rights and about basic principles of investing.



After Mukhtar Bubeyev's welcoming remarks the following events were held:

4.1. Panel session "Investor protection in AIFC in the context of digitalized financial services" has addressed consumer protection considerations in current environment where financial services are being offered remotely and through digital technologies.



4.2. Webinar “Funds regulation in the modern world”, reflecting on investing in collective investment schemes and protection of funds’ investors.

Protection of Fund's investors

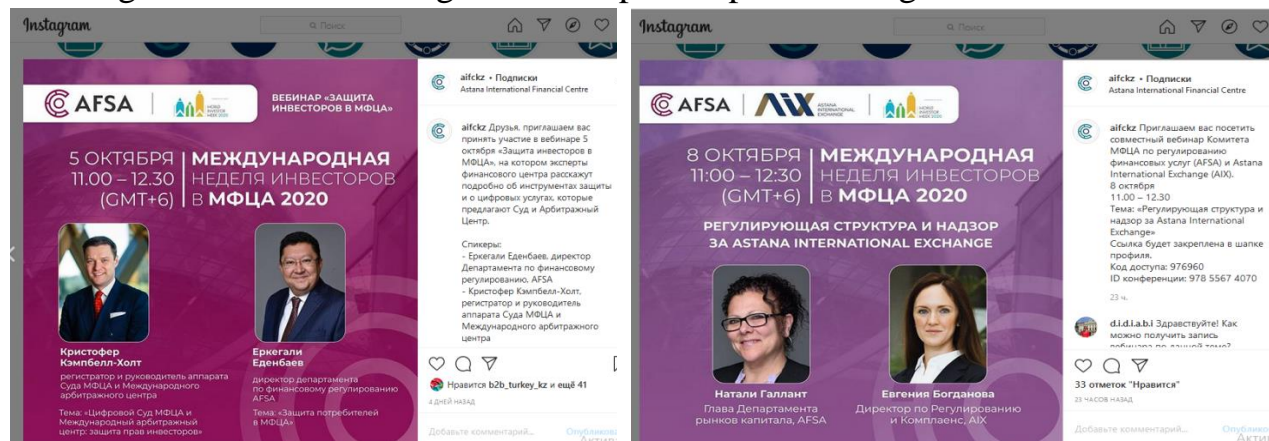
The role of the Financial Services Regulator is to protect the investors by ensuring:

- Each investor has proper knowledge/experience of the products, fully understands the risk being taken and capable to take such risks;
- Each investor is treated equally and rights are not discriminated;
- Each investor trusts the Fund manager and other service providers;
- Distributors/Fund managers provide the relevant information for investor and/or his professional advisors to make an informed decision;
- Fund's assets are kept safe and independent from those of the Distributor or a Fund manager;
- Fund's net asset value is correctly calculated.



Media coverage of the 2020 WIW in AIFC:

All events of 2020 WIW in AIFC were live streamed on social networks, including Facebook and Instagram. Examples of posters are given below:



This year the WIW has been broadly covered by national media outlets resulting in 21 articles and 4 interviews on television in 3 languages: Russian, Kazakh and English. Examples of publications are given below:



LUXEMBOURG

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: CSSF

Other IOSCO members:

Other participants: Luxembourg Stock Exchange

WIW 2020 in Luxembourg

VIRTUAL RING THE BELL FOR FINANCIAL LITERACY

A webinar was organized by the Luxembourg Stock Exchange where Claude Marx, Director General of the CSSF presented Luxembourg's commitment in terms of financial education. The webinar was covered by the national press. The speech of Claude Marx is available on the website dedicated to financial education www.letzfin.lu ([Virtual Ring the bell for Financial Literacy – Letzfin](#))

Launch of the FinGol Junior App

Based on the Financial Game of Life (FinGoL), Luxembourg Tech School developed a FinGol Junior app with a simplified content for young people under the age of 16.

As part of the game, players can create a fictitious profile, open a current and a savings account, manage their budget while ensuring that their expenses do not exceed their income. It is also possible to buy various insurance policies to protect them against unpredictable events. In addition, the player can switch from one month to the next to simulate the flow of financial life. FinGol Junior is available on the Google Play Store and App Store.

Additional functions in the Financial Game of Life

The Financial Game of Life (FinGoL), the dynamic educational game in the form of a "chatbot" which is aimed at a young audience over 16 years, was completed by new functions. The game simulates an adult's financial life as well as the situations he or she faces in real life. During the game, users will have to find a job, manage their budget, make different financial choices and assume their consequences. So, they can take out a loan, buy or rent a flat, buy a car or trade shares on the stock exchange while choosing the path of sustainable development. During the whole game the player is guided through explanations and advice. So, s/he receives, for example, information on the need to maintain a budget and the risks of easy credit. The Financial Game of Life (FinGoL) is available on Messenger and Facebook.

Self-evaluation tool

The new self-evaluation tool regarding financial education could not be launched at the WIF due to technical reasons, but is now available on the website dedicated to financial education www.letzfin.lu (Quiz – Letzfin).



MALAYSIA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Securities Commission Malaysia (SC Malaysia)

Other IOSCO members: None

Other participants:

- a) Securities Industry Dispute Resolution Center (SIDREC)
- b) Financial Planning Association of Malaysia (FPAM)

Once again SC Malaysia is proud to have participated in the 4th annual World Investor Week ("WIW") from 5 to 11 October 2020. While WIW is scheduled from the 5 to 11 October, jurisdictions can choose alternative weeks in October or November to stage their events, given the logistical issues and other challenges posed by the pandemic. Malaysia however has decided to proceed with the proposed week set forth by IOSCO. This year, due to the COVID-19 pandemic most of the key messages on financial and investor education have been disseminated digitally.

The unprecedented COVID-19 crisis has impacted the livelihood of Malaysians due to employment termination and/or income reduction. For this reason, the Malaysian government announced a six-month moratorium for loan/financing repayments from 1st April 2020 till 30th September 2020 to assist affected borrowers.

This crisis further highlighted the importance of having sound financial and investment planning during periods of uncertainty. Further, public awareness on the susceptibility of falling victim to financial scams is particularly important as cases of misconduct have been on the rise.

This crisis has impressed upon all the importance of having sound financial and investment planning during periods of uncertainty. Furthermore, the worrying uptrend in terms of the number of scams has made it more crucial for investors to be educated on basics of investing on two main grounds – to avoid them falling prey to investment scams and to enhance greater retail participation of informed retail investors in the capital market.

SC Malaysia's Activities during WIW 2020

The SC Malaysia, through its InvestSmart®'s initiative, did 2 interview podcast sessions with BFM89.9 radio channel, Malaysia's popular business. This initiative was also planned in conjunction with the inaugural Financial Literacy Month 2020 by Malaysia's Financial Education Network in October.

Radio Interview Podcast

The 2 radio interview podcasts were aired on 6th and 8th October to educate the public on the followings:

- On 6 October - to warn the public to be more vigilant when investing and avoid dealing with unlicensed share trading platforms and unlicensed investment advisers. This reinforces SC Malaysia's existing initiatives to create awareness on the pitfalls of dealing with unlicensed persons. The speaker for this session was the Mr. Jawahar Ali

Ameer Ali, Head of Consumer & Investor Office. The podcast can be accessed from the link [https://youtu.be/YmY--Ac_thk]; and

- On 8 October - to promote to the public the importance of financial planning, particularly after Covid-19 crisis experience and post banking moratorium. The speaker for this session was Ms. Linnet Lee, CEO of Financial Planning Association of Malaysia (FPAM). The podcast can be accessed from the link [https://youtu.be/P_pT8elogS8].

These podcasts continue to be hosted on BFM 89.9 website for a period of 2 months from the date being aired. The podcasts was also posted on InvestSmart®'s website and its social media platforms i.e. Facebook, Instagram, Twitter and YouTube.



Mr. Jawahar Ali Ameer Ali, Deputy General Manager of Consumer and Investor Office at SC



Ms. Linnet Lee, CEO of Financial Planning Association of Malaysia

These podcasts contributed towards the strong interest and participation from the public for the SC's annual flagship investor education event InvestSmart® Fest 2020 which was held virtually from 23 to 25 October 2020.



This event highlights the importance of smart investing and identifying scams and risks, particularly during recent times. Free financial planning service desk called #FinPlan4U™ was also held online from Monday, 19 Oct 2020 to Sunday, 25 Oct 2020. 460 one hour one-on-one free financial planning sessions were offered to the public.

We had more than 9,300 participants registered for the virtual event. The online sessions were also streamed live on Facebook and YouTube reaching out to more than 30,000 viewers.

Virtual InvestSmart® Fest 2020 • 23 - 25 October 2020

In October 2020, InvestSmart® had for the first time organized its seventh instalment of the InvestSmart® Fest virtually. InvestSmart® Fest 2020 with the theme, “Silap Labor Duit Lebur” is also held in support of the Financial Education Network (FEN)’s inaugural Financial Literacy Month 2020 held throughout the month of October.



<p>9,000+ VISITORS</p> <ul style="list-style-type: none"> Over 50% resides outside Klang Valley 30% are between the ages of 18 - 30 	<p>37 EXHIBITORS</p> <ul style="list-style-type: none"> Capital Market Intermediaries Associations Regulatory and Government Agencies
<p>40 SPEAKERS</p>	<p>460 slots of free consultation with licensed financial planners offered at #FinPlan4U service desk</p>



FEATURED SPEAKERS

<p>HUSANI HUSSIN</p> <p>If we live according to our needs, why are we always stressed? How can we save and have protection by way of insurance, for example, that we should be free.</p>	<p>WAN RUPAZI WAN HUSIN</p> <p>Apakah anda sedang mengalami masalah dalam memilih produk insurans? Atau anda sedang mengalami masalah dalam memilih produk insurans? Atau anda sedang mengalami masalah dalam memilih produk insurans?</p>	<p>A.A. DEENA</p> <p>What you need to do is understand what kind of risk is involved? Every investment has its own risks. What kind of risk are you taking?</p>	<p>KASIMPENDE SINGH</p> <p>It is not a matter of money, it is a matter of knowledge. If you have the knowledge, then you can make the most of it. It is not a matter of money, it is a matter of knowledge. If you have the knowledge, then you can make the most of it.</p>	<p>SUHADA PEMBOT</p> <p>Apakah anda sedang mengalami masalah dalam memilih produk insurans? Atau anda sedang mengalami masalah dalam memilih produk insurans? Atau anda sedang mengalami masalah dalam memilih produk insurans?</p>
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WIW participation by SC Malaysia's Affiliate and Stakeholders

(a) Securities Industry Dispute Resolution Center (SIDREC)

SIDREC as an SC Malaysia affiliate, once again launched a week-long campaign consisting of a series of bite-sized tips on smart investing practices that were posted across its social media platforms – Facebook, Instagram, Twitter and LinkedIn.

The campaign began with an introductory post on 1 October and followed-up with one posting a day between 5-11 October. In line with the WIW's theme for 2020, the daily postings were centered on the positive steps that investors can take to guard against common pitfalls when investing online. SIDREC's target audience for the campaign is the general public and more specifically, existing and potential investors.




October 1 – Introductory Post



October 5-11

A smart investor ...

guards his or her personal information. Do not resign forms or share your bank account and credit card details, or access to your online accounts with anybody.

A smart investor ...

takes the trouble to verify that the financial service provider is registered or licensed by the proper authorities. Check on the Securities Commission Malaysia's and/or Federation of Investment Managers Malaysia's website.





A smart investor ...

understands the features of a product including its risks and costs before investing. Risks exist in all investments. Nothing is guaranteed.





A smart investor ...

always stays safe online. Do not share sensitive information. Be sure to look for clues about security on websites. Check out what the websites say about how your information is protected.





A smart investor ...

is acutely aware about the importance of due diligence when considering different types of investments, including those on digital asset management platforms.





A smart investor ...

recognises the red flags for fraud and aggressive persuasion tactics such as sounding too good to be true, being pressured to make a decision, or acts of reciprocity (free seminar, meal or gifts).





A smart investor ...

relies on resources provided by regulators and other reliable affiliations. The Securities Commission Malaysia, InvestSmart, Bursa, Federal Investing Managers Malaysia and Private Pensions Administrator to name a few, have a wealth of information on their websites about different investment products and platforms.





(b) Financial Planning Association of Malaysia (FPAM)

FPAM organized the Annual Signature Financial Planning Symposium 2020 with the theme of Live Your Today ♦ Plan Your Tomorrow. The event attracted 350 participants online and 100 participants on location at the Sime Darby Convention Centre, Kuala Lumpur. The symposium is part of FPAM Financial Literacy Year 2020 (FPAMFLY2020) and is in support of the Financial Literacy Month 2020. It's also held in conjunction with World Financial Planning Day (WFPD) 2020. This symposium aims to make the best out of the current pandemic, on how we manage and plan finances for our clients and ourselves.



Poster for Annual Signature Financial Planning Symposium 2020

MALDIVES

PUBLIC REPORT ON WORLD INVESTOR WEEK 2020
01st – 07th OCTOBER 2020

CAPITAL MARKET DEVELOPMENT AUTHORITY
MALE' MALDIVES



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World Investor Week 2020

National / Jurisdictional Coordinator: Abdullah Zakariyya, Capital Market Development Authority, Maldives.

Other IOSCO members:

None

Other participants:

- Maldives Monetary Authority
- Maldives Pensions Administration Office
- Maldives Stock Exchange Company Pvt Ltd
- Ghiyasuddin International School
- Bank of Maldives Plc

1. World Investor Week Activities and Events in the Maldives

The World Investor Week 2020 (WIW2020) was celebrated in the midst of covid-19. Due to the pandemic, the activities of WIW2020 were all conducted virtually.

The WIW2020 was launched in the Maldives with a financial literacy forum that included various sessions taken by Maldives Monetary Authority (MMA), Maldives Pension Administration Office (MPAO), Maldives Stock Exchange (MSE) and the coordinating organisation – Capital Market Development Authority (CMDA). Other programmes conducted between 1-7 October 2020 included a financial literacy programme for secondary school teachers; a presentation on Islamic finance for secondary school students; a panel discussion on corporate governance; a discussion on private placement framework; and a financial literacy programme for the general public focusing on Islamic banking, capital market and insurance products. All the sessions were held via Zoom and telecasted live on Facebook.

2. Financial Literacy Forum

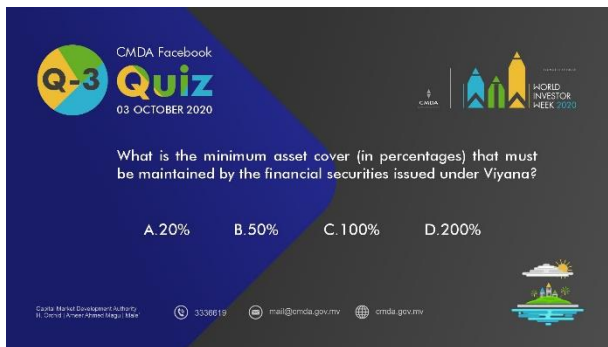
The opening ceremony of WIW2020 was followed by a “Financial Literacy Forum”. The opening remarks by Acting CEO Fathimath Abdulla Kamaaluddheen focused on building a financially resilient community and emphasised the need for capitalising the use of information and communication technologies as well as the importance of collaborative efforts even in business environment. The need to go for win-win solutions, especially in times of financial crisis due to covid-19. Session were presented by staff from CMDA, MMA, MSE and MPAO. The topics covered included: Saving and Investing; Investment Opportunities in Government Securities; Maldives

Retirement Pension Scheme; and Secondary Market Operations. As of 29th December 2020, more than 1,900 views have been recorded for the session.

3. CMDA Facebook Quiz (1-7 October 2019)

The CMDA Facebook Quiz was open to all individuals owning a personal Facebook account in the Maldives. The Quiz took place between 1st October to 7th October 2020. One question was posted on the official Facebook Page of CMDA on each day of the WIW2020.

A total of 57 Facebook users responded to the Quiz. A total of 40 participants responded to all the questions. This is a 50% increase in the percentage of participants that attempted all the questions compared to 2019. A total of 33 participants made to the draw and a winner was selected. Mohamed Muavis Abdulla (A303203) of H. Hamsa, Male' was the winner of MVR 5000.



4. Financial Literacy Forum for Teachers



The Financial Literacy Forum for Teachers was held on 3rd October 2020. A total of 27 teachers from various atolls participated in the programme and successfully completed the programme. The programme consisted of two sessions: Investing for Future and Basic Principles of Islamic Finance.

4.1. Investing for Future

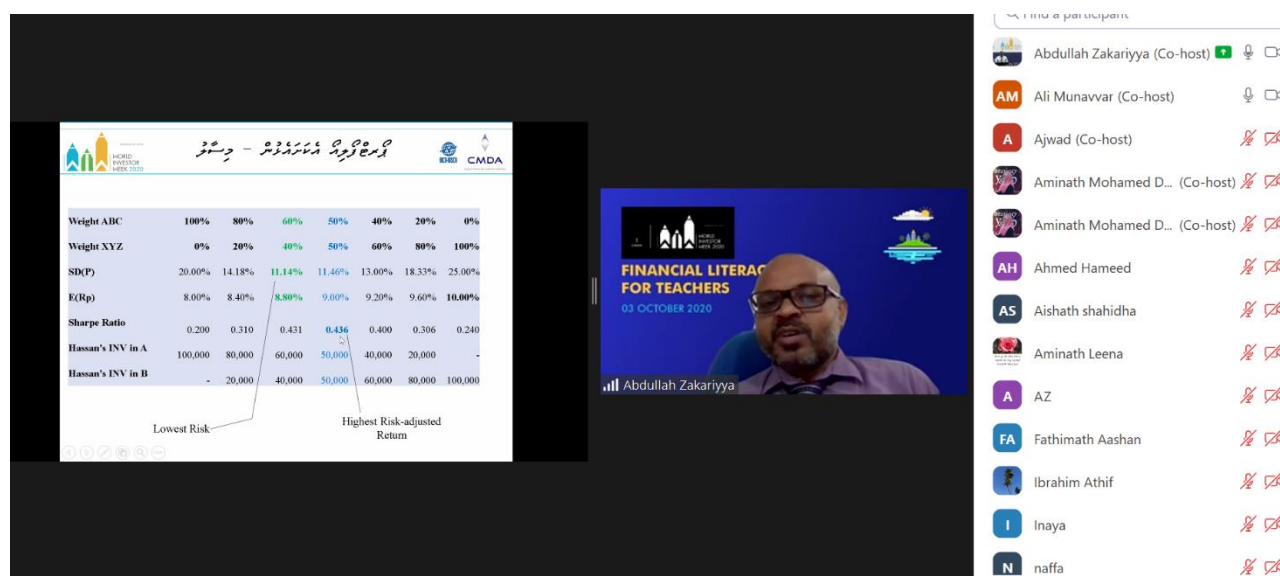
The session on Investing for Future focused on the need to for saving and investing through capital markets as well as other investing options in the local market. This was also seen as an opportunity to create awareness in building a society with more financially resilient citizens. Various options available locally to be financially independent were discussed throughout the session. This session was presented by Abdullah Zakariyya, Head of Education and Training at CMDA.

4.2. Basic Principles of Islamic Finance

The presentation on Islamic finance covered the basic principles of Islamic finance illustrating with examples on what products are available that are Shariah compliant within the market. Furthermore, the presentation covered the rationale behind each product offered. This session was presented by Aminath Mohamed Didi, Director General at CMDA.

5. Financial Literacy for School Students

Financial Literacy for School Students was conducted for the students of Ghiyasuddin International School. A total of 35 students from Grade 9 and Grade 10 participated in the online sessions that covered information on saving, financial planning and investment options. The session covered basics of financial products available in the capital market and the basic principles of Islamic finance. The session on “Saving and Investing” was presented by Abdullah Zakariyya, Head of Education and Training at CMDA while the session on “Basic Principles of Islamic Finance” was presented by Uz. Hassan Kalaam, Manager from Bank of Maldives (Islamic).



The screenshot shows a Zoom meeting interface. On the left, a presentation slide titled "Financial Literacy for Teachers" is displayed. The slide contains a table with the following data:

	100%	80%	60%	50%	40%	20%	0%
Weight ABC	100%	80%	60%	50%	40%	20%	0%
Weight XYZ	0%	20%	40%	50%	60%	80%	100%
SD(P)	20.00%	14.18%	11.14%	11.46%	13.00%	18.33%	25.00%
E(Rp)	8.00%	8.40%	8.80%	9.00%	9.20%	9.60%	10.00%
Sharpe Ratio	0.200	0.310	0.431	0.436	0.400	0.306	0.240
Hassan's INV in A	100,000	80,000	60,000	50,000	40,000	20,000	
Hassan's INV in B	-	20,000	40,000	50,000	60,000	80,000	100,000

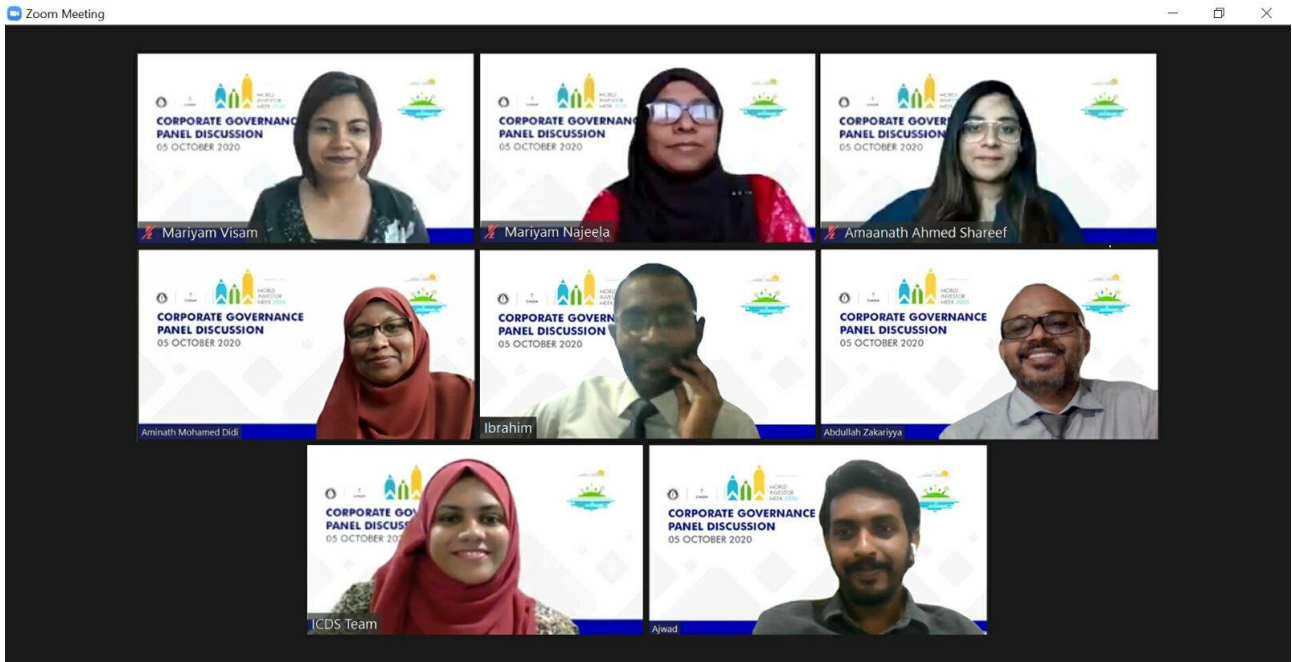
The slide also includes a graph showing the relationship between risk and return, with a line indicating the path from "Lowest Risk" to "Highest Risk-adjusted Return".

On the right side of the screenshot, a list of participants is visible, including:

- Abdullah Zakariyya (Co-host)
- Ali Munavvar (Co-host)
- Ajwad (Co-host)
- Aminath Mohamed D... (Co-host)
- Aminath Mohamed D... (Co-host)
- Ahmed Hameed
- Aishath shahidha
- Aminath Leena
- AZ
- Fathimath Aashan
- Ibrahim Athif
- Inaya
- naffa

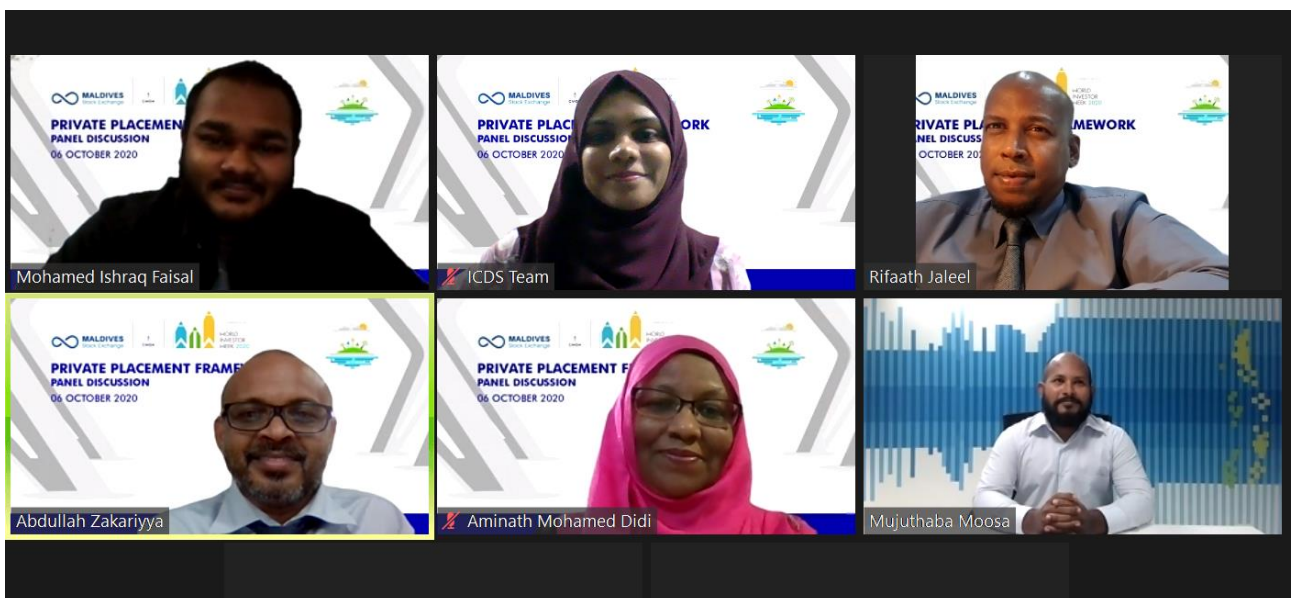
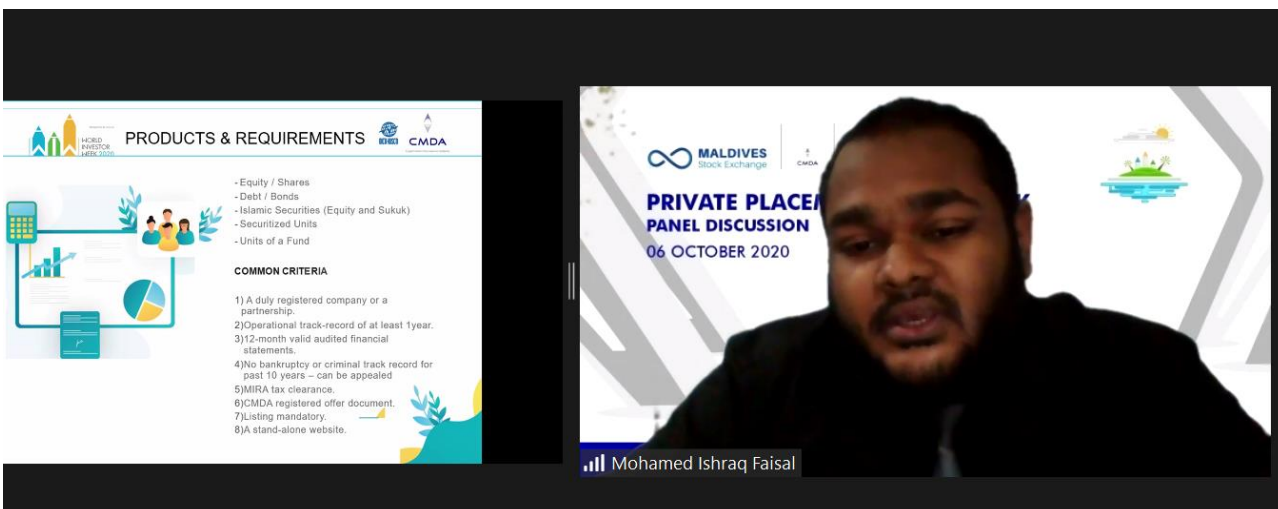
6. Corporate Governance – Panel Discussion

The “Corporate Governance – Panel Discussion” was held on 5th October 2020. The session was open to the general public. Any member of the public may participate as long as they have pre-registered for the session. A total of 32 participants attended the online session. The discussion was on the existing system of governance and the two CG Codes and one regulation on CG that currently govern the business institutions in the Maldives. The program began with a presentation on Corporate Governance by Abdullah Zakariyya, Head of Education and Training. The Panel included Maryam Visam, the Registrar of Companies and a member of CMDA Board of Directors; Ibrahim Fazeel, Director of Audit at Auditor General’s Office; Mariyam Najeela, Senior Executive Director at Maldives Monetary Authority; and Uza. Amaanath Ahmed Shareef, Assistant Counsel at Maldives Monetary Authority. The session was viewed 387 times (as of 29th December 2020).



7. Discussion on Private Placement Framework

The “Discussion on Private Placement Framework” was a panel discussion on the newest development in the capital market of Maldives. The Private Placement Framework (PPF) brings in amendments to Securities Act 2/2006 that will allow private companies to issue debt instruments as well as equity instruments to the public. The equity offer is to be limited to a maximum of 50 investor per fiscal year per issuer. The panellists include Mr. Rifaath Jaleel, a Board of Director from CMDA who is a well-known accountant / auditor locally, Mr. Mohamed Ishraq Faisal, a Senior Manager at CMDA and Mr. Mujuthaba Moosa, Head of Operations at the Maldives Stock Exchange (MSE). The session was moderated by Abdullah Zakariyya, Head of Education and Training at CMDA. A total of 19 participants attended the session. The Facebook live session has been viewed 457 times (as of 29th December 2020).

PRODUCTS & REQUIREMENTS

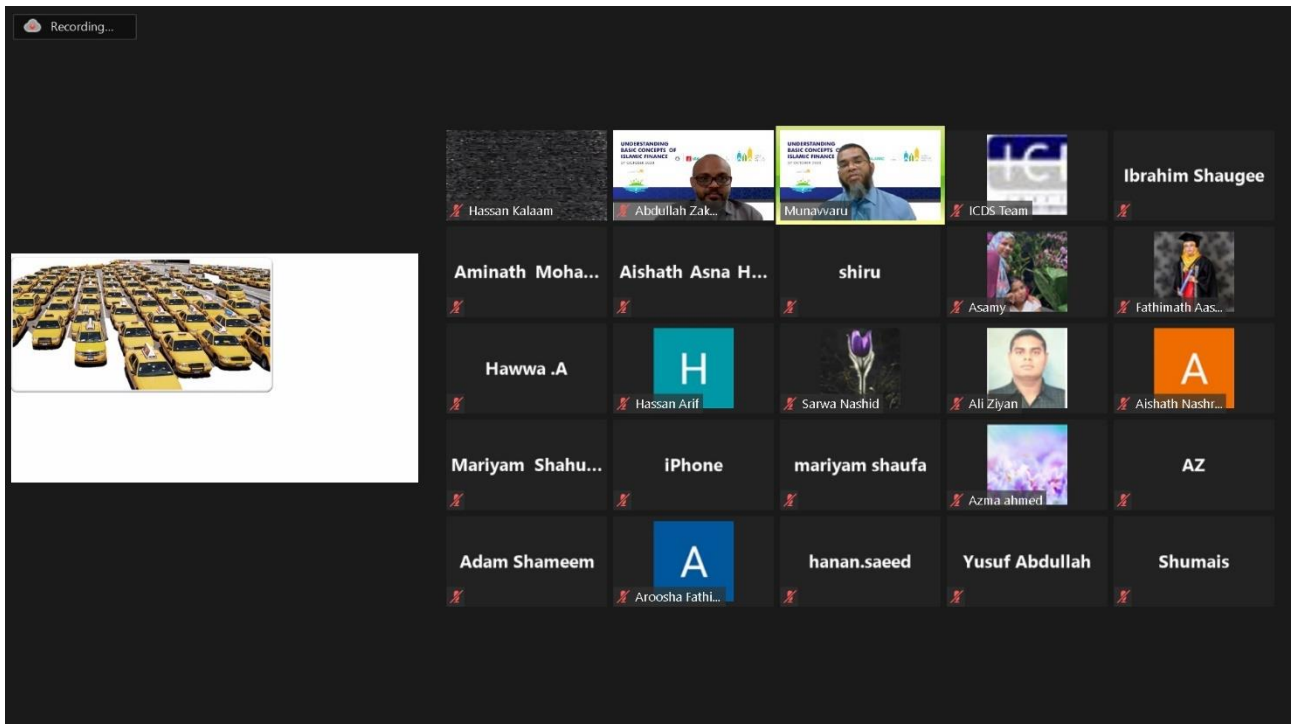
- Equity / Shares
- Debt / Bonds
- Islamic Securities (Equity and Sukuk)
- Securitised Units
- Units of a Fund

COMMON CRITERIA

- 1) A duly registered company or a partnership.
- 2) Operational track-record of at least 1 year.
- 3) 12-month valid audited financial statements.
- 4) No bankruptcy or criminal track record for past 10 years – can be appealed
- 5) MIRA tax clearance.
- 6) CMDA registered offer document.
- 7) Listing mandatory.
- 8) A stand-alone website.

8. Understanding Basic Concepts of Islamic Finance

The session on “Understanding Basic Concepts of Islamic Finance” focused on covering all major aspects of Islamic financial products within the market of Maldives. The session was held in collaboration with Bank of Maldives, largest bank in the Maldives; Maldives Monetary Authority; and a freelancer in the area of Islamic finance, who has worked at Maldives Centre for Islamic Finance.



Recording...

UNDERSTANDING BASIC CONCEPTS OF ISLAMIC FINANCE

07 OCTOBER 2020



AMIC

CMDA



ICDS Team

9. WIW2020 – Information Shared on Social Media

CMDA shared information related to WIW2020 through social media platforms such as Twitter and Facebook. The following table summarises the nature material posted and the level of reach and engagement.

Item Published	Reach / Views	Engagement
Financial Literacy Forum – General Public	3,300	1,253
WIW2020 Facebook Quiz	4,072	982
WIW 2020 Facebook Quiz- Winner	237	48
Financial Literacy Forum – Teachers	2,900+	127
Financial Literacy for School Students	432	84
Corporate Governance – Panel Discussion	2,100+	81
Panel Discussion on Private Placement Framework	1,900+	78
Understanding Basic Concepts of Islamic Finance	5,600+	206
TOTAL	20,541+	2,859

10. WIW2020 – Maldives Stock Exchange

In celebration of the World Investor Week 2020, Maldives Stock Exchange and Maldives Securities Depository ran a mini-series on social media promoting saving and investing as a lifestyle. The series was carried out in the local language Dhivehi and the theme focused on “saving MVR 33 a day”, and covered basics of investment decisions and portfolio management. The following were the content covered:

Day	Content Covered
Day 1 – 1 October 2020	Adopting a savings culture could seem difficult, how about we start by putting away 33 rufiyaa a day?
Day 2 – 2 October 2020	A small change in everyday lifestyle can ultimately pave way for financial independence.
Day 3 – 3 October 2020	Let’s not just save, let’s multiply
Day 4 – 4 October 2020	Do your research to make informed investment decisions
Day 5 – 5 October 2020	Strategize your investments, diversify your portfolio!
Day 6 – 6 October 2020	Make that lifestyle change into a habit.
Day 7 – 7 October 2020	A little bit goes a long way. Happy Investing!



MEXICO

WORLD INVESTOR WEEK 2020

NATIONAL BANKING AND SECURITIES COMMISSION

(CNBV, Comisión Nacional Bancaria y de Valores)

The World Investor Week in Mexico was celebrated by CNBV during the National Financial Education Week (SNEF, Semana Nacional de Educación Financiera), from November 4 from November 11, 2020. The CNBV presence at the SNEF included the WIW logo and detailed investors' education material from the 2017 SNEF and onwards.

SNEF gathered different participants such as: government agencies, financial institutions associations, and participants of the Mexican financial system, all of them participated through conferences, and educational material was published in the webpage of SNEF from the starting in November through December 31st, 2020.

During the SNEF the activities included several topics including investment, credit, savings, FinTech activities and development of entrepreneurial projects, progress and challenges in financial inclusion, among others. Due to the recommendations to the combat to the sanitary contingency "Covid-19", the activities were exclusively online, and these were directed at different population groups: children, young people, adults, seniors, small enterprises, and Mexicans in other countries. In total, 1 million people participated in the conferences or downloaded the material from the website.

The principal activities around "Investment" were infographic materials and conference addressed to new users and people interested in improving their knowledge in the stock market <https://eduweb.condusef.gob.mx/SNEF/index.html>, addressed to specific sectors, below you can find the web pages. The Annex 1 contains the infographic material.

Young People:

<https://eduweb.condusef.gob.mx/SNEF/Contenido/Oferta/Cnbv/Jovenes.html>

Adults:

<https://eduweb.condusef.gob.mx/SNEF/Contenido/Oferta/Cnbv/Adultos.html>

Seniors:

<https://eduweb.condusef.gob.mx/SNEF/Contenido/Oferta/Cnbv/AdultosMayores.html>

The conference *Still not investing?* was presented by the General Director of Supervision of Market Participant's Conducts, in which key information for the new investor was shown, indicating in accordance with the regulation, which are the documents that contain relevant information such as the "Investment Services Guide" and the identification of data such as type and characteristics of the service to be contracted, the service provider and the commissions or expenses paid by the investor. In Annex 2 the slides of the conference are integrated.

In addition to these activities, within the framework of the SNEF, the CNBV participated by presenting the following conferences:

"Actions of the CNBV in the face of contingency "

Marco Antonio Del Río, General Director for Access to Finance

"Financial inclusion in Mexico"

Javier Suárez Luengas, Deputy General Director for Access to Finance

"Financial inclusion in Mexico"

Marco Antonio Del Río, General Director for Access to Finance

"Financial inclusion in Mexico"

Christopher Edmundo Castro Solares, Deputy General Director for Access to Finance

"Financial inclusion in Mexico"

Yolanda Sosa Juárez, Director for Access to Finance

"Financial Inclusion in Mexico"

Julissa Hernández Godínez, Director for Access to Finance

"Financial inclusion in Mexico"

Jesús Isaac Pérez Serrano, Director for Access to Finance

"Financial inclusion in Mexico"

Karina Sarel Pineda Ochoa, Director for Access to Finance

Most of the participants were university students from different cities in Mexico, which strengthens the regulator's communication with educational institutions. However, there are challenges to be met and gaps to be shortened, in order to promote investment in the country.

Annex 1

SEMANA NACIONAL DE **EDUCACIÓN FINANCIERA** 2020 

YA INVIERTES... ¿SABES EN LO QUE INVIERTES?


Es fundamental que identifiques el **Servicio de Inversión** que contrataste así como las diferencias entre los mismos:

Asesoría en Inversiones	Comercialización o Promoción
El cliente recibe recomendaciones personalizadas para la toma de decisiones y es responsable de girar instrucciones de inversión.	La Entidad hace recomendaciones generalizadas sobre sus servicios o para realizar operaciones sobre valores de bajo riesgo
ASESORADOS El Asesor o la Entidad debe conocer tu perfil de riesgo	NO ASESORADOS El cliente es el responsable de elegir los valores
Gestión de Inversiones Las decisiones de inversión se toman discrecionalmente por la Entidad o Asesor, por cuenta del cliente, al amparo de un marco general de actuación.	Ejecución de Operaciones La Entidad ejecuta las operaciones en los términos instruidos por el cliente, por lo que este último asume toda la responsabilidad de la instrucción.

SERVICIOS DE INVERSIÓN

Recuerda...

- La persona que te preste Servicios de Inversión está obligada a proporcionarte un estado de cuenta que contenga el estado que guardan las operaciones que hayan realizado o ejecutado al amparo de los Servicios de inversión contratados
- Para conocer los mecanismos para la recepción y atención de reclamaciones que se encuentran disponibles para los clientes debes consultar la **Guía de Servicios de Inversión** proporcionada por el Asesor o la Entidad que prestan Servicios de Inversión.

 COMISIÓN NACIONAL BANCARIA Y DE VALORES



SNEF2020 SABES INVIERTES.pdf

SEMANA NACIONAL DE EDUCACIÓN FINANCIERA 2020



¿TODAVÍA NO INVIERTES?

Antes de invertir en el **mercado de valores**, toma en cuenta lo siguiente:

1 Define tus objetivos

Planea tomando en cuenta tu situación financiera y busca asesoría para **identificar tus necesidades**.

2 Identifica el tipo de Servicio de Inversión que se adapte a tus necesidades.

SERVICIOS DE INVERSIÓN ASESORADOS	SERVICIOS DE INVERSIÓN NO ASESORADOS
Asesoría en Inversiones y Gestión de Inversiones	Comercialización o Promoción y Ejecución de Operaciones

3 Identifica la persona o entidad con la que contratarás el Servicio de Inversión. Pueden ser:

Asesores en inversiones
Personas físicas o Personas Morales

Pueden prestar los Servicios de Inversión Asesorados, siempre y cuando se encuentren registrados ante la CNBV.

Casas de bolsa, instituciones de crédito, sociedades operadoras de fondos de inversión y sociedades distribuidoras de acciones de fondos de inversión

Pueden prestar Servicios de Inversión Asesorados y No Asesorados, siempre y cuando se encuentren autorizadas por la CNBV.

4 La persona que te preste Servicios de Inversión está obligada a proporcionarte una **Guía de Servicios de Inversión** (o indicarte en qué página de Internet consultarla) que contenga al menos lo siguiente:

- Los Servicios de Inversión que proporcionan
- Las comisiones relacionadas con los Servicios de Inversión que proporcionan
- Política para evitar conflictos de interés.
- Las clases o categorías de valores que ofrecen
- Mecanismos para interponer reclamaciones.
- Política para la diversificación de carteras



Annex 2

SEMANA NACIONAL DE EDUCACIÓN FINANCIERA 2020

GOBIERNO DE MÉXICO | HACIENDA | CUCBA | UNAM | CUCBA | CUCEA | CGAI

La Universidad de Guadalajara a través de la Coordinación General Académica y de Innovación en colaboración con la CONDUSEF Nacional INVITAN a la CONFERENCIA

¿Todavía no inviertes?

Impartido por



Jessica Valeria García Mercado
Directora General de Supervisión de Conducta de Participantes del Mercado

Tema: Inversión

LIVE @cucea.official

CUIDO MI DINERO, PROTEJO MI SALUD

6 noviembre
17:00 hrs



SEMANA NACIONAL DE EDUCACIÓN FINANCIERA 2020



¿Todavía no inviertes?

C.P. Jessica Valeria García Mercado
Directora General de Supervisión de Conducta de Participantes del Mercado



Conferencia_Todavía no inviertes.pdf

MOROCCO

National / Jurisdictional Coordinator: Moroccan Capital Market Authority (AMMC)

For the fourth consecutive year, the Moroccan Capital Market Authority (AMMC) took part in the World Investor Week (WIW) from the 6th of October until the end of December 2020, to promote financial education for general public.

The AMMC has joined regulators, international organizations, investor associations, and other stakeholders from some 100 countries, who have led several activities to raise awareness towards the enhancement of financial education for investors.

Intended for a general audience, these activities aim to contribute to the reinforcement of the financial culture of citizens through educational content on financial instruments and markets by highlighting good practices in financial investments.

Given the sanitary situation, the 2020 edition of the World Investor Week has shifted to a 100% digital format and was spread over a longer period for the AMMC. The Authority has published various financial education content for general public and professionals in the sector.

This year's edition features:

1. The Launch of a financial education mobile application
2. A virtual event: Webinar on inclusive finance
3. The publication of the investor's guidebook
4. Educational videos

Quiz Finance, a mobile app to learn about capital markets

"Quiz Finance" is a mobile app addressing financial education and aimed at a general public. Its goal is to acquaint general public with capital markets by developing their financial capacities and skills. The application is free of charge and available on Google Play and App Store in French. An Arabic version will be at user's disposal very soon. "Quiz Finance" offers to users (experts and beginners alike), the ability to improve their financial culture by learning more about savings, investments and financial instruments.



A Webinar on inclusive finance, with the contribution of the Scientific Council of the AMMC, and introduced by the CEO and chairperson, Ms. Nezha Hayat.

This virtual event gathered more than 500 people and discussed:

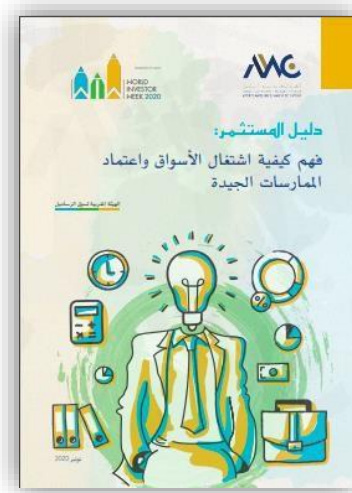
- The challenges and positive impacts of financial inclusion on development and growth;
- The situation in Morocco;
- Policies and accompanying measures;
- Regulatory aspects...



To watch the webinar

The investor's guidebook: an educational tool for general public tackling investments in financial instruments.

This guidebook is intended to familiarize the current and future investors with the understanding of investment basics in capital markets. It discusses the circuits of investment in financial instruments and presents the best practices that investors can adopt to confidently undertake investment acts suited to their profile.



French version of the investor's guidebook

Arabic version of the investor's guidebook

Educational videos:

The AMMC published on social media two educational videos regarding sustainable and green finance. These videos were presented by Prof. Issouf Soumaré, member of the AMMC's Scientific Council and Mr. Yasser Mounsiif, Head of the Financial Operations and Market Department.

Sustainable finance: "What is sustainable finance?"



[To watch this video](#)

Green finance: "The financing of green growth in Morocco, what's the current situation?"



[To watch this video](#)

MEDIA COVERAGE

Press coverage

The AMMC published 2 press releases announcing the AMMC's participation to the 4th World Investor Week and the launch of "Quiz Finance" App. These 2 press releases generated more than **100 pieces** of coverage.

L'AMMC participe au 4ème World Investor Week

La 4ème édition de la WIIW mobilise les régulateurs des six continents en faveur de l'éducation financière des investisseurs à travers une grande variété d'activités.

L'Autorité marocaine du marché des capitaux (AMMC) a annoncé, mardi, sa participation, pour la quatrième année consécutive, à la World Investor Week (WIIW), campagne internationale initiée par l'Organisation internationale des commissions de valeurs (OICV) pour la promotion de l'éducation financière du grand public.

Célébrée durant les mois d'octobre et novembre 2020, cette 4ème édition de la WIIW mobilise les régulateurs des six continents (membres de l'OICV) en faveur de



l'éducation financière des investisseurs à travers une grande variété d'activités (campagnes de sensibilisation, webinaires, Mooc, etc), indique un communiqué de l'AMMC qui rejoint ainsi plus de 90 régulateurs à travers le monde.

Ces activités, destinées au grand public, ont pour but de contribuer au renforcement de la culture financière des citoyens à travers la diffusion de contenus pédagogiques sur les instruments et marchés financiers, en mettant en avant les

bonnes pratiques en matière d'investissements financiers, précise la même source.

De par ses missions, l'AMMC est pleinement investie dans l'éducation financière du public. L'Autorité s'engage pour une meilleure connaissance des marchés, des produits et des risques financiers.

Sa participation à la WIIW et ses différentes actions ont pour objectif de renforcer l'éducation financière des épargnants dans le domaine du marché des capitaux. ■

L'INFO

L'Autorité Marocaine du Marché des Capitaux se joint au «World Investor Week»

Pour la quatrième année consécutive, l'Autorité Marocaine du Marché des Capitaux (AMMC) vient de prendre part au «World Investor Week», une campagne initiée par l'Organisation Internationale des Commissions de Valeurs (OICV) pour la promotion de l'éducation financière auprès du grand public. L'AMMC a ainsi rejoint plus de 90 régulateurs à travers le monde. Célébrée tout au long des mois d'octobre et novembre 2020, cette 4ème édition de la «World Investor Week» mobilise les régulateurs membres de l'OICV en faveur de l'éducation financière des investisseurs, et ce, à travers une grande variété d'activités : campagnes de sensibilisation, séminaires virtuels, événements promotionnels... La participation de l'AMMC à ces différentes actions a de nombreux objectifs : renforcer l'éducation financière des épargnants dans le domaine du marché des capitaux, développer les capacités financières des investisseurs pour une prise de décision éclairée et promouvoir le marché des capitaux en renforçant la confiance.

Éducation financière L'AMMC lance l'application «Quiz Finance»

L'Autorité marocaine du marché des capitaux (AMMC) a lancé lundi son application mobile d'éducation financière destinée au grand public «Quiz Finance».

Cette application a pour objectif de rapprocher le public du marché des capitaux et de développer ses capacités et ses aptitudes financières, indique l'AMMC dans un communiqué.

Disponible gratuitement en version française sur Google Play, et prochainement en version arabe, «Quiz Finance» est une application ludique qui propose aux utilisateurs, experts comme débutants, d'améliorer leur culture financière en apprenant davantage sur l'épargne, les placements ou encore les instruments financiers, relève la même source.

L'Autorité souligne également qu'avec une interface simple et intuitive, les utilisateurs de l'application peuvent s'inscrire pour accéder à l'ensemble des fonctionnalités, expliquant que pour démarrer, chaque joueur détermine son profil en fonction de son niveau de connaissance du marché des capitaux, à savoir débutant, intermédiaire ou expert.

Pour chaque profil, 5 différents niveaux de 8 questions chacun sont proposés. Avant d'accéder au niveau supérieur, il suffit de répondre correcte-



«Quiz Finance» est une application ludique qui propose aux utilisateurs, experts comme débutants, d'améliorer leur culture financière en apprenant davantage sur l'épargne, les placements ou encore les instruments financiers.

ment aux différentes questions. Les joueurs pourront également choisir entre des quiz génériques et des quiz thématiques.

L'application compte aujourd'hui plus de 300 questions et de nouvelles questions seront ajoutées régulièrement pour enrichir en permanence les connaissances des joueurs, précise l'AMMC, ajoutant que

ces questions couvrent différents sujets, comme l'investissement, les instruments financiers, les droits et les responsabilités ou encore la protection contre la fraude.

Le lancement de l'application «Quiz Finance» s'inscrit dans le cadre de la 14ème participation de l'AMMC à la World Investor Week (WIW), un événement mondial qui mobilise chaque année les régulateurs des marchés des capitaux autour de l'éducation financière du public durant les mois d'octobre et novembre.

En parallèle au lancement de son application «Quiz Finance», l'AMMC a prévu différentes actions éducatives comme l'organisation de webinaires et la publication de capsules et guides pédagogiques autour de la finance.

Une application de l'AMMC pour devenir incollable sur les marchés financiers

Par Maroc Diplomatique - 20 novembre 2020



L'Autorité Marocaine du Marché des Capitaux (AMMC) a lancé lundi, son application mobile d'éducation financière destinée au grand public «Quiz Finance».

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هيئة الراسمبل تطلق تطبيقا لنشر الثقافة المالية لدى العموم

ويتشبه المرور إلى المستوى الأعلى الإيجابية الصحيحة على مختلف الأسئلة كما يمكن التطبيق الخاص من الاختيار بين أسئلة عامة وأسئلة موضوعية، ويتم التطبيق حاليا أكثر من 300 سؤال مستضاف إليها أسئلة أخرى بشكل منتظم من أجل الإبقاء المستمر، بخلاف التطبيق، وتطوي هذه الأسئلة مختلف المجالات المالية والاستثمار والأدوات المالية والحقوق والمسؤوليات وإبنا سبل الوقاية من الغش، منبر إطلاق تطبيق اختبارات المالية، «Quiz Finance»، هي سياق المشاركة الأربعة للهيئة المغربية لسوق الراسمبل في الأسبوع العالمي للمستهلك، الذي يُنظم سنويا بمشاركة هيئات نظير وضبط الأسواق المالية حول موضوع التثقيف المالي للعموم، وذلك خلال شهري أكتوبر ونوفمبر من كل سنة، بالتعاون مع الراسمبل تطبيق «Quiz Finance» معظم الهيئة المغربية لسوق الراسمبل أيضا التثقيف العديد من الأعمال الهادفة إلى نشر الثقافة المالية لدى الجمهور العريض، منها على الخصوص تنظيم فترات توعوية مشتركة على الإنترنت (webinaires) ونشر كتبات وديتيل بيداغوجية حول مختلف المجالات والقضايا المالية.



على المستهلكين سواء كانوا خبراء أم مبتدئين تحسين مستوى ثقافتهم المالية عبر اكتساب معارف أكثر حول الاختيار وتوظيف الأموال أو حول الأدوات المالية، من خلال واجهة مستخدم بسيطة وبديهية يمكن للمستهلكين أن يسجلوا أنفسهم لتتبع تقدمهم في التثقيف المالي، وذلك من خلال تحديد كل اختبار وضبط مستوى معرفته بسوق الراسمبل مستخدم متوسط أو خبير فيكون كل وضع مستخدم من 5 مستويات، ويشمل كل مستوى 8 أسئلة الاختبار.

أطلقت الهيئة المغربية لسوق الراسمبل تطبيق «Quiz Finance» ليعرض للجمهور ثقافة مالية لدى العموم ويهدفها التطبيق إلى تقريب عموم المواطنين من عالم المال وتطوير قدراتهم ومعارفهم المالية، وخصص برنامج للهيئة نسخة التطبيق «Quiz Finance» باللغتين الفرنسية ولأوروبا باللغة العربية، شكلي ليعرض

L'AMMC publie un guide pour démystifier le marché des capitaux

27 novembre 2020



Social Media

All the content produced by the AMMC for the World Investor Week was shared on social media (Linkedin, Twitter and Youtube).

The educational videos gathered more than **200 viewers** each and the webinar more than **500 viewers**.

Autorité Marocaine du Marché des Capitaux (AMMC)
9 047 abonnés
2 mois • Modifié •

L' #AMMC organise, avec la contribution de son Conseil scientifique, un webinaire autour de la #FinanceInclusive ...voir plus



The poster features the AMMC logo and the text: "Webinaire AMMC", "LA FINANCE INCLUSIVE AVEC LA CONTRIBUTION DU CONSEIL SCIENTIFIQUE DE L'AMMC", and "27 OCTOBRE 2020 16H15". It also includes the World Investor Week 2020 logo and the AMMC name in Arabic: "الهيئة المغربية لسوق الرساميل".

60 • 1 commentaire

AMMC @AMMC_News · 19 oct. 2020

L' #AMMC lance « Quiz Finance », l'application mobile pour se familiariser avec le #MarchéDesCapitaux #WIW2020

Retrouvez le communiqué de presse sur notre site: ammc.ma/sites/default/...



The poster says: "QUIZ FINANCE, L'APPLICATION MOBILE POUR SE FAMILIARISER AVEC LE MARCHÉ DES CAPITAUX" and "COMMUNIQUÉ DE PRESSE". It includes the AMMC logo and the World Investor Week 2020 logo.

4 5

Autorité Marocaine du Marché des Capitaux (AMMC)
9 047 abonnés
1 mois •

«Green Finance : où en est le financement de la croissance verte au Maroc», une présentation de M. Yasser MOUNSIF, Chef du département « opérations et informations financières » à l' #AMMC. ...voir plus



The poster features a photo of Yasser Mounsiif and the text: "Yasser MOUNSIF Chef du département opérations et informations financières AMMC", "GREEN FINANCE : OÙ EN EST LE FINANCEMENT DE LA CROISSANCE VERTE AU MAROC ?". It also includes the AMMC logo and the World Investor Week 2020 logo.

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J'aime Commenter Partager Envoyer

Autorité Marocaine du Marché des Capitaux (AMMC)
9 047 abonnés
1 mois •

L' #AMMC publie le guide de l'investisseur : « Comprendre les circuits et adopter les bonnes pratiques » ...voir plus



The poster shows a book cover titled "Guide de l'investisseur : Comprendre les circuits et adopter les bonnes pratiques" and the text: "GUIDE DE L'INVESTISSEUR : « COMPRENDRE LES CIRCUITS ET ADOPTER LES BONNES PRATIQUES »". It includes the AMMC logo and the World Investor Week 2020 logo.

29

J'aime Commenter Partager Envoyer

NEPAL

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Securities Board of Nepal (SEBON)

Other IOSCO members: -

Other participants: Nepal Stock Exchange Ltd.(NEPSE), CDS and Clearing Ltd.(CDSC), ICRA Nepal Ltd., and Nepal Investors' Forum, Share Laganikarta Sangh of Nepal, Nepal Pujibazar Laganikarta Sangh, and Nepal Pujibazar Sangh.

SEBON, with the support of and collaboration of securities market participants organised World Investor Week (WIW) 2020 by conducting different programmes including training, awareness building, educational, and interactive events to empower the general investors and sensitise the people from all occupations to the securities markets. The programmes that were conducted during October 7 to 11, 2020 are summarised below:

1. Day & Event-1, 7 October 2020, Wednesday, 7:25A.M.-7:55A.M.

SEBON broadcasted Radio programme on securities markets and commodity derivatives markets from Radio Nepal-the National Radio Broadcaster of Nepal, with the highest coverage of audience in the country, to build awareness and educate the people in a simple and effective manner residing in every part of country. During the programme Dr Nabaraj Adhakari, Deputy Executive Director, SEBON and Coordinator of WIW 2020 along with a market expert Mr Krishna Giri delivered awareness tips about securities markets and commodity derivatives markets. The

programme also covered a question and answer sessions from the general investors from the different parts of the country. The questions raised by the investors during the programme had been addressed by Dr Adhikari. The programme was targeted to empower the investors at large to be smart and increase their interest in securities markets. The estimated number of investors benefited from listing the programme was about one hundred thousand. *The related link for the record of radio programme is as follow:*



Dheeto Patra Bishesh Karyakrame 2077-06-21 (2).mp3

2. Day & Event-2, 8 October 2020, Thursday, 3:00 P.M.-5:30 P.M.

SEBON organised a talk programme with the high-level management (CEO/MD) of potential issuing companies from real sectors appealing them to mobilise funds from securities markets followed by a presentation on the fundamentals, advantages and disadvantages of free pricing to the issuers and investors under book-building pricing method. The presentations were made by an independent expert on the fundamentals of book-building system and the rationale of free pricing through book building and by the SEBON official on the process of free pricing through book-building system as per the Directives. The Directives on book building was issued recently by SEBON in order to attract the companies from the real sectors along with the institutional investors in the securities markets.

The programme was organised through ZOOM. The programme had been Chaired by Mr Bhisma Raj Dhungan, Chairman of SEBON. Mr Pradip Raj Adhikari, Registrar of Office of Company Registrar, Government of Nepal (GoN), Mr. Jiblal Bhusal, Director General of Department of Industry, GoN, Shatis Moor, President, Confederation of Nepalese Industries (CNI), Mr Manish Kumar Khemka, Director, Norvic Hospital, Kathmandu (representatives from potential issuing companies from real sector), Presidents of four Investors' Associations and employees from top management of the companies from the real sector were participated in the programme. A total of 50 individuals representing different institutions and companies participated in that programme.

This programme achieved its objective largely to orientate potential public limited companies from real sector on free pricing in public issue of securities and manage long-term fund requirements through securities markets as well as educating the general investors about the benefits of the book-building system for the maximisation of shareholder wealth. *The related Link is:* <https://youtu.be/DdntoYbRJcA>

3. Day & Event-3, 9 October 2020, Friday, 1:30P.M. – 6:30 P.M.

SEBON conducted a joint training cum awareness programme on securities markets and commodities markets to general investors with resource persons from SEBON, Nepal Stock Exchange Ltd. (NEPSE), CDSC and Clearing Ltd. (CDSC),

ICRA Nepal (Credit Rating Agency) respectively and market expert in order to educate

general investors towards empowering them by way of explaining services provided from different market participants. During the programme an intensive training cum discussion programme was also organised with the direct participation of presidents from five investors associations and about one hundred investors throughout the country. The programme was continued for more than six hours. The programme was coordinated by Dr Nabaraj Adhikari, Deputy Executive Director, SEBON and Coordinator, WIW 2020, and facilitated by Mr Anuj Kumar Rimal, Acting Director, SEBON. Sixty thousand investors participated in that programme from throughout the country with ZOOM and social media.

During the programme, SEBON also launched a Handbook on “Securities Markets and Commodity Derivatives Markets 2020” and “Electronic Reporting and Retrieval System (ERRS)”. Mr Bhisma Raj Dhungana, Chairman of SEBON as a Special Guest of the programme, had launched the both.

The objective of publishing Handbook is to provide basics of securities markets and commodity derivatives markets and investment thereon to the students at schools and colleges and the investors at large. The Handbook incorporates the concept of financial markets, Nepalese financial market, capital markets,

securities market, commodity derivatives markets, public issue of securities, public issue process, functions and services offered by different market participants, investment planning and process, investors rights, mantras of investments, dos and don'ts, FAQ and some useful terminologies of securities markets and commodity derivatives markets. SEBON believes that the Handbook will assist to increase the financial literacy on securities markets by way of fulfilling the famous quote “Knowledge is Power”.

“Electronic Reporting and Retrieval System (ERRS)” enhances both the reporting of financial and non-financial information by the market participants, which is obviously a useful source of information for investors and can reduce the asymmetry information problem as well as lower the compliance cost to the market participants. It also enables investors for an easy access of reliable information needed to make informed decisions. The ERRS is the first of its kind in the area of financial system in Nepal that overhauls the physical reporting system to online reporting system. *The related Links are as follows:*

1: <https://youtu.be/8fGGwwX2rko>;

2: <https://youtu.be/S4GZZVwhXOk>;

3: <http://sebon.gov.np/uploads/uploads/8vjyqESdkGGheki88AA5NS2yremG1FMX8VpMwOZO.pdf>

4. Day & Event-4, 10 October 2020, Saturday.

SEBON Published tips and information on securities markets and commodity derivatives markets from two major national daily

newspapers namely; The Gorkhapatra, and Naya Patrika, enabling investors to follow precautionary measures while making

investment decisions in the securities markets. Given the coverage of these two

national dailies, it is estimated that about one million general investors, entrepreneurs, students, housewives, retired senior citizen, reporters, employees directly got benefited by imparting basic knowledge and awareness needed to effectively manage and protect their investments and wealth.

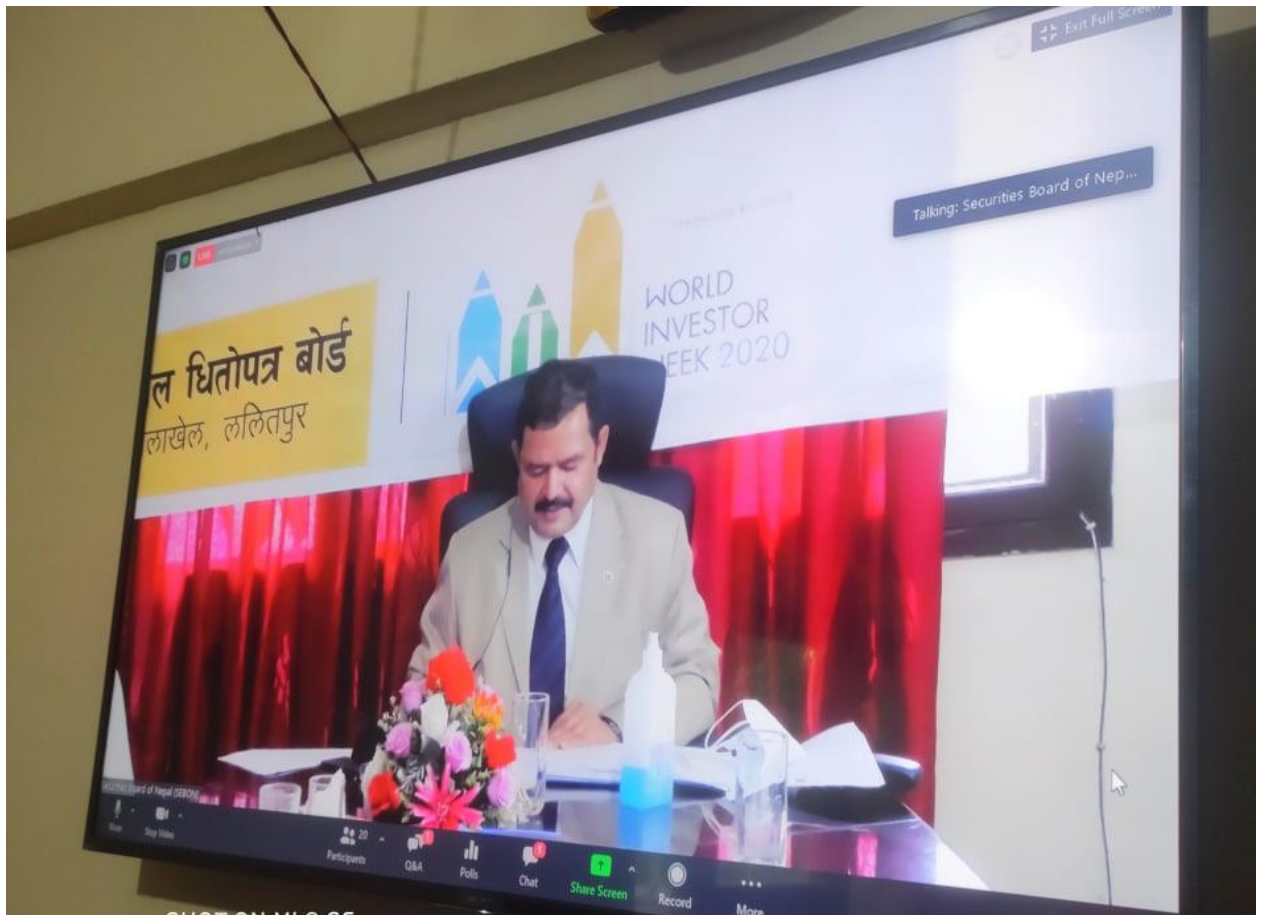
5. Day & Event-5, 11 October 2020, Sunday.

Broadcasted a documentary from Nepal Television (a national television) on the role of SEBON and initiatives taken and continued to empowering and protecting the general investors.

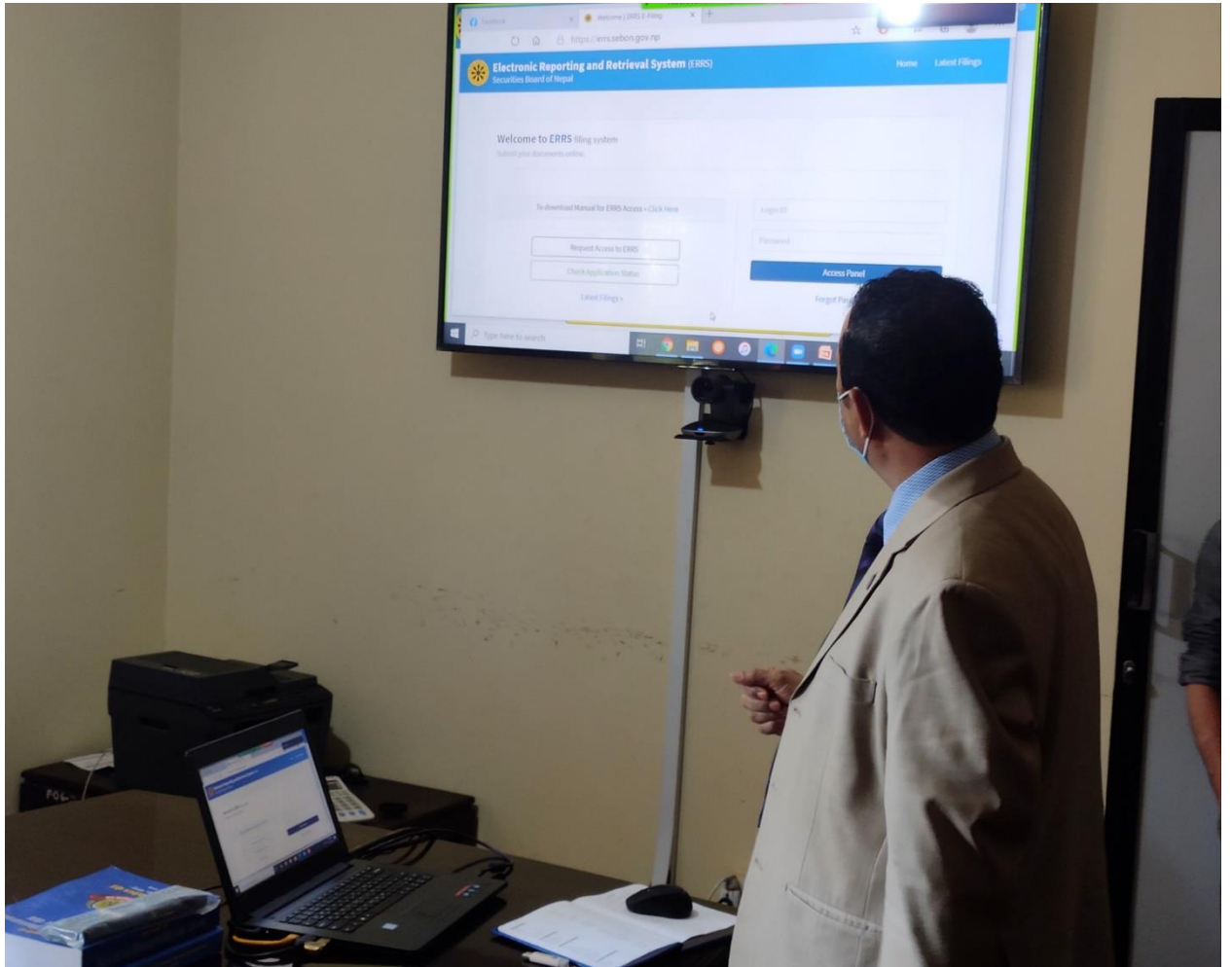
With the broadcasting of the documentary through the national television, it is estimated that a total of fifty thousand general investors, entrepreneurs, students, housewives, reporters, retired senior citizen, employees directly benefited with the knowledge and information on SEBON and various aspects of securities markets. *The related Link is as follow:*

https://drive.google.com/file/d/1IQPa9q_tgxVEmyavgGESLZCYnap6O_oB/view?usp=drive_web

National Coordinator: Dr Nabaraj Adhikari, Deputy Executive Director, Securities Board of Nepal (SEBON)



Mr Bhisma Raj Dhungana, Chairman of SEBON delivering inaugural speech in the WIW 2020



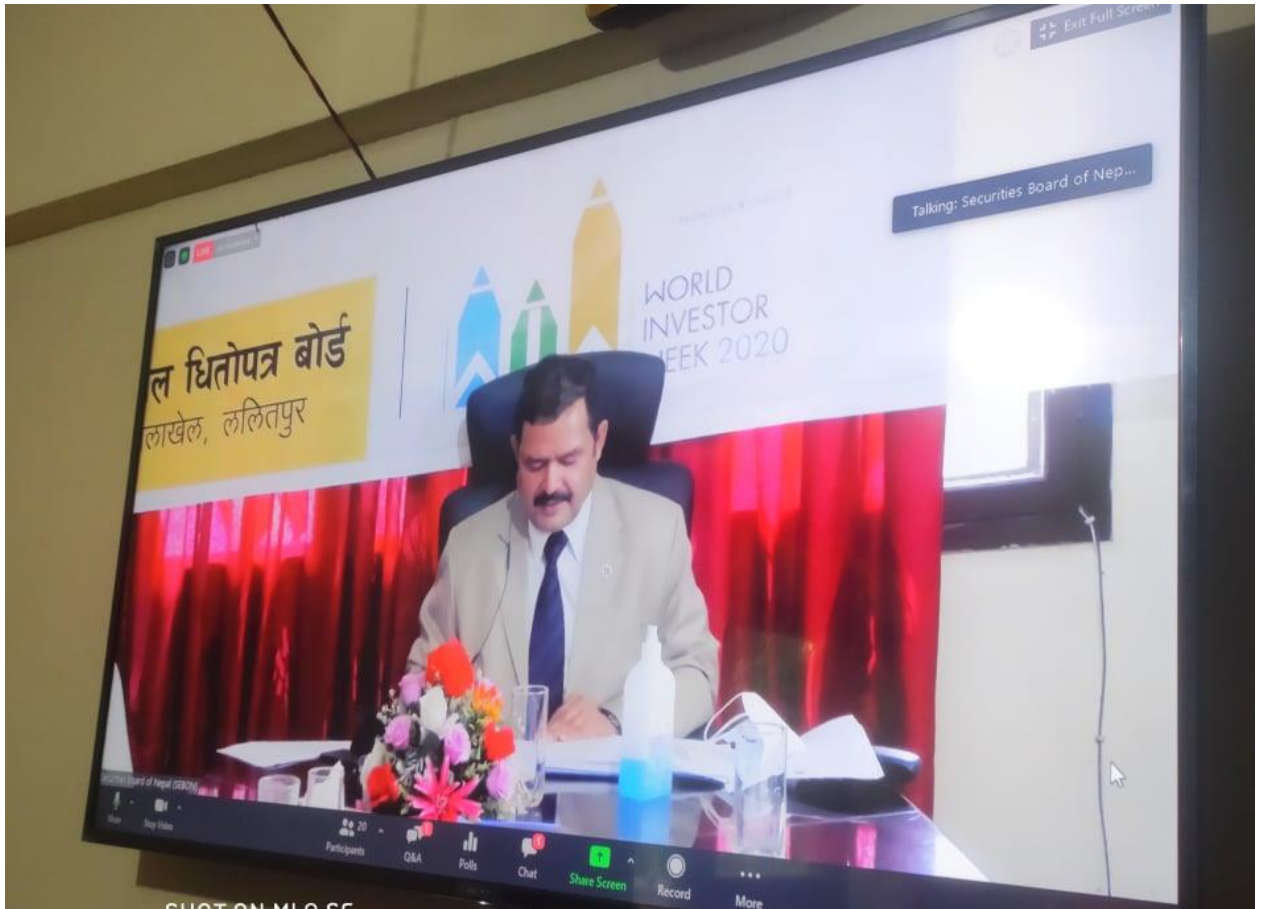
Mr Bhisma Raj Dhungan, Chairman of SEBON launching the ERRS in the WIW 2020.



Mr Bhisma Raj Dhungana, Chairman of SEBON addressing the programme on presentation and interaction on free pricing of initial public offering through book building system in the WIW 2020. The others seen in the picture are right side of the Chairman, Mr Niraj Giri, Executive Director; left side of the Chairman, Dr Nabaraj Adhikari, Deputy Executive Director, SEBON and Coordinator and Deepa Dahal, Deputy Executive Director, SEBON and Member, WIW 2020 Organising Committee; and Mr Narayan Prasad Sharma, Director, SEBON and resource person of the programme.



Mr Bhisma Raj Dhungana, Chairman of SEBON launching the “Investor Handbook on Securities Markets and Commodity Derivatives Markets” in the WIW 2020. Also seen in the picture far left to the Chairman, Mr Anuj Kumar Rimal, Member WIW 2020 Organising Committee.



Mr Bhisma Raj Dhungana, Chairman of SEBON addressing the training and awareness building programme in the WIW 2020.

NIGERIA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Tunde Kamali

Other IOSCO members: The Nigerian Stock Exchange

Other participants: Exchanges, Market Professional Groups, National Financial Literacy Technical Committee.

INTRODUCTION

The 4th IOSCO World Investor Week (WIW2020), which held in Nigeria between 26th & 30th October, 2020, has come and gone. However, the efforts and initiatives deployed during the period will, without doubt, have a lasting effect by way of increased awareness among the public and a heightened desire for increased savings and ultimately, enlarged rational investments in the market.

In the course of the week, the Securities and Exchange Commission, Nigeria, in collaboration with the Financial Literacy Technical Committee (FLTC) and other stakeholders, marked the Event with a series of activities. These ranged from television appearances to the ringing of the bell at the different exchanges in Nigeria, and the distribution of physical publications and online multiple messages around savings and investments. To cap the commemoration, the capital market community in Nigeria collaborated to host a webinar with the theme, "Mobilising Savings and Investments" on Friday, 30th October, 2020. The webinar was put together to enlighten and promote savings, investments, investor protection and financial inclusion.

ACTIVITIES/EVENTS

The commemoration was kindled with an **appearance on national television** by the Executive Commissioner, Operations, Mr. Temidayo Obisan. The session focused on the essence of the World Investor Week, the need for increased efforts to improve investor education and protection as well as issues around risks inherent in participating in the capital market. Happening simultaneously was the '**ringing of the bell for financial literacy**' by the exchanges in Nigeria. The occasion presented an opportunity to further drive home the overarching need for deeper understanding of the dynamics of the market by all players and especially, retail investors. It is heartwarming that the exchanges were quite receptive to the idea and delivered the event as would be expected.

Also included in the WIW2020 basket for Nigeria was the **distribution of relevant publications** at major spots especially within the federal capital territory. The publications distributed included the following amongst others viz.

- Fundamentals of Collective Investment Schemes
- Opportunities in the Nigerian Capital Market: A Guide for Graduates
- Kids/Teens Money Savvy
- We Invest in Shares

The Commission, in collaboration with the National Financial Literacy Technical Committee, **distributed e-posters** towards touching the applicable demography with the capital market message. These ranged from simple educative contents to warnings on the risks inherent in participating in the market.

The WIW2020 was capped in Nigeria with a **webinar** with the theme, 'Mobilizing Savings and Investments' which had the Director General and other leading experts in the market sharing ideas, experiences and knowledge on how to ensure that idle funds within, and indeed outside of the local economy are marshalled into the Nigerian capital market and put into productive and ultimately, beneficial use for the owners. The webinar generated a number of resolutions which would form part of the working tools of the Commission in the new year and beyond.

COMMEMORATION ROUND OFF

In his remarks to round off the commemoration, Director General, Securities and Exchange Commission, Nigeria, Lamido Yuguda, expressed gratitude for a successful week-long event and encouraged the market community to be confident that no matter how low the market might seem at the time, stakeholders require to keep hope alive because the market has the right resources to grow. He suggested continuous efforts to ensure that the right messages are conveyed to the hearing of both the applicable populace and equally important, major policy makers in Nigeria.



Securities and Exchange Commission, Abuja, Nigeria

PANAMA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Superintendency of the Securities Market of Panama (SMV)

Other IOSCO members:

Other participants:

The Superintendency of the Securities Market of Panama (SMV) performed in World Investor Week (WIW), carrying out during October various activities educational and informative.

Invitation letters to securities sector representatives:

Invitation to participate in the WIW was extended to: Panama Stock Exchange, Latinclear, Panamanian Chamber of Capital Markets (CAPAMEC), Association of Compliance Officers of Panama (ASOCUPA), Chamber of Securities Issuers of Panama and to the Panamanian Chamber of Administrators of Investment Companies and Pension Funds, to promote the campaign on their social networks and website.

Social media campaign

Twitter y LinkedIn. During Investor Week, from October 5 to 9, 2020, two daily educational capsules were placed on the SMV Twitter and LinkedIn. The capsules carried the #: #IOSCOWIW #WORLDINVESTORWEEK # WIW2020 #WIWSMV #INVERSIONISTAINFORMADO.

In total there were 10 capsules.

October 5

Twitter impressions: 464
 LinkedIn impressions: 30

Twitter impressions: 393
 LinkedIn impressions: 37



October 6:

Twitter impressions: 399
 LinkedIn impressions: 23

Twitter impressions: 438
 LinkedIn impressions: 25



October 7:

Twitter impressions: 438
 LinkedIn impressions: 25



Twitter impressions: 506
 LinkedIn impressions: 28

October 8

Twitter impressions: 383
 LinkedIn impressions: 28



Twitter impressions: 387
 LinkedIn impressions: 46

October 9:

Twitter impressions: 375

LinkedIn impressions: 25



Twitter impressions: 412

LinkedIn impressions: 24



Webinar's:

October 16:

Webinar: "Importance of preventing money laundering in the stock market, after COVID-19". Directed to the general public. 96 participants.

http://www.supervalores.gob.pa/files/Presentaciones_Publicas/2020/Webinar-Importancia-del%20BC-FT-FPADM-post-pandemia.pdf

October 23:

Webinar: "Challenges imposed by the Update of Chapter V on Terrorism Financing in the management of SOF compliance. "Aimed at Compliance Officers. 98 participants.

http://www.supervalores.gob.pa/files/Presentaciones_Publicas/2020/present-Webinar-23-de-octubre-2020.pdf

October 27, 28 y 29:

Virtual workshop: "Risk-based Supervision Processes, off-site phase, Supervision Directorate and Prevention Directorate". The workshops were held in five days. Aimed at: Brokerage Houses, Investment Advisors, Self-regulators, Association of Pension Funds and Investment Companies. 117 participants.

http://www.supervalores.gob.pa/files/Presentaciones_Publicas/2020/Presentacion-Prevencion-final.pdf




SMV
Superintendencia del Mercado de Valores
República de Panamá

PROMOVIDA POR IOSCO
WORLD INVESTOR WEEK 2020

Le invitan al webinar
"IMPORTANCIA DE LA PREVENCIÓN DEL BLANQUEO DE CAPITAL EN EL MERCADO DE VALORES, DESPUÉS DEL COVID-19"

Día: 16 de octubre de 2020
Hora: De 10:30 a.m. a 11:30 a.m.

Link de registro: <https://forms.office.com/Pages/ResponsePage.aspx?id=HhZTpeC1c0uAvqrAV7iJFfntFwIEHlPuW9lgbjzgiUNkZVN090WURHMVBT-EMwDDVGNERTXk0SS4u>



Website:

In the Investor Education / Events and Training section, the WIW 2020 logo was placed and the information brochure on What is World Investor Week? Was uploaded, as well as investment tips.

<http://www.supervalores.gob.pa/eventos-y-capacitaciones>

¿Qué es la Semana Mundial del Inversor?

El World Investor Week (WIW) es una campaña mundial promovida por la Organización Internacional de Comisiones de Valores (IOSCO), para crear conciencia sobre la importancia de la educación y protección de los inversionistas y participan los reguladores de valores en seis continentes.

La Superintendencia del Mercado de Valores (SMV) participa en el WIW con la realización de diversas capacitaciones sobre el mercado de valores y el rol de la entidad, dirigidas a regulados, estudiantes, personal de entidades del estado y público en general.



CONTACTO

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World Investor Week (WIW) 2020

Promovida por IOSCO




INVERSIÓN

Una inversión es un término económico, con varias acepciones relacionadas con el ahorro.

"Es la posibilidad que tiene una persona de poner a rentar sus excedentes de liquidez, es decir que mira fondos económicos (mi dinero), lo invierte hoy para obtener en un plazo de tiempo, mucho más dinero de lo que invirtió inicialmente".

Entender las tarifas que le cobra el intermediario es importante para conocer sus inversiones. Las tarifas varían dependiendo del producto y esto puede significar un corte grande de sus ganancias. Haga todas las preguntas que sean necesarias a su intermediario.

ENTIENDA SU INVERSIÓN

LEA BIEN

Asegúrese de comprender el contenido, datos y demás información incluidos en dichos documentos. Lea previamente el prospecto, el contrato y documentación relativa a su inversión.

SIEMPRE ALERTA

Postergue la decisión de invertir en valores, instrumentos financieros, Fondos, fondos de pensiones privados ofrecidos a través de teléfono, visitas, asesorías, por correo electrónico o otros canales, hasta que verifique que en se lo brinda.

COMPRENDA LAS TARIFAS

Entender las tarifas que le cobra el intermediario es importante para conocer sus inversiones. Las tarifas varían dependiendo del producto y esto puede significar un corte grande de sus ganancias. Haga todas las preguntas que sean necesarias a su intermediario.

PARAGUAY

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinators: Marcelo Echagüe Pastore; Luis Talavera Insfrán

Other IOSCO members: -

Other participants: Bolsa de Valores y Productos de Asunción S.A. (BVPASA); World Wildlife Fund (WWF); United Nations Development Programme (UNDP); Mesa de Finanzas Sostenibles (MFS); Comité Nacional de Inclusión Financiera (CNIF); BASA Capital S.A.

The Comisión Nacional de Valores of Paraguay (CNV) participated at the so-called World Investor Week (WIW) 2020 by organizing 6 (six) open free-of-charge conference talks to the general public and by virtual means, given the ongoing COVID-19 pandemic, lasting approximately one hour each.

Several stakeholders stemming from the stock market, cooperation agencies and civil society were invited as lecturers, including a brokerage house and the stock exchange itself, among others. Every stakeholder hence developed a topic related to financial education and investor protection, while also highlighting important information within their own fields of expertise.

The first virtual talk took place on November 9, hosting 255 participants. One conference took place that day, with the Secretary Executive of the Comité Nacional de Inclusión Financiera (CNIF) kindly offering himself to discuss about financial inclusion in Paraguay.

The second virtual talk took place on November 10, hosting 276 participants. One conference took place that day, with the President of Asunción's Stock Exchange (BVPASA) kindly offering himself to discuss about how to invest safely in the stock market.

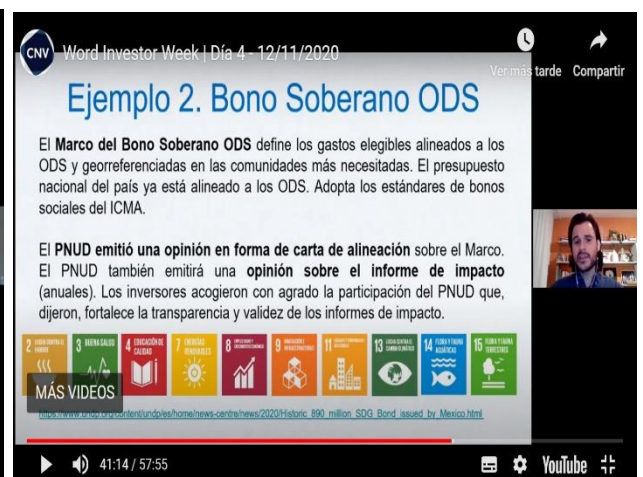
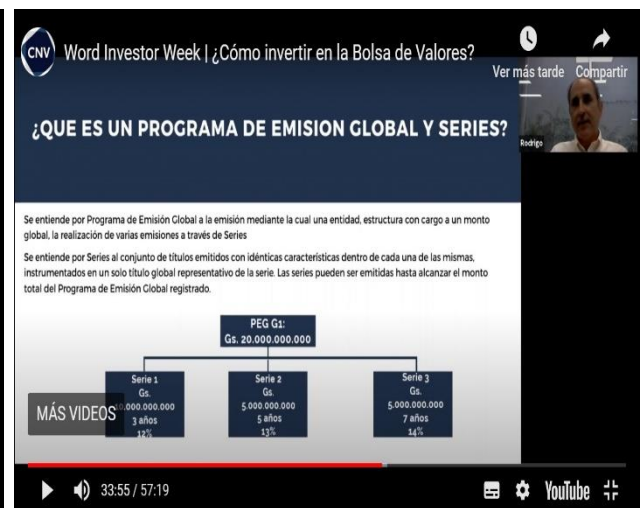
The third virtual talk took place on November 11, hosting 250 participants. One conference took place that day, with a representative of a brokerage house kindly offering himself to discuss about mutual/investment funds: their liquidity, diversification and flexibility.

The fourth and last series of talks took place on November 12, hosting 253 participants. Three conferences took place that day, with the first one being a discussion on integrating nature in investment strategies, led by WWF's Director of Finance and Sustainable Markets. The second conference was held by an UNDP's Private Sector and SDG Specialist, dealing with the topic of how to finance Sustainable Development Goals (SDG). The last conference was about the experience of MFS in Paraguay, and was given by one of the entity's Board Member.

WIW 2020 was originally meant to take place within the first week of November in Paraguay. Nevertheless, and due to a COVID-19 outbreak within CNV, the board decided to reschedule the event for the second week of November.

In addition, and in spite of allowing Q&A sessions at the end of every conference talk, CNV made it clear to participants that further comments or questions about the event and its contents could be done via email and social media. Presentations made during the event are already publicly available at CNV's website: https://www.cnv.gov.py/?page_id=2636

It was also mentioned that all attendees would receive a certificate for their participation during WIW 2020.



PERU

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Superintendence of Securities Market (SMV)

Other IOSCO members:

Other participants: Lima Stock Exchange (BVL); Mutual Funds Management Companies Association (AFM); Brokerage Firms Association (ASAB); San Ignacio de Loyola University (USIL); Municipality of Lima (MUNLIMA).

The Superintendence of Securities Market (SMV) of Peru participated for the fourth consecutive year in the WIW, recognizing with this the importance of investor education as a mechanism for the development and promotion of securities market, given the direct and positive impact that this has on its development in the medium and long term.

Thus, during the month of October, the SMV, together with other institutions, carried out different activities with the aim of raising awareness among investors and the general public about the relevance of investor education and his protection.

In that way, the SMV, included a [special section of World Investor Week](#) in its Securities Market Portal (www.smv.gov.pe), where the main activities and key messages of the campaign were described. In this section, the general public could review the complete program of activities and review the recording of these, as well as review educational materials such as videos, brochures, info graphics, comics, a virtual fair and a memory game with concepts related to the securities market. More than 1600 people accessed this special section of the WIW.

SEMANA MUNDIAL DEL INVERSIONISTA



En el Perú, la institución encargada de coordinar las actividades propias de la Semana Mundial del Inversionista es la Superintendencia del Mercado de Valores como miembro de IOSCO. El desarrollo de varias de las actividades programadas en la semana mundial del inversionista 2020 se realizan de manera conjunta con la Asociación de Fondos Mutuos, la Bolsa de Valores de Lima y la Asociación de Sociedades Agentes de Bolsa, contándose este año con la colaboración de la Municipalidad de Lima y la Universidad San Ignacio de Loyola. Esta actividad se realizará en el Perú entre el 26 y 30 de octubre de 2020.

Presentación



La educación del inversionista se constituye en un factor clave para el desarrollo y la promoción de los mercados, dado el impacto directo y positivo que esta tiene sobre el fortalecimiento del mercado de valores a mediano y largo plazo. Por ello, uno de los objetivos institucionales de la SMV es promover la educación financiera, no solo por ser un aspecto clave para la integridad e inclusión financiera de toda la población, sino también como un mecanismo fundamental para fortalecer un mayor nivel de transparencia e información en los mercados.

Así, la SMV desarrolla una serie de acciones para que los inversionistas y emisores que acceden al mercado de valores lo hagan conociendo sus derechos y obligaciones, los beneficios y riesgos que sus decisiones de financiamiento e inversión conllevan y, sobre todo, la importancia de participar en este mercado con altos estándares de transparencia en la información y de buenas prácticas empresariales.

En dicho contexto, los invitamos a participar de las actividades que se realizarán en el marco de la Semana Mundial del Inversionista 2020, con la plena seguridad que dichas actividades servirán para incrementar los niveles de orientación y educación de nuestro mercado de valores.

José Manuel Peschiera Rebagliati
Superintendente del Mercado de Valores

Conoce y participa de nuestras ACTIVIDADES

- | | |
|-------------------|---|
| 07 Oct. | Conferencia virtual: "El Mercado de Valores y el Rol de la SMV" - Municipalidad de Lima
Hora: 7:00 pm
Link |
| 14 Oct. | Conferencia virtual: "Inversión a través de la Bolsa de Valores" - Municipalidad de Lima
Hora: 7:00 pm
Link |
| 21 Oct. | Conferencia virtual: "Inversión a través de Fondos Mutuos" - Municipalidad de Lima
Hora: 7:00 pm
Link |
| 19-23 Oct. | World Investor Week en la Red de Excelencia - Universidad de San Ignacio de Loyola, UADE (Argentina) y UEAN (Colombia)
Hora: 5:00 pm
Link |
| 28 Oct. | Seminario sobre Alternativas de Inversión en el Mercado de Valores y el rol de la SMV
Link |

ARTÍCULOS de interés
Revista Procapitales: [Columna SMV](#)

Main activities carried out

Given the situation generated by the Covid-19, different activities were carried out supported by technological tools, such as:

- Virtual conferences organized jointly with the MUNLIMA held on October 07, 14 and 21, in which officials from the SMV, BVL and AFM exposed issues related to the role of the SMV and investor protection, as well as investment through the stock exchange and investment in mutual funds, respectively. Said conferences were broadcast on the MUNLIMA YouTube channel and were aimed at the general public, with the participation of approximately 980 people and more than four thousand subsequent reproductions.



- World Investor Week with the USIL excellence network and its partners Argentina de la Empresa University (UADE - Argentina) and EAN University (UEAN - Colombia), with whom a series of interactive workshops with financial technology and tables of international experts was organized daily, between Monday, October 19 and Friday, October 23. Officials from SMV, Bloomberg LP, CFA Institute, Matba-Rofex, Clever



Finance Colombia, Itaú Bank, BVL, BYMA Argentine Stock Exchanges and Markets, Colombian Stock Exchange participated in these activities.

In the five workshops and forums developed during said week, there was the participation of more than 400 people connected in real time. The workshops being recorded on the USIL and SMV portals to enable many more people to see them.

- Seminar "Investment Alternatives in the Securities Market and SMV's role", aimed at the general public, held on October 28 and attended by more than 220 people. Topics related to investor protection and SMV's role, investment through the stock exchange and investment in mutual funds were presented by SMV officials and other market participants.

It should be noted that this event was transmitted via BVL's YouTube channel, which made it possible to expand the audience.



Social network and media campaign

The use of SMV's social networks (Facebook and LinkedIn) was intensified to disseminate information, objectives and the WIW's activities as well as the key messages of the campaign. It should be noted that the participating entities (BVL, AFM and ASAB) also disseminated the activities and messages through their social networks, which not only had a coordinated campaign with clear messages



aligned with the objective of the WIW, but also that it was possible to have a greater scope overcoming the difficulties that the situation brought.

SMV's Facebook

Other participants' Facebook



On the other side, an online banner in the Grupo El Comercio (2 portal webs) was contracted for seven days (from October 26 to November 01), which had a coverage of 500 thousand navigators. It should be noted that through this banner the WIW 2020 activities were disseminated, including a link that addressed the WIW section of the SMV Portal, as well as the key messages of the campaign.



Other initiatives

- An interactive game called "Stock Market Fair" was launched on the SMV web portal, through which relevant topics are presented in relation to the operation and role of the SMV, the BVL, mutual funds and brokerage firms.
- Likewise, a Memory Game was launched in the WIW section of SMV's portal, which in a playful way seeks to transmit important concepts about the securities market.
- For other hand, an article about WIW 2020 were published in a magazine specialized in capital markets, which make it possible to reach a specialized audience, but which also allowed expanding the reach of the WIW's dissemination.

Main results

WIW 2020 made it possible to reinforce the dissemination of messages and key aspects to be taken into account in order to participate in the securities market, using various technological and written tools to obtain the widest possible reach. Thus, it is estimated that through Facebook, LinkedIn, and YouTube, 26 publications were made with a total of 88,634 people reached, in addition the contents achieved 716 likes, 141 times were shared and 42 comments. Finally, the live attendance to the activities was about 1,600 people, however, all the activities and videos prepared for the WIW 2020 have allowed around 4,168 more people to view said material asynchronously, which has made it possible to meet the objectives of the campaign, despite not having carried out any face-to-face activity.

POLAND

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: the Polish Financial Supervision Authority (UKNF)

Other participants: the Warsaw Stock Exchange Foundation

Each year as part of the World Investor Week campaign, the UKNF prepares an offer of educational seminars for schools. In 2020, in order to address the needs of the public, the UKNF organised a cycle of 3 seminars in the form of webinars for teachers of subjects related to economics, educational counsellors, vocational teachers and other teachers interested in finance, including school coordinators of the Global Money Week campaign.

The training cycle included:

1. Webinar entitled 'Activities of investment firms', 8 October 2020

The purpose of the seminar was to offer an introduction to the activities of investment firms and the functioning of capital markets and to present the professions of securities broker and investment adviser.

2. Webinar entitled 'How to use technology innovations in financial services safely and knowledgeably?', 9 October 2020

The purpose of the training was to explain key concepts relating to the safe and knowledgeable use of financial innovations and to provide examples of solutions used in the development of innovations in financial markets. The webinar also highlighted the solutions applied by the UKNF to protect financial market participants.

3. Webinar entitled 'Activity of investment funds', 14 October 2020

The purpose of the training was to provide basic information about the functioning of the investment funds market and the related role of the UKNF.

As part of the World Investor Week campaign, the Warsaw Stock Exchange Foundation organised a nation-wide conference for teachers, summarising a financial education project ('Niebanalnie o giełdzie' ['Stock exchange explained in a non-trivial way']). The conference was addressed to school directors, teachers of subjects relating to economics, and vocational teachers. The conference was held on 2 October 2020 in a hybrid form. The conference included an expert debate on the efficient development of students' financial literacy (e.g. in relation to the functioning of financial services market), a presentation of the summary and conclusions after the completion of the 2nd edition of the 'Stock exchange explained in a non-trivial way' project, as well as the announcement of results of the competition for teachers for the best lesson plan covering capital markets. The conference was held under the auspices of the KNF Board. Participation in the conference was free. 534 viewers watched the online transmission of the event.

Social Media Posts

Cycle of webinars for teachers:

<https://m.facebook.com/KomisjaNadzoruFinansowego/photos/-w-ramach-iv-edycji-world-investor-week-urząd-knf-zaprasza-na-webinaria-dla-nauc/3714877458530948/>

Conference for teachers:

<https://www.facebook.com/fundacjagpw/videos/375252917068111> (534 viewers on line)

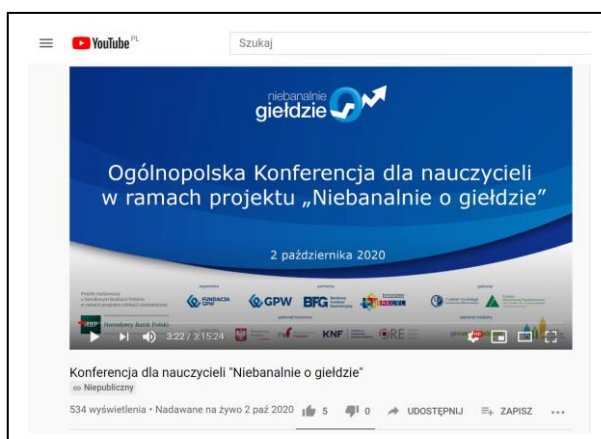
Website activities

Cycle of webinars for teachers:

https://www.knf.gov.pl/dla_rynku/edukacja_cedur/konkursy/WIW_2017?articleId=70831&p_id=18

Conference for teachers:

https://www.gpw.pl/aktualnosc?cmn_id=109972&title=Konferencja+online+%E2%80%9ENiebanalnie+o+gie%C5%82dzie%E2%80%9D



PORTUGAL

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: CMVM - Comissão do Mercado de Valores Mobiliários

As in 2019, CMVM's participation in WIW2020 was steered together with eight relevant stakeholders of the Portuguese financial market, amongst them the other two Regulators of the Financial System, Bank of Portugal (BdP) and Insurance and Pension Funds Supervisory Authority (ASF). Stakeholders as Euronext Lisbon (Lisbon Stock Exchange), AEM (Listed Companies Association) APB (Portuguese Banking Association), APIFPP (Investment and Pension Funds Association), APAF (Portuguese Association of Financial Analysts) and APS (Portuguese Insurance Association) were the remainder six partners. We believe the partnership with these entities enriches the experience and maximizes the dissemination of key messages to investors.

While developing this year's program, two main concerns impacted our choices: (i) that several categories of investors were exposed to our actions and (ii) how to reach investors and as much public as possible through digital channels due the Pandemic.

CMVM's Board decided that this year, instead of organizing all the events we invited partners to organize their own events under our coordination. Therefore, from the 13 events organized, only 3 were solely our responsibility, all the others had ownership of our partners under our coordination and approval.

One other first was the development of a WIW specific site that contained all the information about the week, webinars, partners and links to the several events, as well as its YouTube channel.

The main initiatives are listed below and reflect the above-mentioned concerns.

APS Webinar - Demography, pensions and retirement savings

Based on a study on Pan-European Pensions, and analyzing the responses in Portugal, namely the low savings levels of the Portuguese people and the results of the study, several issues were debated such as population aging, expectation in terms of retirement, evolution of the situation and the sustainability of social security financing, as we know it today. The webinar had approximately 325 viewers.

Webinar APB - Open Day – Trust to Invest

For the third consecutive year, APB promoted Open Day in banking, which was fully conceived and organized by APB together with a wide range of national banks and transmitted via digital channels (Streaming / YouTube) on informed and responsible investment.

Three sessions were held on three different days, namely October 6, 7 and 9, each for a specific target audience. The first for non-professional investors in general, a second for young people (high school) and a third for senior investors. They were viewed by 509, 566 and 344 people, respectively.

Webinar CFA Society – Ethic in finances

This is the first time that CFA Society Portugal participates in WIW, and it did so through the organization and promotion of a webinar about ethics in finance, where in addition to deepening knowledge about ethical issues in business management, marketing and development of financial products, participants were able to assess real ethical dilemmas in the financial sector and try to reach a concrete and effective resolution of them, about 109 people viewed the webinar.

Webinar APFIPP/Euronext - Invest Talk

Informal, practical and interactive conversation about savings and investment, led by Barbara Barroso, from the MoneyLab financial literacy laboratory⁵⁵. After a brief introduction and videos/tutorials by a group of renowned personalities in the financial sector on the importance of savings, an interactive conversation took place between Barbara Barroso (Money Lab), João Pratas (APFIPP) and Isabel Ucha (Euronext) on the importance of savings, the characteristics of the capital market, its function, the various instruments available on the market and the advantages and risks of investment funds and pension funds, as instruments for the application of savings. The views of this initiative were around 840 on WIW's YouTube channel, having reached more than 3000 views if we add the views of our channel combined with those of MoneyLab's YouTube channel.

Webinar Banco de Portugal – How a central bank contributes to the trust of the financial sector

The Banco de Portugal presented its core responsibilities and its contribution to strengthening the confidence of the different economic agents and to the efficient development of the markets. BdP's elaborated on its role as a micro and macro prudential supervisory authority and how it contributes to the effective functioning of the financial market, which is a key factor for both market agents and investor confidence.

Webinar APAF – The importance of financial info in times of crisis

This initiative promoted by APAF, especially among its members, aimed to draw attention to the relevance of financial information in times of crisis and the fundamental role of financial analysis in supporting management decisions and investor options. Transparency and professionalism are boosters to investor confidence, and certified financial analysts have an additional responsibility in the generation of trust. This session had around 86 views.

⁵⁵ <https://moneylab.pt/>

Webinar CMVM/General education direction - Cyber security in financial transactions

Considering that financial services increasingly use digital channels, and that in order to ensure the security of data and transactions and prevent digital fraud, which are growing, it is becoming increasingly important to pay special attention to cyber security, which can be defined as a set of actions and techniques to protect systems, programs and networks from computer attacks.

This webinar was directed at high school teachers (knowledge multipliers) discussed the main dangers, risky behaviors and tools available to deal with this challenge that is at the top of the agendas in the financial sector.

During the transmission about 600 people were on, but the combination of WIW YouTube channels and DGE's Facebook and Digital Security Seal produced more than 3780 views.

Webinar AEM - Corporate culture, corporate purpose and investor confidence

The culture of each company reflects the shared values that determine the behavior of the members of the organization. In this sense, the corporate culture plays a fundamental role in defining the ethical behaviors of the organization and its employees and constitutes a vital element for the successful development of the company's activity and business. The association of corporate culture with the ideas of ethical behavior, purpose, strategy, sustainable development, risk management, long-term investment, reputation, continuity of the organization, and preservation of the community is increasing. It is estimated that about 61 people watched this webinar.

Webinar PNFF/CMVM – Save and invest with safety

In webinar format, but alongside the tradition of awareness-raising actions that have been developed over the years across the country, the PNFF and CMVM wanted to celebrate WIW with a group of students from Colégio Amor de Deus, some in person and others via webex.

It was a session in which key financial literacy topics were addressed, such as the importance of savings, how to make a budget, how to invest in an informed and conscious manner. Some instruments were presented, namely shares and bonds and repeated good investment "tips", such as diversification, risk awareness, risk management and investment profile.

The risks associated with the increasing use of tools and / or digital platforms were also discussed.

The session was informal and fun with games to test knowledge through the Kahoot platform.

Webinar CMVM – Sustainable finances – the future starts here

WIW2020 closed with a session on sustainable finance. It was an informal conversation between the Chair of the CMVM's Board of Directors, Gabriela Figueiredo Dias, and a group of university students on what are sustainable finances and what environmental, social and corporate governance criteria (ESG) mean.

CMVM's Chair made an informal presentation on the topic of sustainable finance to a group of students from the universities that had been invited (universities with which the CMVM has cooperation protocols) that afterwards had the opportunity to ask questions.

Key conclusions seem to be that there is still some way to go to define criteria, such as what type of assets can be considered sustainable, investment policies, risk management, how they can be evaluated and compared, definition of criteria metrics among others, in a word taxonomy. Risks and rewards were debated namely green washing. Having said that sustainable finance seems to be a phenomenon to stay given the volumes of "green" investments traded globally, or the fact that by next year the EU is coming up with a Regulation on this subject. This webinar had about 69 views.

CMVM Annual Conference - Investing in recovery; the role of the capital market

The CMVM's annual conference, which this year was part of the WIW 2020 program, on October 8, was dedicated to a reflection on the role of the capital market in the recovery and the financing of the economy. It took place in a hybrid format, with about 100 participants in person, at the Museum do Orient, and more than 200 registered to watch online.

As in previous years, the CMVM's annual conference brought together, in addition to regulators, national and international experts, policy makers and industry, and intended to contribute to a deep reflection on the best public strategies and policies that allow an optimal use of markets and financial instruments to face this historic crisis.

The full program of the Conference can be consulted at the link listed below⁶, as well as the opening speech⁷ by the Chairman of the CMVM's Board of Directors, Gabriela Figueiredo Dias.

Respondents to the satisfaction questionnaire rated the session on average as Good or Excellent (4.32 on 5) and considered the theme of the conference very relevant.

⁶ <https://www.smiportugal2020.pt/cmvm/#home>

⁷ <https://www.cmvm.pt/pt/Comunicados/Intervencoes-publicas/Pages/20201008.aspx>



WIW 2020 – Key figures

Partners	8
Number of initiatives	13 + 1 (Conference)
Number of direct participants	3.000
Indirect participants	5.000
New launches	2 – video + leaflet digital fraud
Press releases	8
Printed news generated	40
TV presences	13
TV audience	1.149.500
Social media impressions	256
Social media likes⁸	4279
Social media followers	19.888
New site viewers	25.223



Images of the leaflet and video⁹ targeted at investors and published on WIW2020 (can be downloaded from our site)

⁸ Social media comprises Twitter, LinkedIn, Facebook

⁹ <https://www.cmvm.pt/pt/AreadoInvestidor/fraude/Fraude/Pages/A-Fraude-no-investimento-em-valores-mobili%C3%A1rios.aspx>

QATAR

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Qatar Financial Markets Authority.

Other IOSCO members: Union of Arab Securities Authorities

Other participants: Qatar University

Period: 21 Oct 2020 – 30 Nov 2020

Effective Participation of the QFMA in the World Investor Week

Qatar Financial Markets Authority (QFMA) participated in the events of the World Investor Week promoted by the International Organization of Securities Commissions (IOSCO WIW).

As a part of such events, and within the framework of the ongoing cooperation between QFMA and Qatar University (QU), an educational and awareness presentation was presented online to students of the QU College of Business & Economics.

The presentation, presented by QFMA, included disseminating key messages on the investment in financial markets during crises, focusing on the fundamentals of smart investment, online investments and digital assets, and the importance of investor education and protection, especially in light of the rapid changes and innovations in Internet technology.

The presentation also included explaining the QFMA's vision, mission, as well as its role in developing the capital market sector in Qatar and maintaining its stability, protecting investors and ensuring the highest levels of integrity and transparency in the market.

The presentation also discussed the importance of educating investors and shareholders about the conditions of the Corona (Covid-19), with the aim of reducing the risks of investments after the changes in the capital markets' reality, in a way that contributes to avoiding risks might affect such markets.

The importance of informing investors about the company's financial position and its disclosures on its website and the financial market websites was also emphasized, so that investment decisions are well thought out, and for the investor to be fully aware of the company's position, performance, growth and everything related to the Board of Directors' decisions.

The WIW is a week-long, global campaign promoted by IOSCO, in which QFMA has been a full member since 2013, after completing all the technical, regulatory and legal requirements.

Through the WIW, the organization seeks to promote the importance of investor education and protection, spread financial awareness, and offer a unique opportunity for IOSCO members to work in collaboration with all investor education and protection stakeholders. The WIW also provides a framework for the IOSCO

members to unify their efforts and publicize their investor education and protection initiatives, which thus, aim to serve the advancement of securities market sector in their jurisdictions.

Within the WIW's activities, QFMA's activities included holding a training program, in cooperation with the Union of Arab Securities Authorities "UASA", on "Financial Fraud Identification". It provided a comprehensive overview of financial fraud investigation, investigation best practices, techniques in investigation planning, obtaining evidence, effective interrogation, data analysis, and investigation reporting, its direct relevance as a fundamental pillar of combating money laundering, and its impact on the quality of suspicious money laundering and terrorist financing activity reports.

QFMA, in cooperation with the Union of Arab Securities Authorities, organized an on-line training program on "Financial Fraud Identification"

The program highlighted investigation best practices, obtaining evidence, effective interrogation and data analysis.

The participants were awarded with certificates issued by the QFMA and the UASA

Qatar Financial Markets Authority "QFMA", in cooperation with the Union of Arab Securities Authorities "UASA", held an on-line training program on "Financial Fraud Identification".

This training program is conducted considering the 2020 World Investor Week held annually by the International Organization of Securities Commissions (IOSCO).

It provided a comprehensive overview of financial fraud investigation, investigation best practices, techniques in investigation planning, obtaining evidence, effective interrogation, data analysis, and investigation reporting, its direct relevance as a fundamental pillar of combating money laundering, and its impact on the quality of suspicious money laundering and terrorist financing activity reports.

The training program details dealt with five main topics, including developing a plan to investigate fraud. This topic focuses on the role of fraud investigation in anti-money laundering and combating terrorist financing, an introduction to modern techniques in fraud investigation, developing strategic plans for fraud investigation, best practices in conducting an internal and external fraud investigation, as well as developing strategies to prevent fraud.

Regarding the second topic, which is on identifying and gathering evidence, it focused on identifying appropriate evidence and methods of data collection, searching and obtaining evidence using the Internet, analyzing data to detect fraud, and reviewing, managing and organizing evidence.

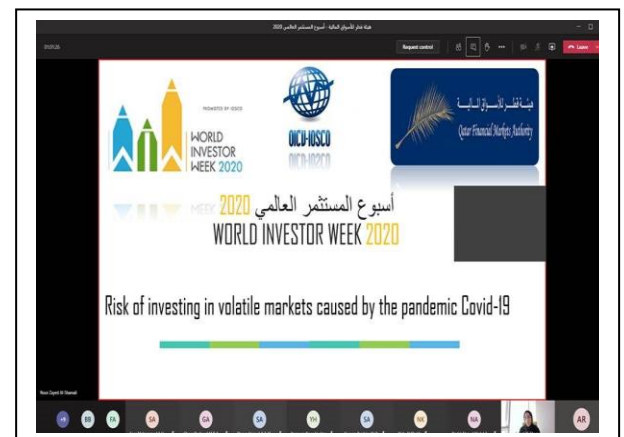
The third topic, entitled interviewing interrogation techniques, dealt with identifying witnesses and planning interviews, conducting effective interviews in search of evidence, and conducting effective interviews in search of recognition.

The fourth topic addressed the application of analytical procedures, the use of advanced analytical techniques and automated analysis systems, the use of data analysis techniques, and the preparation of the case for clearance.

As for the fifth topic, regarding effective investigation reporting included choosing the appropriate form and structure for the report, effective techniques of reporting, and the impact on suspicious money laundering and terrorist financing activity reports.

The program targeted financial services professionals, including compliance officers, money laundering reporting officials "MLROs", employees of regulatory, law enforcement and trade entities, and employees of development institutions and civil society organizations.

The participants were awarded certificates issued by the UASA and the QFMA.



REPUBLIC OF KOREA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Financial Supervisory Service

Other IOSCO members: Financial Services Commission

Other participants:

The World Investor Week 2020 was held October 12–16, 2020, in Korea for the first time by the Financial Supervisory Service (FSS). Due to the risks posed by COVID-19 and social distancing rules enforced by the government of Korea, the event took place entirely as a virtual campaign.

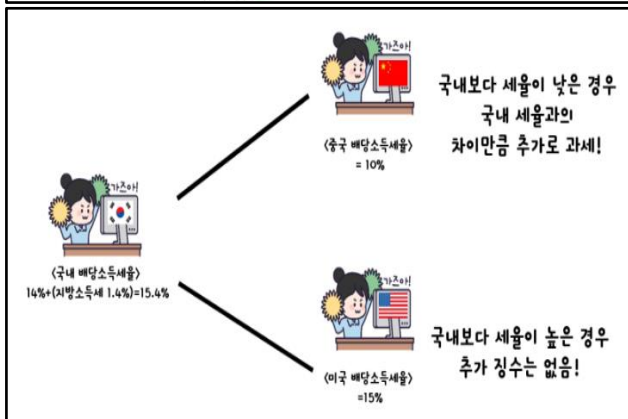
The main topic of the World Investor Week 2020 was “Alert on Overseas Investment and Quasi-Investment Advisory Entity.” In order to maximize the educational effect of the virtual campaign, the FSS set the topic after taking into account the needs and potential risks of online users and investors. First, the topics were selected to reflect the surge in trading volume by retail investors in both local and global investment. The swift rebound of global stock markets following the pandemic shock, together with the ongoing low interest rates, had attracted many retail investors to trade not only in the local stock market but also global stock markets. Local figures showed a surge in opening of new accounts and record high trading volumes on global stock trading. Secondly, the surge was accompanied by an increase in fraud, scam, and unlicensed investment advisors taking advantage of social media platforms. Faced with enhanced social distancing rules, the dependency on computers and mobile devices increased, which left novice investors more vulnerable to unlicensed advice, false success stories, and Ponzi schemes.

The 5 day program for World Investor Week 2020 was developed to bring awareness to the risks related to overseas investment and quasi-investment advisory entities by utilizing the official FSS channels on various social media platforms, including FSS homepage, Facebook, Youtube, and blogs. The main programs are described in greater detail below.

FSS Official Blog

The FSS interim reporters, made up of aspiring college-students, prepared special reports on overseas investment and quasi-investment entities and posted articles on Day 1 and Day 3 of the World Investor Week, giving viewers ample time to read each article. The reports provided detailed and easy-to-follow explanations on the subject matters with graphs, statistics, and eye-catching illustrations to help readers gain a better understanding of current investment climate. The articles also included description of potential losses and complaints to exemplify the risks associated with each topic, supported by real complaint cases resolved by the FSS. The articles were also shared and linked via the official FSS Facebook account with the aim of encouraging viewers to take part in the World Investor Week 2020 program.

To attract a wider audience to the campaign page, a quiz event was offered to the readers at the end of each report article. The event was made available until October 18 to give the participants more than sufficient time to read the articles and join the World Investor Week program.





FSS Live Webcast

On the final day of the World Investor Week 2020, the FSS hosted a special live webcast on YouTube and Facebook (available at youtube.com/watch?v=rV6rQKR66c4). It was titled "Tips and Advice on Financial Investment for Investors in their 20s and 30s" in line with "Alert on Overseas Investment and Quasi-Investment Advisory Entity," the main theme of the World Investor Week. The webcast provided useful information to young investors and helped raise the awareness of the dangers of making impulsive investment decisions. Two senior FSS managers who were invited as guest panelists discussed their views on the latest issues and risks in the financial market. As a representative of investors in their 20s and 30s, an FSS intern reporter also joined the webcast to share her first-time investing experience and ask questions that she had received in advance from novice investors.

The webcast began with an explanation of the World Investor Week 2020 and IOSCO's efforts to promote and protect investors' rights around the world. Each of the guest panelists then gave a brief summary of the latest financial issues and risks associated with overseas investment and quasi-investment advisory entities. A live Q&A session with viewers was also held. The most common questions from the viewers concerned risks associated with cryptocurrency and leveraged investment using unsecured borrowings.



Key figures

Number of FSS Official Blog Visitors: 2,650

FSS Official YouTube and Facebook Views: Approx. 350,000

Number of FSS Event Participants: 490 (3.9:1)

ROMANIA

World Investor Week 2020

National / Jurisdictional Coordinator: Financial Supervisory Authority

Other participants: Bucharest Stock Exchange, The Central Depository, Institute of Financial Studies, Cluj Babeş Bolyai University, Iaşi Alexandru Ioan Cuza University, Târgovişte Valahia University, Timișoara West University, Bucharest Academy of Economic Studies, Alba Iulia 1 December 1918 University, Bacău Vasile Alecsandri University, Romanian - American University, school inspectorates.

In the period October - November 2020, World Investor Week was held in Romania for the fourth consecutive time.

Due to the Covid-19 pandemic, World Investor Week 2020 (WIW 2020) events were held exclusively online. Financial education is the foundation for a good understanding of the concepts that people face in life. Only a population that knows how to manage their revenues and how to achieve savings can lead to maintaining a general financial equilibrium. Financial capability, as a result of financial education, determines the economic growth and population welfare.

During World Investor Week 2020, FSA organized different activities, targeted to university students, teachers, employees, such as seminars, trainings, activities conducted exclusively online. The web platforms used were Zoom, Webex or Microsoft Teams, and the communication channels were media and social networks.

The messages transmitted focused on the importance of investor education and protection and aimed at raising public awareness of the importance of financial education as follows:

- Ring the Bell for financial literacy – FSA and Bucharest Stock Exchange (BVB) organised the opening of BVB with a group of 52 high-school students from Bucharest and other cities, part of the FSA financial education program – FinClub. In the first part of the meeting, representatives of FSA and BVB gave a presentation on the role of the

capital market and the stock market. Next, Bucharest Stock Exchange representatives organised for the youngsters an interactive trading simulation session.

- Student-dedicated actions were carried out through *The Academic Laboratory* program within the following universities: Cluj Babeş Bolyai University, Iaşi Alexandru Ioan Cuza University, Târgovişte Valahia University, Timișoara West University, Bucharest Academy of Economic Studies, Alba Iulia 1 December 1918 University, Bacău Vasile Alecsandri University, Romanian - American University. 10 online seminars dedicated to students were held, gathering over 650 students.
- FSA representatives held train-the-trainer seminars – the program Start2Teach is addressed to school teachers interested in improving their knowledge in non-banking financial markets. 10 seminars were held for WIW, that gathered over 320 participants.
- FSA representatives held 8 presentations within the Financial Wellness program, with the participation of more than 780 participants.
- In celebration of WIW 2020, 2 financial education podcasts were made.
- Also, under the auspices of WIW 2020, 6 meetings were held in the FinClub program.
- In order to celebrate WIW 2020, the Central Depository issued free of charge registration of IBAN code dedicated to the shareholders.
- The education website (www.asfromania.ro/edu) section has been reviewed for an improved experience offered to site visitors. The section dedicated to financial education attracted visitors to the Virtual Gallery, a brief tour of the history in images of the capital market in Romania.
- Facebook posts and messages – impact 16.674 for 10 posts. The media was covered by 10 different national publications. 45 media references were counted for WIW 2020.
- Another action was conducted, as FSA send packages of books and stationary to different schools in Giurgiu County, selected by the school inspectorate. The beneficiaries were targheted to be children with low financial resources, in order to help them in the educational process.

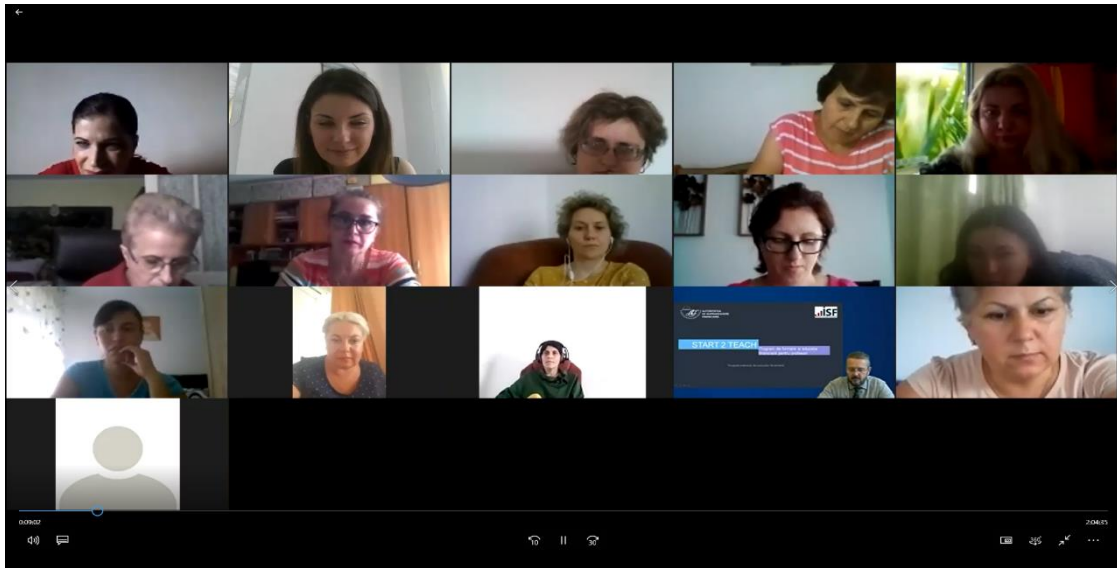


Figure 1 – Start2Teach seminar



Figure 2 – FinClub seminar



Figure 3 – Actions under WIW 2020

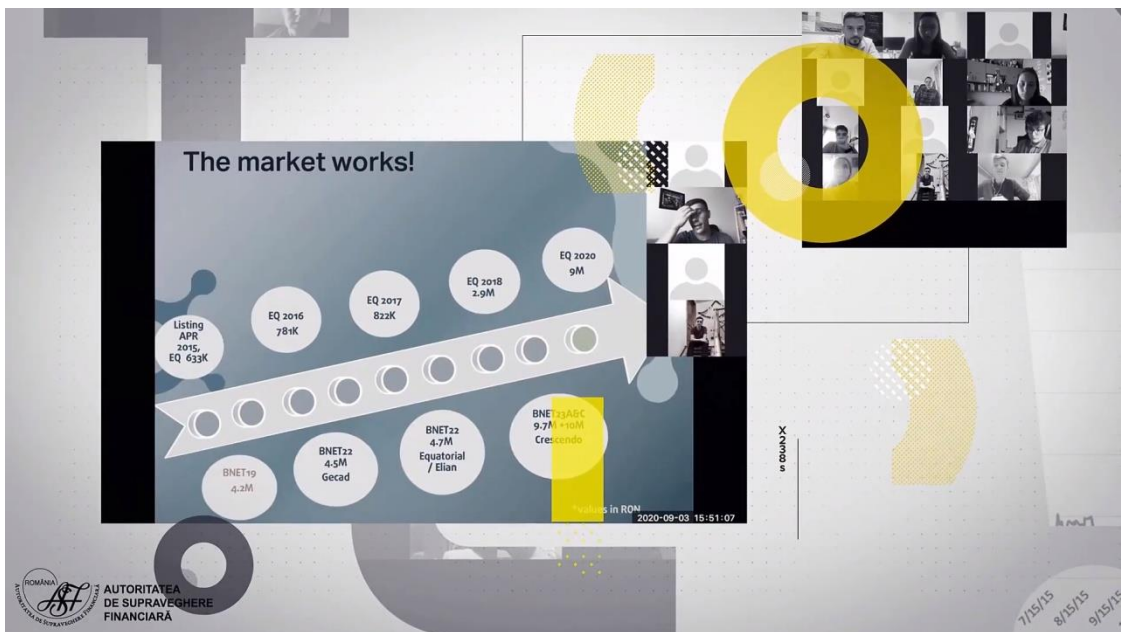


Figure 4 – Actions under WIW 2020

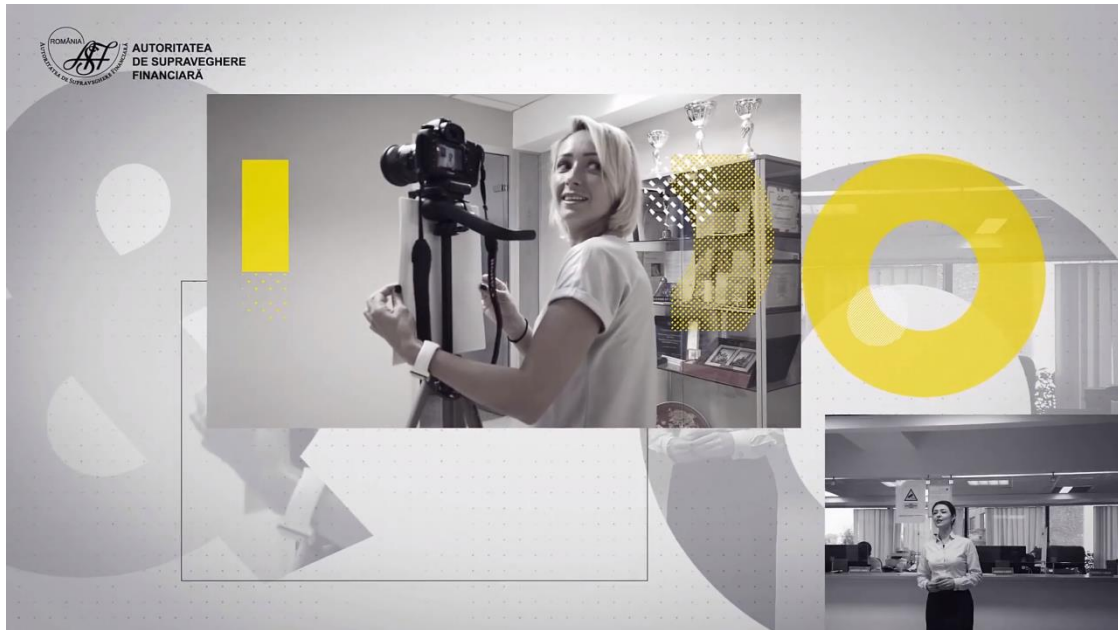


Figure 5 – Actions under WIW 2020



Figure 6 – Facebook post (opening Ring the Bell for financial literacy)

RUSSIA

World Investor Week 2020

National / Jurisdictional Coordinator: Bank of Russia

Other participants: The Federal Foundation for the Protection of Investors and Shareholders Rights, The Association for Promoting Financial Literacy, Moscow Exchange, financial market stakeholders, public schools, colleagues, universities, orphanages

From 26 October to 1 November 2020, World Investor Week was held in Russia for the fourth consecutive time.

Following the decision of the Committee 8, all WIW events were held online due to the epidemiological circumstances. The main topics of WIW-2020 were secure investing, countering fraud and misconduct in the financial market, cybersecurity and measures taken to protect financial consumers.

To celebrate World Investor Week-2020, on the initiative of the World Federation of Exchanges the Moscow Exchange started its trading session with an official online-ceremony “Ring the Bell for Financial Literacy”. The ceremony was held in the format of an online roundtable discussion with the participation of the Bank of Russia, the Moscow Exchange and a number of Russian financial institutions.

On October 21, the Bank of Russia announced World Investor Week on its official website (www.cbr.ru) and on its social media pages (Vkontakte, Facebook, Instagram). In addition, a special webpage was created on the Bank of Russia official website. In total, the WIW-2020 advertisement on social media gathered 12.450 views and 2.768 views on Twitter.

Shortly before the official launch of World Investor Week, Bank of Russia started a series of online lectures on different aspects of investing. The lectures were held throughout WIW as well. Online lectures were first included into the WIW program in 2019 and proved to be an effective tool to reach a broad audience. For that reason, in 2020, the Bank of Russia developed online courses for a wider audience: students, adults and seniors. Educational and informational materials were amplified by IOSCO’s key messages recommended for nationwide dissemination.

Almost 5,5 thousand secondary educational institutions from 85 regions of Russia joined the online lectures. The online lectures were held by 35 Bank of Russia specialists and 15 financial education volunteers and specialists from partner organizations including Moscow Exchange and Sberbank.

The total amount of attendees of the online lectures reached more than 270 thousand people.

On October 28, the First Deputy Governor of the Bank of Russia Sergey Shvetsov held a webinar on the following issue: “What retail investors should keep in mind to prevent falling victim to misconduct”. The webinar watched 16.660 people, the post announcing the webinar received 38.300 views.

WIW-2020 enjoyed a widespread coverage both in federal and regional media: 1.037 publications in printed, electronic and social media, TV and radio. Posts published on the Bank of Russia social media page “Financial culture” generated over 11.645 views.

The informational campaign in social media received active commercial support, which lead to a sharp rise in the number of visitors. Posts on World Investor Week were looked through over 580 thousand times, over 490 thousand of them were gained by the commercial promotion.

On October 28, the Federal Foundation for the Protection of Investors and Shareholders Rights, in cooperation with the Eurasian Economic Commission, held the International Conference on Investor Protection as a part of WIW-2020. Representatives of the Bank of Russia and a large number of financial institutions from the Russian Federation, Euroasian Economic Union (EEU) and Israel attended the conference. 1500 people viewed the conference’s webcast online. The main issues of the conference were the development of the “safety and comfort zone” for financial consumers in the financial market, the digital revolution, financial security, effective measures to counter misconduct and fraud as well as secure investing.

From October 29 to November 3 the Association for Promoting Financial Literacy conducted online events on investment literacy in 85 Russian regions for over 3400 participants. These events gathered over 28.000 visitors.

All in all, the WIW coverage reached about 900 thousand people (3,4 times more than in 2019).

II. WORLD INVESTOR WEEK IN RUSSIA: STATISTICS 2017 - 2020

Figure 1 Total coverage of all WIW events

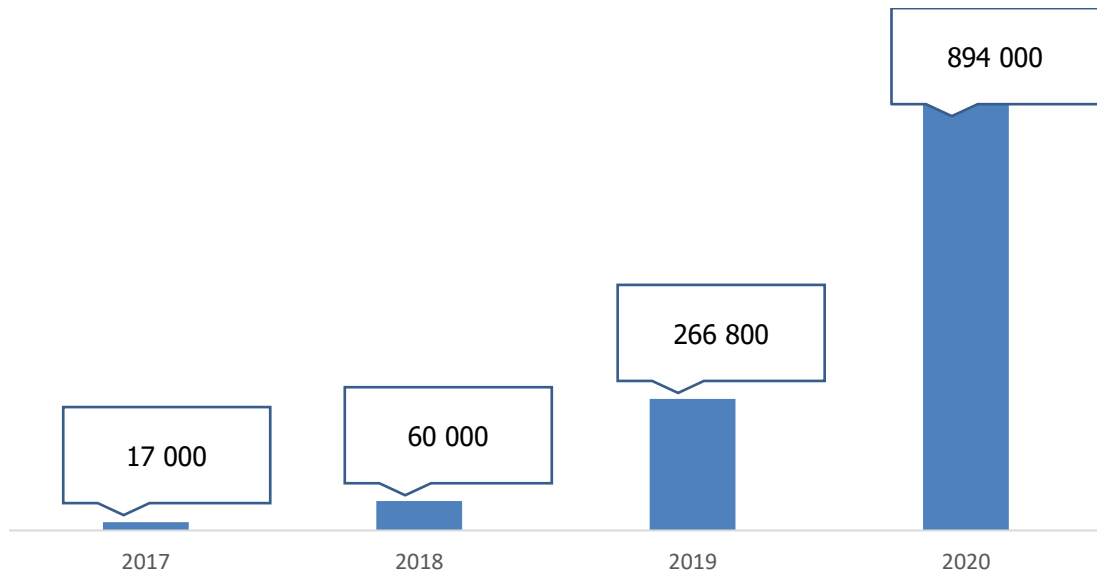
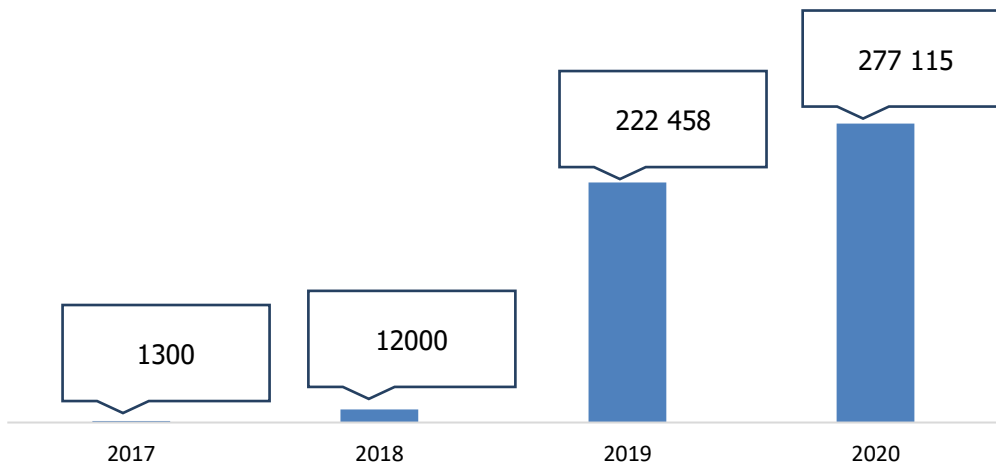


Figure 2 Attendees of online and offline educational events¹⁰



¹⁰ The rapid growth of the attendees of the educational events in 2019 follows the decision to include online events into the programme of WIW

III. Photo gallery WIW-2020

Live webcast by the First Deputy Governor of the Bank of Russia Sergey Shvetsov on social media
“What retail investors should keep in mind to prevent falling victim to misconduct”



WIW-2020 webpage on the official website of the Bank of Russia

Международная неделя инвесторов 2020

26 октября – 1 ноября 2020

онлайн

Поделиться



International conference on investor protection

У МЕЖДУНАРОДНОЙ КОНФЕРЕНЦИИ ПО ЗАЩИТЕ ПРАВ ПОТРЕБИТЕЛЕЙ ФИНАНСОВЫХ УСЛУГ

МОДЕРАТОР

МАМУТА МИХАИЛ ВАЛЕРЬЕВИЧ
 Руководитель Службы по защите прав потребителей и обеспечения доступности финансовых услуг Банка России

У МЕЖДУНАРОДНОЙ КОНФЕРЕНЦИИ ПО ЗАЩИТЕ ПРАВ ПОТРЕБИТЕЛЕЙ ФИНАНСОВЫХ УСЛУГ

СЫЧЕВ АРТЕМ МИХАЙЛОВИЧ
 Первый заместитель директора Департамента информационной безопасности Банка России

У МЕЖДУНАРОДНОЙ КОНФЕРЕНЦИИ ПО ЗАЩИТЕ ПРАВ ПОТРЕБИТЕЛЕЙ ФИНАНСОВЫХ УСЛУГ

ТЕРЕНТЬЕВ ВЛАДИМИР
 Территориальный финансовый директор, Территориальный финансовый центр в Республике Татарстан

У МЕЖДУНАРОДНОЙ КОНФЕРЕНЦИИ ПО ЗАЩИТЕ ПРАВ ПОТРЕБИТЕЛЕЙ ФИНАНСОВЫХ УСЛУГ

Банк России | Статистика жалоб на продажи финансовых услуг и продуктов | 2

- За январь-август 2020 года в Банк России поступило 1,8 тыс. жалоб примерно по 950 случаям мисселлинга. В августе по сравнению с июлем число таких жалоб снизилось на 4,8%.
- Сравнение сопоставимых периодов за 2019 и 2020 годы свидетельствует о сокращении числа поступающих жалоб по вопросам мисселлинга. Так, в феврале-августе 2020 года их количество снизилось на 37,0% по сравнению с аналогичным периодом 2019 года – с 2,4 до 1,5 тысяч.

Распределение жалоб, связанных с мисселлингом, по типу международной организации, январь-август 2020 г.

Категория	Процент
Банки	26,9%
Субъекты страхового дела	2,8%
Профессиональные участники рынка ценных бумаг	5,8%
Управляющие компании инвестиционных фондов (ИИФ) и ИИФ	33,7%
Прочие	30,8%

Распределение жалоб, связанных с мисселлингом, по тематикам, январь-август 2020 г.

Тематика	Процент
Решение инвестиционного спортивного звена	7,2%
Решение страхового спортивного звена	4,8%
Решение проблем при покупке финансовых услуг	15,1%
Решение проблем при заключении кредитных договоров	18,4%
Решение проблем при покупке финансовых услуг	16,8%
Прочие	38,7%

ТЕРРИТОРИЯ ФИНАНСОВОЙ БЕЗОПАСНОСТИ

У МЕЖДУНАРОДНОЙ КОНФЕРЕНЦИИ ПО ЗАЩИТЕ ПРАВ ПОТРЕБИТЕЛЕЙ ФИНАНСОВЫХ УСЛУГ

Educational events on financial literacy

Банк России

ФИНАНСОВЫЕ ИНСТРУМЕНТЫ и стратегии инвестирования

Екатерина Ивановна, Отделение Во

Банк России

ФИНАНСОВОЕ МОШЕННИЧЕСТВО
 Защити себя и свою семью!

Повышение финансовой грамотности населения старшего возраста

Ева Елена Анатольевна, Банк России

Банк России

АКЦИИ
 ЧТО ДОЛЖЕН ЗНАТЬ НАЧИНАЮЩИЙ ИНВЕСТОР

Владимир Валерьевич, Отделение

Банк России

Вклады:
 как сохранить и приумножить

Екатерина Мальца Центр-инвест

SINGAPORE

WORLD INVESTOR WEEK 2020

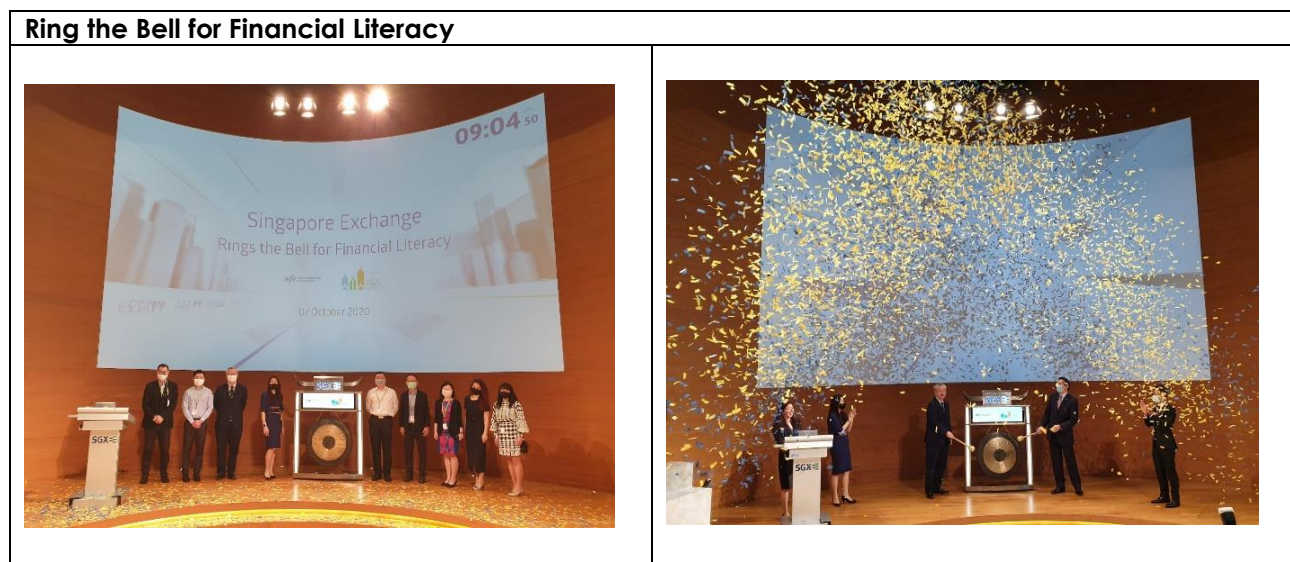
National / Jurisdictional Coordinator: Monetary Authority of Singapore

Other participants: MoneySense-Singapore Polytechnic Institute for Financial Literacy, The Singapore Exchange, Financial Planning Association of Singapore, Securities Investors Association (Singapore), The Securities Association of Singapore, CFA Society Singapore, Association of Financial Advisers Singapore, National University of Singapore (NUS Business School), Singapore Management University (Lee Kong Chian School of Business).

MoneySense, Singapore's national financial education programme, together with the Institute for Financial Literacy (IFL) and Singapore Exchange Ltd (SGX) jointly organised World Investor Week (WIW) 2020.

Ring the Bell for Financial Literacy

The campaign started off with "Ring the Bell for Financial Literacy" ceremony held at SGX on 7 October 2020, with the striking of the gong at market opening to support WIW 2020 and promote the importance of financial literacy. The event was graced by Mr Hee Joh Liang (Chairman of IFL), Mr Michael Syn (Senior Managing Director, Head of Equities at SGX) and Ms Abigail Ng (Executive Director, Corporate Finance and Consumer department at MAS). The event was also broadcasted live on SGX's Facebook and LinkedIn page.



Webinar Series

WIW 2020 in Singapore comprised three sessions of panel discussions conducted virtually on social media via MoneySense Facebook LIVE. The webinar series were held during lunch hours and in the evening, between 28 October and 30 October 2020.

Investing in the Digital Age - What You Need to Know about Robo-Advisors?
28 October 2020 | 12.30pm - 1.30pm

Investing in a COVID-19 World - Is this the Right Time to Invest?
29 October 2020 | 8pm - 9pm

Investing for the Future - ESG and Sustainable Investing
30 October 2020 | 12.30pm - 1.30pm

Register here!
QR code with SGX logo
<https://bit.ly/34VVGJu>

LIVE maney sense

The panel discussions bring together knowledge, expertise of industry partners to share their insights on trending topics such as roboadvisory services, what to look out for when investing during COVID-19 pandemic and sustainable investing. These were topics that are generally of interest to retail investors in recent times.

Roboadvisory

MoneySense
October 19, 2020

As robo-advisors begin to gain traction among investors, this session aims to unpack and compare robo-advisors and traditional investment advisory services.

Seize this opportunity to learn more about robo-investing. Sign up now: <https://bit.ly/34VVGJu>

The screenshot shows a Zoom meeting interface with five participants. The top row includes Engyeow Goh, and the bottom row includes Mark Chua, Richard Dyason, and Stanley Lim. The Zoom banner at the bottom right displays the Zoom logo and the URL <https://bit.ly/352w5yt>.

Investing during Covid-19

MoneySense
October 21, 2020

COVID-19 has created a challenging environment for investors. This topic aims to share how investors should respond to the pandemic and make sound investment decisions.

Stay informed and join us at our upcoming webinar to find out more!
<https://bit.ly/34VVGJu>

Investing in a COVID-19 World - Is this the Right Time to Invest?
29 October 2020, Thur | 8pm - 9pm
Join the World Investor Week 2020!

Speakers:
 - **David Mak**, Head of Investment & Research of EIP Financial Advisors Pte Ltd, SGX Academy Speaker
 - **J. Parasuraman**, CEO & Principal Consultant of Apex Solutions Pte Ltd, AFA speaker
 - **Christopher Tan, CFP**, CEO & Co-founder of President Ltd, Executive Director of MoneyCorp Ltd, FPA's Speaker
 - **Song Seng Wan**, Economist of CIMB Private Bank

Moderated by: E. Siventhly, Institute for Financial Literacy, Former Financial journalist with The Business Times

Register here!
<https://bit.ly/34VVGJu>

Sustainable investing

MoneySense
October 23, 2020

The ESG or sustainable investing movement is undeniably growing. Many firms are also now embarking on green projects and the realisation that being a responsible corporate citizen is important is now gaining traction in the business world. Sign up now to learn more about these investment opportunities! Tune in to our final webinar on 30 Oct, Fri from 12.30pm to 1.30pm.

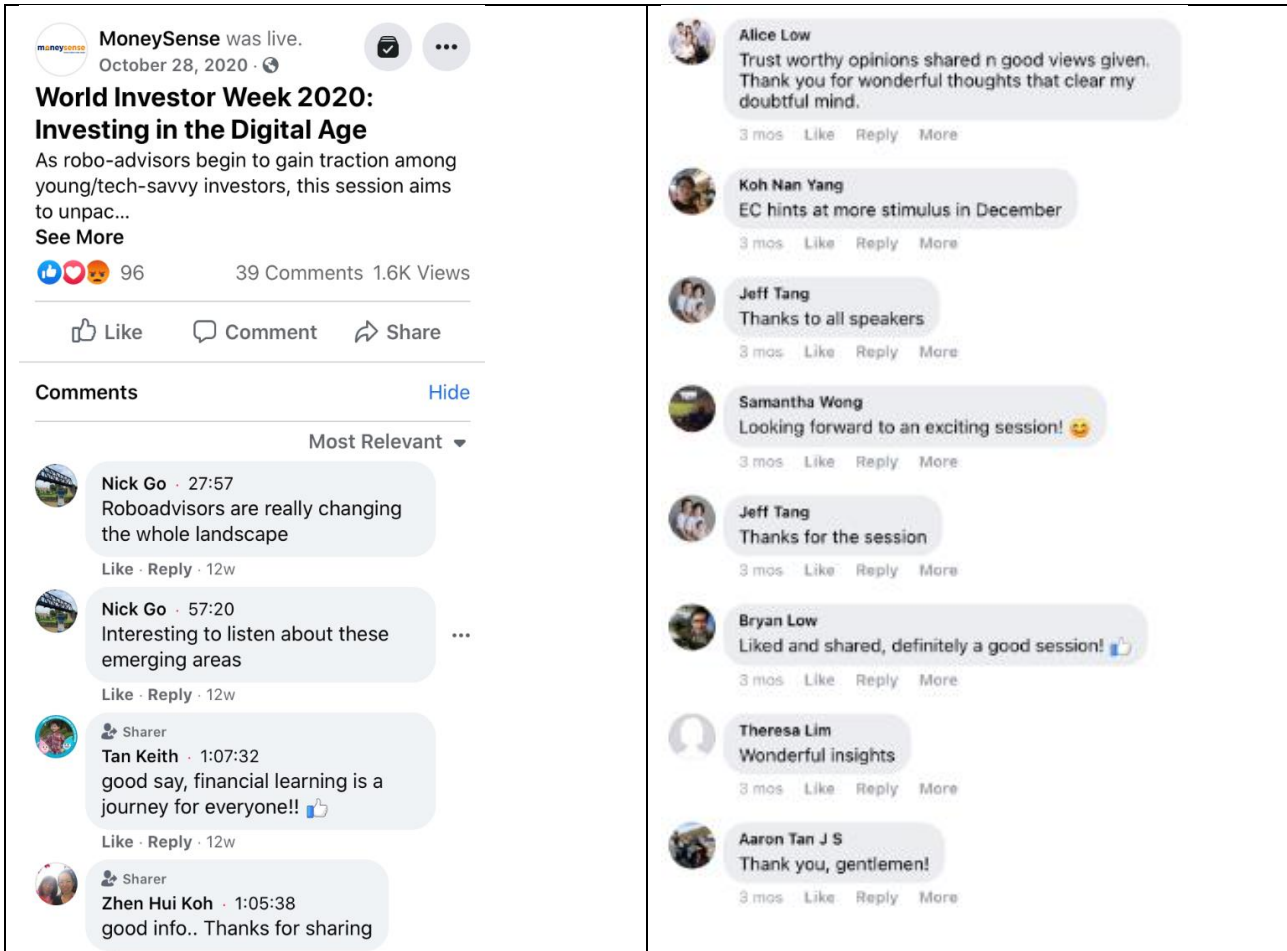
Investing for the Future - ESG and Sustainable Investing
30 October 2020, Fri | 12.30pm - 1.30pm
Join the World Investor Week 2020!

Speakers:
 - **Prof. Lawrence Loh**, Director, Centre for Governance, Institutions & Organizations of the NUS Business School, National University of Singapore (NUS)
 - **Victor Wang**, Senior Director, Head of ESG Investment Team, UOB Asset Management
 - **Leon Qiu**, CEO of GET All Myanmar Co., PhD Candidate of Law King Choo School of Business, Singapore Management University

Moderated by: Richard Dyason, General Manager, Securities Investor Association (Singapore)

Register here!
<https://bit.ly/34VVGJu>

The webinars had more than 2,000 viewers over the three sessions, and were well-received. The videos remain accessible on MoneySense's Facebook page, and continue to garner post-event viewership.



The post-event evaluation survey of the participants reflected positive and encouraging feedback. The MoneySense team will continue to explore ways to bring relevant investor education topics to a wider audience under WIW, via a variety of channels.

SOUTH AFRICA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Financial Sector Conduct Authority (FSCA)

Other IOSCO members:

Other participants: South African National Civic Organisation (SANCO)

The commitment by the FSCA to world investor week 2020 was to organize activities that seek to raise awareness and promote Investor Education. The idea was to re-institutionalize the concept of money management and to highlight the importance of money Investment.

Activities Pre-World Investor Week.

- Prior to the World Investor Week on the 23 September the Financial Sector conduct Authority organised an Investor Education webinar targeting the employees of the South African National Civic Organisation (SANCO). The webinar was a two-part session on understanding Investments. Part 1 focused on: What is Investment? Savings Vs Investment, Investment terminology, as well as the golden rules of investment. A total of 26 officials from SANCO attended the webinar.

Webinar on Investment Education:

- During the World Investor Week on the 8th October, The Financial Sector Conduct Authority, organised the second part of the webinar session with SANCO, the second part focused on introducing the different investment products, the process of buying investments as well as the risks involved in purchasing investments. The target group for this session was the officials of SANCO, who will be able to spread the message to their constituency they deal with on a regular basis. A total of 23 officials from SANCO attended the webinar.

Social Media Posts about Investments.

- As part of the World Investor Week, the FSCA disseminated key messages raising awareness, promoting financial literacy, and fostering learning opportunities for Investors. The social media platforms used was Facebook and Twitter. The blogposts were about breaking down the concept of Investments and to make it easily understandable by layperson on the platform. The social media clip can be viewed at

<https://www.facebook.com/TheFSCA/videos/1701493866683259>. A total of 1291 impressions were recorded on social media.

Website activities

- The FSCA added the WIW logo and branding to our consumer website at www.FSCAmymoney.co.za for the month of October. Savings and Investments were highlighted in banners on the site's home page. There were 4,340 visitors to the site during this time.

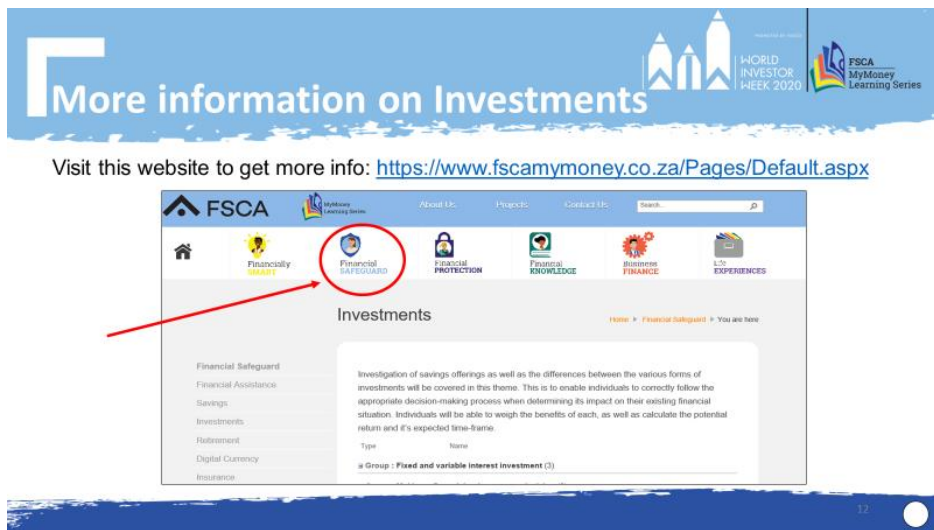
Webinar



Social media



Website: www.fscamymoney.co.za



SPAIN

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: COMISIÓN NACIONAL DEL MERCADO DE VALORES-CNMV- SPAIN

Other IOSCO members:

Other participants:

CNMV has supported the World Investor Week (WIW).

The WIW was held during the third week of October. Throughout the week, CNMV promoted it by having the logo on its homepage.

IOSCO's press release was published on the social media to announce the WIW.

❖ Social media:

CNMV disseminated key messages in order to increase the awareness of the importance of investor education and investor protection and a number of posts have been shared on Twitter, using the following hastags: #WorldInvestorWeek; #IOSCOWIW2020

➤ CNMV shared the following 8 posts on Twitter during the week (translated into Spanish):

- A smart investor verifies that an investment professional is licensed
- A smart investor conducts research on a product before investing.
- A smart investor plans for and invests according to his/her future needs and goals
- A smart investor recognizes the importance of diversification
- A smart investor recognizes the benefit of long-term, regular and diversified investment
- A smart investor understands that risk exists in all investments.
- A smart investor recognizes the red flag warning signs of online investment fraud
- A smart investor understands the risks that are associated with initial coin offerings and crypto-assets in general and is careful in deciding whether to invest in these products.

Each of the social post reached more than 10300 people.

➤ Three Infographics were shared on Twitter as well:

- 1- Mifid.
- 2- Sustainable finance
- 3- Fintech

- And two videos: [Be informed before investing](#) and [Choose the best path](#)

IMAGES:

- **POST**



- **INFOGRAPHIES**



❖ **Conference on sustainable finance.**

During the WIW, the CNMV organized an online Conference on Sustainable Finance, in which the Vice-Chair of the CNMV, Ana Martínez Pina, and the Deputy Governor of the Bank of Spain, Margarita Delgado, among others, participated. The Director of the European Commission DG FISMA, Marcel Haag, responsible for Sustainable Finance, participated as a special guest.

Managers and technical staff of the CNMV explained the new regulations on sustainable finance, their impacts on the market and the supervisor's activities in the field of sustainable finance.

SWEDEN

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Finansinspektionen (Swedish Financial Supervisory Authority)

Other participants: The network Gilla din ekonomi ("Like Your Economy"), lectures on courses from the network: Pensionsmyndigheten, Fondbolagens förening, Konsumenternas Bank- och finansbyrå, Kronofogden, Min pension, Skatteverket, Konsumentverket, Konsumenternas försäkringsbyrå, Swedbank, SEB, Avanza, Alecta and Länsförsäkringar.

Course for senior citizens – who in turn spread the knowledge to other seniors

During World Investor Week on the 13th October, Finansinspektionen organized a digital course on personal finance for senior citizens from four of Sweden's largest pension organizations. The course aims to strengthening the participants knowledge of consumer rights, digitalisation, finance, tax, debt, investment fraud, insurance and everyday law. Printed literature was sent in advance to all participants. The course is offered as part of the Gilla din ekonomi network with several different lecturers who are experts in their field. The participants are in turn expected to spread the knowledge to other senior citizens. A total of 65 senior citizens attended the course.

Course for union representatives – who in turn spreads the knowledge in workplaces

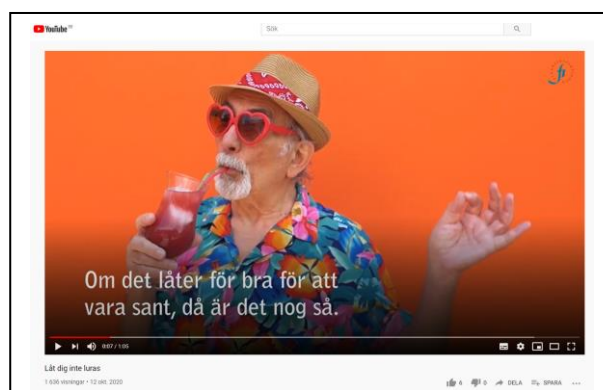
During World Investor Week on the 14th October, Finansinspektionen organized a digital course on personal finance for representatives from unions for teachers, health professionals, police and other professions. The focus on the course was both short and long term saving, investment fraud and pension. After the course the participants in turn can help others at their workplaces to find good independent guidance. This "instructor model" enables the broad geographical dissemination of information. The course is offered as part of the Gilla din ekonomi network. A total of 39 union representatives attended the course.

Short film about fraud for senior citizens

During World Investor Week, Finansinspektionen published a short video about investment fraud with senior citizens as a target group. The film provides information about frauds, how impostors operate and how citizens might avoid frauds. The reach so far is 1.636 people. Every year, consumers lose large sums as a result of investment fraud, and the number of reported crimes increases. <https://www.youtube.com/watch?v=PSrXhu0KsNg>

Posts about fraud on Facebook

During World Investor Week, Finansinspektionen organized several blogposts on Facebook regarding investment frauds and personal finance. The target group was adult Swedish citizens. The ambition was to make the target group less likely to be deceived by investment fraud. The reach during WIW was 3.974 people. On Twitter 787 people was reached and three articles was published.



TANZANIA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: CAPITAL MARKETS AND SECURITIES AUTHORITY

Other IOSCO members:

Other participants:

5TH– 9TH OCTOBER 2020

1.0. INTRODUCTION

In October 2020, the International Organization of Securities Commissions (IOSCO) in collaboration with capital market regulators worldwide scheduled a World Investor Week (WIW) a global campaign to raise awareness about the importance of investor education and protection, highlighting the various initiatives of capital market regulators in these two critical areas.

The WIW which is a week-long global campaign promoted by IOSCO, involved all IOSCO securities regulators and other IOSCO members on six continents providing a range of activities, such as launching investor-focused communications and services, promoting contests to increase awareness of investor education initiatives, organizing workshops and conferences, and conducting local/national campaigns in their own jurisdictions.

In endeavouring to commemorate the World Investor Week, Capital Markets and Securities Authority (CMSA) in collaboration with key stakeholders undertook various awareness and education programmes on capital market countrywide from 2nd to 10th October 2020.

2.0. RATIONALE OF THE WORLD INVESTOR WEEK

The objective of CMSA's participation in the WIW is focused at enhancing knowledge about capital markets to increase uptake, investor protection and usage of capital market products and services and thus contribute to the National agenda of improved financial inclusion and economic development.

The specific objectives of CMSA participation in the WIW included to;

- i. promote usage of capital market amongst companies, thus enable them to use capital markets for raising capital;
- ii. promote saving culture amongst Tanzanians, thus increasing investor base;
- iii. stimulate demand and supply for securities, which in turn increase liquidity in the capital market;
- iv. increase utilization of the capital market in financing long term development projects by various economic sectors in the country;
- v. promote investors' protection; thus enhance investors' confidence, which in turn increase public participation in the capital market.

3.0. PARTICIPATION IN THE WORLD INVESTOR WEEK

In conducting the WIW, CMSA involved all key stakeholders in the capital markets sector where each player was afforded an opportunity to propose and conduct activities that meet the overall objectives of the WIW. The Stock Exchange (DSE), UTT AMIS, and Licensed Dealing Members of the Stock Exchange (LDMS) through their umbrella association Tanzania Stock Exchange Brokers Association (TSEBA) were all involved in the identified activities.

To get the stakeholders involved, CMSA prepared an awareness program employing 3 broad strategies targeted at different activities incorporating market players in order to meet the aforementioned objectives as follows:

- a) **Promoting capital markets products awareness, access and usage to investors and the general public:** To reach out to the masses, the strategy identified three main activities that were used as follows;
- i. **Launching of the WIW:** launching of the WIW featured activities such as invitation of key stakeholders and members of the press to the launching ceremony. The ceremony involved delivery of opening speech by the CMSA CEO launching the WIW, brief highlights of activities to be done by each stakeholder on the theme of the World Investor Week and a press conference. The event attracted headlines in news coverage and was extensively covered in the main stream and social media outlets.
 - ii. **Media Campaigns:** extensive media campaign was conducted throughout the week in radio, television and social media outlets. All key stakeholders including CMSA, DSE, UTT AMIS and representatives of the LDMs through TSEBA were each assigned airtime that was covered in the press. The radio and television programs involved live coverages and recorded programs that were broadcasted throughout the week. Among the news outlets that covered the events include TBC1 FM, Star TV, Radio Free Africa, Radio One, Uhuru FM, EFM, Channel 10 and ITV.
 - iii. **Ring the Bell Literacy and Awareness Campaign:** an awareness campaign targeting secondary school students was conducted at the DSE where students from two secondary schools were invited at the exchange and sensitized about capital markets. Thereafter the students were subjected to a quiz completion between the two schools and the winners were awarded certificate and cash prizes. The event also invited members of the press who conducted press interviews and the event attracted news coverage.
- b) **Increasing capital markets awareness to potential issuers of securities and Business Communities:** A total of 18 Companies with potential for issuance were identified and approached with the view of sensitizing Management of the identified companies on the ways they could use the capital markets for raising finance and diversification. A second meeting was also sought with the same companies with the agenda of the meeting with the employees and offer awareness and education on investment opportunities in shares, bonds and units of collective investment schemes that can benefit the employees. Under this strategy the following activities were conducted.
- i. **Meeting with the Leaders of the Manyara Miners Association (MAREMA):** a meeting was conducted with the leadership of the MAREMA in Arusha on 5th October 2020. The meeting was attended by CMSA, Alpha Associates (Dr. Alphonse D. Masaga), Orbit Securities Limited, Tanzania Securities Limited – representing TSEBA and the Executive Committee of MAREMA. The meeting enabled interactive discussion on the challenges facing MAREMA as an association which include capital requirements; technology; and investment plans and opportunities for their members. The meeting was able to come up with resolutions that are being worked at towards resolving the identified challenges. A separate report has been prepared to that effect.
 - ii. **Meeting with members of MAREMA:** a meeting with the Members of MAREMA was conducted in Mirerani Simanjiro Manyara Region on 6th October 2020 where a total of 350 members attended. The agenda of the meeting was to discuss with the way the individual members and their association could invest in the capital markets. The meeting also enabled CMSA to identify their financing needs and planning for retirements. A separate report detailing their needs and resolutions reached towards resolving the challenges they are facing has been prepared for action.

- iii. **Meeting with the Management of LAKE CEMENT:** a meeting was conducted with the Management of Lake Cement Company Limited on 9th October 2020. The main agenda for the meeting was to discuss ways the company could utilize capital markets for financing their long term capital requirements. The meeting was also sought to seek audience with the members of staff in order to educate and sensitize them to invest in the capital markets. The Management informed us that they are well aware of the opportunities in the capital markets for raising funds for capital expenditure and that they will consider taking the capital markets routes in the near future. On the side of educating the staff, the Management agreed that we make arrangement with the head of Human Resource and set 2 meetings, one to educate the staff at the head office at ATCL Building and another meeting for factory staff in Kisarawe Cost Region.
- iv. **Increase and promote agency and LDMs relationships as one of the avenues for the investors and general public to access the capital markets:** As a means of increasing access points for the investors and the general public to reach out for capital markets transactions, some of the Licensed Dealing Members (LDMs) have had an agency agreements with the CRDB Bank Plc and Tanzania Posts Corporation. Although these engagements were meant to bridge the gap of accessibility, the public was not aware of its existence. As a means of rectifying the shortfall, CMSA initiated different approaches by engaging with the two institutions and the LDMs to train their staff regarding capital markets transactions, preparation of promotional materials, signing of renewed MoUs for the purpose of publicity as well as promoting the agency agreements through media campaigns that were conducted throughout the week. The engagement with the CRDB Bank produced positive outcome that the bank has taken up the matter in a bigger outlook where they are training their front office staff and promoting the bank's branches countrywide as one of the destination for capital markets transactions to retail investors.
- c) **Preparation and dissemination of printed capital markets awareness materials:** capital markets awareness materials were reviewed reprinted and distributed during the events that were conducted during the week. Among the materials distributed which were both in Kiswahili and English languages included the following;
- Issuers Guidance to Capital Markets,
 - Investors Guidance to Capital Markets,
 - Collective Investment Schemes,
 - Beware of Pyramid Schemes and
 - Be Smart Investor.

4.0. OUTCOME OF THE PROGRAM

The World Investor Week participation sessions enabled dissemination of capital markets awareness to different groups of people thus contributing positively to the CMSA strategic initiatives of increased capital markets awareness and investor protection consistent to the theme of the WIW 2020.

The joint participation and involvement of DSE, UTT AMIS and the LDMs has forged a renewed partnership in conducting jointly public awareness programs with the market players. The sessions have also exposed the LDMs to better understanding of the methods and strategies being used by CMSA in conducting public awareness programs.

The media campaigns apart from creating an opportunity for the public to learn about capital markets participation, it has also created a renewed institutional and corporate image of the CMSA. It is estimated that more than 15,000,000 were reached out during the week long WIW.

5.0. RECOMMENDATIONS AND OBSERVATIONS

- a) CMSA to consider marking the WIW an annual event forming part of capital markets week and make it mandatory for market players to participate.
- b) CMSA prepares an action plan to conduct the WIW jointly with market players taking a leading role in coordinating the entire event.

6.0. CONCLUSION

Consumer protection which is a key responsibility of regulatory authorities is best practiced through delivery of financial education as it enables safeguarding the interests of consumers, empower consumers to know their rights and obligations. It also enables consumers making informed decisions when demanding financial services.

Participation of CMSA in the WIW has enabled attainment of the objectives of enhancing knowledge about capital markets aimed at increasing uptake, investor protection and usage of capital market products and services.

THAILAND

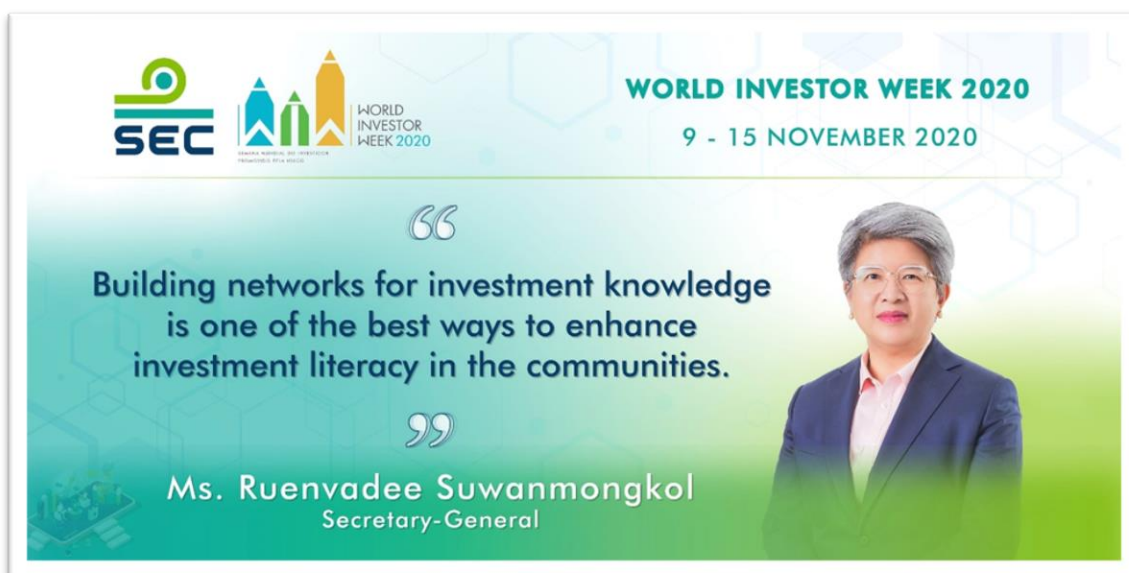
WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: The Securities and Exchange Commission
Other IOSCO members: The Stock Exchange of Thailand

The Securities and Exchange Commission

Having endorsed the importance of investor education and protection in line with the IOSCO C8 mandate, in 2020 the Securities and Exchange Commission, Thailand (SEC) joined the World Investor Week campaign for the fourth consecutive year.

Despite the COVID-19 pandemic situation and consequences in Thailand and worldwide, the SEC has managed to carry out various activities, both online and offline, during this important week to reiterate our commitment to strengthening financial and investment knowledge among the Thais for their financial well-being. This year's campaign was launched, during the week of November 9-15, 2020, under the theme "**Building networks for investment knowledge is one of the best ways to enhance investment literacy in the communities**".



The initiatives undertaken throughout the week under the said theme reflect our intention to reach out to the public at large through networks as well as other communication channels, which would help enhance financial and investment knowledge in the communities all over the country. The knowledge distributed would also help people learn how to avoid falling victim to financial frauds and scams. The activities during the week are as follows:

1. A training-the-trainer under the program "SEC Financial and Investment Literacy Networks" was organized in Songkhla Province, from November 10-12, 2020, for around 70 community influencers such as community's leaders, teachers, local press etc., from 10 Southern provinces, with the expectation that these influencers would pass on the knowledge gained from the training to their families and friends in the communities, contributing to their life-long financial well-being. This training is the fourth one organized under the program to cover all the regions of Thailand. The first three had already been held in the North, Northeastern, and Central and Eastern regions. Representatives with high potential to become "networks" would be chosen from each region to attend a more advanced training program in Bangkok to make them ready for the role of "knowledge distributors" in their communities;



2. A booth exhibition under the theme “SEC Investment Learning Station” was organized at Money Expo 2020, which also took place in Songkhla Province during November 13-15, 2020. During the 3-day event, at the SEC booth, the event participants were given advice and information on the following: capital market and the role of the SEC, proper investment process that can help investors achieve their investment goals, what investors should know about digital asset investment, and how investors can protect themselves from frauds and scams. By conversing with local people, the SEC also obtained their opinions and needs regarding financial and investment knowledge that would be taken into account when the SEC designs a reach-out plan in the future;



3. Throughout the week, investment tips were continuously given to investors under the theme “7 days, 7 investment tips” (one tip a day) via the SEC Facebook “Start-to-Invest”: <https://www.facebook.com/StartToInvest>.



1. Choose the right stock and understand its fluctuation



2. What you should know about investing in corporate bonds: the issuer, the features, the risks & credit rating

3. Checklist for perpetual bond investing



4. What beginners should know about investing in mutual funds: investment goal / acceptable risk / fund's investment policy

5. Read the signals before investing in digital assets



6. Tips for happy retirement: early and disciplined saving, choose the right saving vehicles
7. How to grow your provident fund: choose appropriate investment policy / maximize contribution / monitor performance

This year's campaign reaffirms the SEC's commitment to enhancing financial and investment knowledge of the Thais and to continuously promoting the significance of the IOSCO World Investor Week in Thailand.

The Stock Exchange of Thailand (SET)

SET organized "Ring the Bell for Financial Literacy 2020" event on Monday, October 5, 2020 during the World Investor Week. The event started by opening speech of Dr. Krisada Sektrakul, Senior Executive Vice President, Head of Sustainable Market Development Division, followed by ringing the opening bell with participants from Suranaree University of Technology. This group of participants join the event through learning activities about financial planning as an essential tool leading to a desirable retirement at INVESTORY, the investment discovery museum at SET.

Communication

Twitter: https://twitter.com/SET_Thailand/status/1312978353826095107

Facebook: <https://www.facebook.com/set.or.th/photos/a.177703174974/10151304715954975> (Thai)

Video clip:

<https://www.youtube.com/watch?v=ybjtzl9mtwY&feature=youtu.be&fbclid=IwAR24yLXTSAqblCvJrVtjolRhsZ2CoByLJ6UyABpX4L6B1DlyVO6nPf6qI>

Photo release: through local media

Banner on SET website:



The screenshot shows the SET website with a prominent banner for the "Ring the Bell for Financial Literacy 2020" event. The banner includes the SET logo, the World Federation of Exchanges (WFE) logo, and a photograph of the event. Below the banner, there is a table of market data for various SET indices.

Index	Value	Change	% Change
SET	1,244.70	+7.10	+0.56%
SET50	782.33	+5.02	+0.64%
SET100	1,758.14	+11.23	+0.64%
SET	620.88	+3.57	+0.58%
SETCLMV	824.35	+4.19	+0.51%
SETHD	803.41	+7.25	+0.91%
SETHSI	739.05	+4.75	+0.65%
SETWB	851.24	+3.37	+0.40%

TUNISIA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: CMF Tunisia

Other IOSCO members:

Other participants:

The CMF Took part in the 2020 edition of the World Investor Week (WIW 2020). This important international initiative is launched by the International Organization of Securities Commissions (IOSCO). This latter has decided to proceed with the fourth 4th annual WIW during these difficult times because more than ever investors & financial consumers worldwide need to manage their finances and investments efficiently and strengthen their financial resilience in the face of growing economic uncertainty.

To facilitate this global initiative, the 2020 WIW accommodated the needs of each participating jurisdiction. Indeed, jurisdictions were able to launch their campaigns in a fully digital format.

In this vein, CMF Tunisia announced the participation in the event during the first week of November 2020 by adding a new link on its website dedicated to financial education: <https://www.cmf.tn/?q=le-march%C3%A9-financier>

This link includes the following main themes that will be presented during the IOSCO Investor Week:

1. Understanding the financial market

A presentation of the different types of Tunisian stock markets and their operating mechanism.

2. Understanding Securities

A presentation of the main securities used on the market and a description of the rights of investors and the role of the financial regulator.

3. Understanding collective investment vehicles

A presentation of collective investment schemes and Private equity vehicles with a description of investment opportunities in these vehicles and the main risks associated with them.

4. Establishment of a section dedicated to practical investment advices

- ✓ Know how to define the objective and the horizon of your investment

- ✓ Know how to define your risk profile
- ✓ **Know the right reflexes before investing**

5. Know when and how to file a complaint with the financial regulator

A detailed description of the steps to follow by the investors in order to file a complaint with the regulator and protect their investor rights

TURKEY

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Capital Markets Board of Turkey

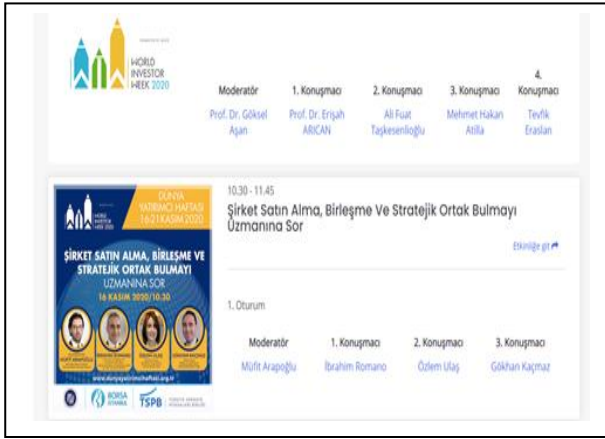
Other IOSCO members: -

Other participants: Turkish Capital Markets Association, Borsa Istanbul, Central Registration Agency, Istanbul Settlement and Custody Bank

WIW was celebrated from November 16 to November 21, 2020 for the fourth time in Turkey. In collaboration with Capital Markets Board of Turkey, Borsa Istanbul, Central Registration Agency and Istanbul Settlement and Custody Bank, Turkish Capital Markets Association organized an online event open to public. The event started on November 16th with an opening ceremony with the striking of the gong at market opening in Borsa Istanbul. The event covered 27 sessions with 113 speakers on various investment issues like investment in stocks, fixed income securities, sustainability funds, robo-advisors, technical analysis, taxation of securities, mergers and acquisitions, etc. Participants had the opportunity to get useful information from experts and market professionals and ask questions about the relevant topic through registering from a dedicated website, www.dunyayatirimcihaftasi.org.tr.

Seminars were held online on www.dunyayatirimcihaftasi.org.tr, web site and YouTube channel of Capital Markets Association and watched 18.000 times.

Participants obtained certificates for their participation and became eligible for lotteries to win digital products after each panel.



UNITED STATES

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: U.S. SECURITIES AND EXCHANGE COMMISSION (SEC)

Other IOSCO members:

- FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA)
- U.S. COMMODITY FUTURES TRADING COMMISSION (CFTC)
- NATIONAL FUTURES ASSOCIATION (NFA)

Other key participants:

- NORTH AMERICAN SECURITIES ADMINISTRATORS ASSOCIATION (NASAA)
- THE GEORGE WASHINGTON'S UNIVERSITY GLOBAL FINANCIAL LITERACY EXCELLENCE CENTER (GFLEC)

Virtual Events and Outreach:

- On October 5, the SEC issued a [press release](#) announcing its planned outreach activities during WIW, including virtual events focused on planning for life's unexpected challenges and investing for the long term in times of uncertainty and market volatility. The SEC's Office of Investor Education and Advocacy also issued a joint investor bulletin with the CFTC, FINRA, NFA, and NASAA on [tips for WIW 2020](#).
- On October 6, NFA and the CFTC hosted a joint webinar entitled [Investor Education: Understanding the Investing World and How to Protect Yourself](#). The webinar covered key investor protection topics, including verifying that investment professionals are licensed; conducting research on products before investing; understanding the risks that exist in all investments; and planning for life's unexpected challenges and investing in uncertain times.
- On October 6, the FINRA Foundation and the National White Collar Crime Center hosted a virtual Targeting Investment Fraud training for two dozen law enforcement officers in Nevada. Representatives from the SEC and the Nevada Securities Division also participated.
- On October 7, the AARP Fraud Watch Network and AARP New York hosted a Tele-Town Hall featuring FINRA and the CFTC. More than 1,000 New Yorkers stayed on the line and learned how to protect their finances in the current environment. AARP's Fraud Fighter Call Centers, in partnership with the FINRA Foundation, left over 28,000 messages warning consumers about the red flags of investment fraud.
- On October 7, the SEC's Office of Investor Education and Advocacy, CFTC, FINRA and NFA hosted a Twitter chat on protecting money in times of uncertainty and planning for life's unexpected challenges.
- On October 8, FINRA delivered "Investing 101: Key Concepts and Resources for Teachers" on a webinar hosted by the CFA Society of Pittsburgh for High School educators.

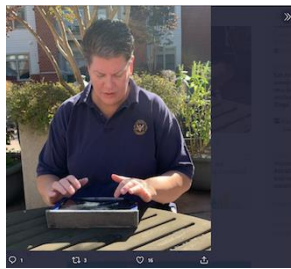
- The CFTC produced a social media video featuring CFTC Chairman Heath Tarbert, in which he discussed the importance of investor education in preventing fraud and encouraged members of the financial industry to promote their educational resources on their individual websites and in social media using the #WorldInvestorWeek hashtag. The video was posted to the CFTC's Twitter and Facebook pages and received nearly 1,300 views during WIW.
- SEC staff, CFTC, FINRA (and the FINRA Foundation), NFA and WIW supporters participated in over 50 virtual events and actively promoted World Investor Week online and via social media.

Research

- The FINRA Foundation released six [research briefs](#) in recognition of World Investor Week 2020. The briefs explored a range of topics including cognitive aging and financial decision making, the predictive power of financial literacy, and investor and non-investor responses to pandemic-related market volatility.
 - Working with researchers from Rush University Medical Center and Duke University, the FINRA Foundation released three research briefs on cognitive aging and financial decision making. The three briefs—"Does Overconfidence Increase Financial Risk Taking in Older Age?", "Confidence in Financial Literacy and Cognitive Health in Older Persons," and "Adverse Impacts of Declining Financial Literacy in Old Age"—are available on the FINRA Foundation website and each related manuscript will be published in a peer-reviewed academic journal.
 - In recognition of WIW 2020, the FINRA Foundation, the University of Southern California's Center for Economic and Social Research and GFLEC released a research brief, "The Stability and Predictive Power of Financial Literacy: Evidence From Longitudinal Data." According to the study's findings, financial literacy is a strong indicator of positive financial outcomes for the future, but differing levels of financial literacy among Americans may contribute to widening inequality among different segments of the population.
 - Through a research collaboration with NORC at the University of Chicago, the FINRA Foundation released two research briefs examining investor and non-investor responses to pandemic-related market volatility. One brief looked at the general U.S. adult population, while the companion piece examined African-American and Hispanic/Latino consumers. Both reports are available on the FINRA Foundation website under the "Consumer Insights on Money and Investing" series.



SEC Commissioner Caroline Crenshaw and Tom Manganello from the SEC's Office of Investor Education and Advocacy gave a presentation to 129 new JAG officers at the Army JAG School during World Investor Week.



SEC Office of Investor Education and Advocacy Director Lori Schlock co-hosted a World Investor Week Twitter chat with CFTC, FINRA, and NFA on protecting your money and investing in times of uncertainty.



The CFTC produced a social media video featuring CFTC Chairman Heath Tarbert. The video was posted to the CFTC's Twitter and Facebook pages and received nearly 1,300 views during World Investor Week.



Alan Sorcher and staff from the SEC's Office of Investor Education and Advocacy gave a presentation about Thrift Savings Plan (TSP) accounts during World Investor Week.



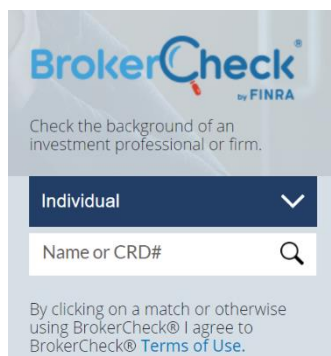
Staff from the SEC's Philadelphia Office hosted a senior outreach event with the US Attorney's Office for the Western District of Pennsylvania and the FBI during World Investor Week.



Rebecca Franciscus and staff from the SEC's Denver Regional Office, hosted an investment, retirement, and fraud prevention training for nearly 600 federal employees and military service members, in conjunction with the TSP.



The FINRA Foundation's Christine Kieffer and Robert Mascio shared tips for spotting and avoiding investment fraud with more than 400 investors in Virginia during a Facebook Live "Friday FraudCast" hosted by the AARP Fraud Watch Network during World Investor Week.



FINRA staff trained approximately 50 representatives from Better Business Bureaus across the United States on tools to evaluate investment companies in recognition of World Investor Week.



The FINRA and the FINRA Foundation published 15 organic Facebook posts and 49 organic tweets in October 2020, garnering over 105,000 impressions and 1,200 engagements. Top content celebrated World Investor Week and shared financial literacy tips, as well as tips for recognizing and avoiding scams.

ZAMBIA

WORLD INVESTOR WEEK 2020

National / Jurisdictional Coordinator: Securities and Exchange Commission (SEC), Zambia

Other IOSCO members: N/A

Other participants: Capital Markets Association of Zambia (CMAZ), Lusaka Securities Exchange Plc (LuSE), AMG Global, Altus Capital Limited, Stockbrokers Zambia Limited, Banc ABC and the University of Zambia Economics and Business Association (UNZABECA).

The Securities and Exchange Commission (“SEC”) and other capital markets authorities alike, partnered with the International Organization of Securities Commissions (“IOSCO”) to commemorate the World Investor Week (WIW) 2020, making it our fourth consecutive commemoration since its inception in 2017. This year, the Commission commemorated the WIW under the theme ‘**Be a Smart Investor through Capital Markets**’ and spearheaded campaign activities from 5th to 10th October 2020 in collaboration with the Capital Markets Association of Zambia (“CMAZ”) and other capital markets stakeholders.

Due to the Covid-19 pandemic, WIW activities for 2020 were mainly undertaken via digital media platforms such as Websites, Facebook, Twitter, Whatsapp, Linked In, (among others). As such, the SEC, CMAZ and capital market operators carried out awareness and sensitization on their respective virtual platforms. In addition, members of the public had an opportunity to have one on one discussions with capital market professionals via shared platforms such as Zoom.

Below is a highlight of activities undertaken during the World Investor Week 2020.

1. WORLD INVESTOR WEEK 2020 SOCIAL MEDIA LAUNCH



The Chief Executive Officer of the SEC and the President for CMAZ hosted a joint social media launch for the WIW 2020 on 6th October 2020.

During the World Investor Week, securities regulators, stock exchanges, international organizations, investor associations and other stakeholders from about 100 countries offered a variety of activities to raise awareness about the importance of investor education and protection in their own jurisdictions, particularly during the COVID 19 pandemic.

The WIW key messages for 2020 highlighted the basics of investing, including, among other things, the importance of assessing the impact of fees when choosing an investment and understanding that all investments entail risks. The WIW stressed last year’s messages regarding online investing, initial coin offerings, crypto-assets and ed-Tech issues, such as digital learning and online education. It will also deliver a new key message about the risk of investing in these uncertain times caused by COVID-19.

2. VIRTUAL CAPITAL MARKET OPERATORS EXHIBITION



MEET THE CAPITAL MARKET OPERATORS

We invite you to a **Virtual Exhibition - Day 1**

8th Oct 2020
10-12 hrs

Theme: 'Be a Smart Investor through Capital Markets'.

Come and know more about your Regulator, interact with a Broker and know how to buy shares, understand Unit Trusts and how to invest. **Join Us!**

Register on the link below: <http://bit.ly/meet-cmo-sec1> | Live Stream on our page: <http://fb.com/SecZambia>

MEET THE CAPITAL MARKET OPERATORS

We invite you to a **Virtual Exhibition - Day 2**

9th Oct 2020
10-12 hrs

Theme: 'Be a Smart Investor through Capital Markets'.

Come and know more about your Regulator, interact with a Broker and know how to buy shares, understand Unit Trusts and how to invest. **Join Us!**

Register on the link below: <http://bit.ly/meet-cmo-sec2> | Live Stream on our page: <http://fb.com/SecZambia>


Meeting ID: 813 9117 3132
Passcode: 988118

Logos: SEC, OICU-IOSCO, Capital Markets Association Of Zambia

Contact: 0211-227012/226386/226911 | info@seczambia.org.zm | www.seczambia.org.zm

A virtual capital market operators public exhibition was held on Thursday 8th and Friday 9th October 2020. This event provided a platform for capital market operators namely; Fund Managers, Stockbrokers, Trustees and the Lusaka Securities Exchange (LuSE) Plc to give insights on their products and services as well as interact with the public. Worth noting is that capital market operators that do not normally participate in exhibitions (such as Trustees), were this time around able to have one on one interactions with the public. The exhibition had an outreach of approximately 1,245 people on zoom and Facebook live streaming.

3. LUSAKU SECURITIES EXCHANGE FAMILIARISATION SESSION



LUSAKA SECURITIES EXCHANGE

Invites You TO A SCHEDULED **zoom** MEETING

Topic: LuSE Discussion

HOW TO BE A SMART INVESTOR

"HOW TO INVEST ON THE STOCK MARKET"

09 OCT 2020 | 10HRS TO 11HRS

Meeting ID : 996 2026 1936
Password : 615903

Facebook Post Summary:

Capital Markets Association of Zambia

You are invited! The Lusaka Securities Exchange will be live on 9th October, 2020 at 10:00-11:00

1900 People Reached

70 Likes, Comments & Shares

70 Post Clicks

32 Photo Views | 0 Link Clicks | 38 Other Clicks

NEGATIVE FEEDBACK

0 Hide All Posts | 0 Hide Post

0 Report as Spam | 0 Unlike Page

70 Likes, Comments & Shares

BRANDED CONTENT DISTRIBUTION

	1900	0
Total Reach	1900	Paid Reach
Organic Reach	1900	0
Total Impressions	2093	Paid Impressions
Organic Impressions	2093	0

The LuSE Plc hosted a virtual familiarisation session on 9th October 2020. The objective of the session was to empower the public with knowledge on its roles, functions, and processes as well as issues concerning Listing of entities on to the Exchange.

4. UNIVERSITY PANEL DISCUSSION



World Investor Week 2020
'A Smart Investor through Capital Markets'

PANEL DISCUSSION
What does it mean to be a Smart Investor?
Join a Panel Discussion to gain insights on key concepts of being a smart investor, from a YOUTH LENS.

16 Oct 2020
15.00 hrs

Join the Discussion via:
<http://bit.ly/wiw-panel-discussion>
Meeting ID: 822 6394 8511 | Passcode: 341651

LIVE Live Stream on our page: <http://fb.com/SecZambia>

The Moderator:
Maxine Hakkar
Stockbrokers Zambia

Panelist:
Chitamba Saka
University of Lusaka

Panelist:
Wira Simuchimba
Copperbelt University

Panelist:
Obadi Mapulanga
Rockview University

Panelist:
Mukanga Sizame
University of Zambia

Panelist:
Farwell Munsukombwe
University of Zambia

Panelist:
Natasha Mulombwa
Mulungushi University

WEBINAR AGENDA

15.00 hrs	Welcome and webinar objectives	15.45 hrs	Open discussion / Q & A
15.05 hrs	Presentation on World Investor week	16.15 hrs	Concluding remarks
15.15 hrs	Panel Discussion		

Logos: SEC, OICU-IOSCO, Capital Markets Association Of Zambia, World Investor Week 2020

The SEC in collaboration with the University of Zambia’s Business and Economics Association undertook a virtual University panel discussion. The objective of the event was to openly discuss the positive aspects of why one should be a smart investor as well as highlight the associated benefits and risks.

Furthermore, Panelists discussed aspects of traditional and novel capital markets products such as online investing, digital assets and initial coin offerings (among others). This activity attracted over 2,000 people. Participating Universities included the following :

- University of Zambia;
- Copperbelt University;
- University of Lusaka;
- Mulungushi University;
- Rockview University.

5.0 ESSAY ARTICLE WRITING COMPETITION



Two Memorandum of Understanding were signed by the CMAZ and the University of Lusaka and Rock view universities to mark the commencement of the essay writing competition which was to mark the commemoration of the World Investor week.

Speaking during the signing ceremony the President for the Capital Markets Association of Zambia, Mr. Nicholas Kabaso, stated that the signing ceremony was a testimony of the commitment that market practitioners have had to work alongside learning institutions to help provide the required knowledge and skills for the market.

The essay competition for 2020 is themed **‘How can investing in the capital market help create a better future for all?’**

5. OTHER WIW MEDIA ACTIVITIES



Key messages of the WIW were disseminated via print and electronic media (newspaper articles and radio programmes).

6. EXECUTIVE SCHOOL ADOPTION



CMAZ spearheaded the adoption of Secondary schools across Lusaka and the Copperbelt provinces. As such, members of the Association, alongside the Commission gave Investment talks to pupils and gave insights on what it takes to be a smart Investor.

FPSB

World Financial Planning Day 2020

International Coordinator: Financial Planning Standards Board Ltd.

Other participants: FPSB Network Organizations in 27 Territories Worldwide

Financial Planning Standards Board Ltd. (FPSB), along with its global network of professional financial planning bodies administering CERTIFIED FINANCIAL PLANNER certification, was pleased to join IOSCO for World Investor Week (WIW) to host the fourth annual World Financial Planning Day (WFPD) on Wednesday, 7 October.



FPSB launched WFPD in 2017 in partnership with IOSCO to help the public understand the importance of making investment decisions within the context of other financial and life goals. Now in its fourth year, WFPD is an opportunity for FPSB and the global community of nearly 190,000 CFP professionals to continue to support IOSCO and securities regulators around the world in efforts to increase consumers' levels of financial literacy and capability. WFPD 2020

kicked off with a promotional video from FPSB Network leaders from around the globe reinforcing how the global financial planning profession benefits the public.

Live Your Today. Plan Your Tomorrow.

In light of the global COVID-19 pandemic, this year's campaign became especially relevant as we focused on the need to demonstrate how financial planning can help to make near-term adjustments while staying on track for the future. In the words of Noel Maye, CEO of FPSB: "As we continue to deal with a global pandemic, more people are feeling life and financial stress, looking to an uncertain future while dealing with a volatile present. This year, it is more important than ever to raise awareness of how financial planning can help people prioritize and address short-term needs, framing those actions in the context of longer-term goals."



**LIVE YOUR TODAY.
PLAN YOUR TOMORROW.**

WFPD Goes Worldwide With First-Ever Live Panel Event

To promote WFPD and WIW, FPSB hosted a live global panel event for financial planning professionals on the [Future of Financial Planning: Adapting to a New Normal](#). The free two-hour event featured participants from seven countries discussing topics such as adapting to meet changing client needs, managing virtual client relationships and the impacts, challenges and opportunities the pandemic has created for establishing financial planning as a global profession with two live sessions:



COVID-19 and the Financial Planning Profession: Disruptor, Catalyst or Both?

Moderated by Gerhardt Meyer, CFP, FPSB Board chairperson (**South Africa**), with panelists David Blanchett, PhD, CFP, CFA,

head of retirement research for Morningstar Investment Management LLC (**USA**), Alan Pickford, CFP, head of financial planning and wealth management at Mazars (**UK**), and José Alexandre Cavalcanti Vasco, Chair of IOSCO's Committee on Retail Investors and director, Office of Investor Protection and Assistance, Comissão de Valores Mobiliários (**Brazil**).

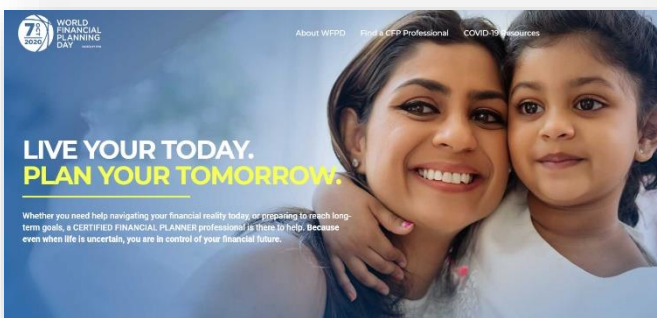
Delivering from a Distance: Serving Client Needs in a Global Pandemic

Moderated by Ana Cláudia Akie Utumi, CFP, FPSB Board member (**Brazil**), with panelists Julie Littlechild, founder and CEO, Absolute Engagement (**Canada**), Hansi Mehrotra, CFA, founder of The Money Hans (**India**), and Christopher Tan, CFP, CEO of Providend Ltd. and executive director of MoneyOwl Pte Ltd. (**Singapore**).

To date, the event has been viewed more than 4,000 times, including nearly 2,000 live viewers on the day of the event. A recording of the event is accessible on [FPSB's YouTube channel](#).

Expanded Event Site Boosts Engagement with Consumer Resources

This year, FPSB upgraded www.WorldFPDay.org to serve as the primary landing page for the FPSB Network's financial literacy campaigns and programs during WIW. The enhanced site featured comprehensive "Plan Your Tomorrow" branding, upgraded navigation and menus, a comprehensive



WFPD / WIW campaign toolkit for FPSB Affiliates, and a variety of consumer-oriented articles and resources focused on four topic areas: *Financial Planning is For Everyone; Plan to Reach Your Goals; Protect Your Financial Future; and Get the Help You Need.*

FPSB measured increased microsite engagement in 2020, compared to the previous year (period: 1 July – 15 October), that showed: **direct web traffic** increased by 28 percent (user

enters URL directly); **organic search traffic** increased by 89 percent; overall **page views** increased by 9 percent; duration of **time on the site** increased by 34 percent; number of **sessions per user** increased by 6 percent; number of **pages per session** increased by 11 percent; and views for "**Find a CFP Professional**" increased by 126 percent.

Social Media Engagement

In 2020, FPSB employed a focused social media strategy to drive users to the web site, creating a daily calendar of social media promotion of both WFPD and WIW events and content from www.WorldFPDay.org, including consumer articles and resources. The team engaged Twitter, LinkedIn and Facebook for content sharing beginning in August with the hash tag #WFPD2020, and focused the live Global Panel Event on 7 October on social media engagement, broadcasting live on all three of these platforms via YouTube. A variety of organic content was employed to increase engagement, and the campaign increased YOY traffic to the web site via social media by 53% in October alone.



Global Activities and Events Across the FPSB Network

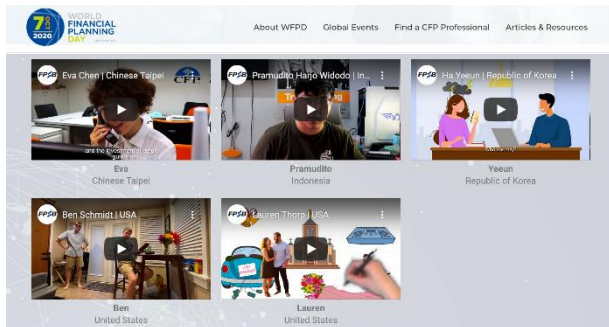
Leading up to and during WFPD, FPSB Affiliates in 27 territories promoted global and local programs covering topics ranging from investment planning and retirement, to debt management, home ownership and saving. In addition to promotion via FPSB/Affiliate websites and social media channels, the FPSB global network reached consumers through online and print publications; articles and blogs; press releases; virtual and/or socially-distanced in-person events; and regulatory engagement. Following is a sampling of the range of FPSB Network activities:

- **Australia:** [FPA Australia](#) hosted its Financial Planning Week from 5-11 October, kicking off with national research conducted by FPA Australia to explore the financial wellbeing of Australians six months into the global pandemic.
- **Austria:** Country-wide pro bono sessions from CFP professionals offered Austrians holistic planning advice, facilitated by [Österreichischer Verband Financial Planners](#).
- **Brazil:** FPSB Affiliate [Planejar](#) developed a series of articles for consumers, authored by CFP professionals, and developed a consumer eBook on financial planning and self-care.
- **Canada:** Financial Planning Week was organized by [FP Canada](#), dedicated to raising awareness of the importance and benefits of financial planning.
- **Chinese Taipei:** The World Investor Week Joint Forum hosted by the [Financial Planning Association of Taiwan](#) covered various investment topics related to the global pandemic.
- **Germany:** Consumer-facing articles from [FPSB Deutschland](#) covered a range of financial topics, supported by a media outreach and promotion campaign.
- **Republic of Korea:** [FPSB Korea](#) launched the “Tell Me Your Wish” consumer campaign to align with WFPD / WIW, providing financial planning services to more than 200 consumers.
- **Malaysia:** [Financial Planning Association of Malaysia](#) hosted the Annual Signature Financial Planning Symposium on 7 October along with a public complimentary consultancy in support of InvestSmart Fest by Securities Commission of Malaysia.
- **Singapore:** [Financial Planning Association of Singapore](#) published the December issue of its *Financial Planning* magazine with the theme of resilience and the cover image of the World Financial Planning Day face mask.
- **South Africa:** [Financial Planning Institute of Southern Africa](#) hosted a webinar for educators on how to redesign the last days of work-life to plan for retirement, plus a Professional Digital Convention from 27-28 October.
- **Thailand:** [Thai Financial Planners Association](#) hosted an online video program, “Money & Life Talk by CFP Professionals,” across social media channels covering financial planning and investment topics.
- **United Kingdom:** [Chartered Institute for Securities and Investment](#) hosted its Financial Planning Week from 5-11 October, offering consumers a helping hand to achieve financial wellbeing, resilience and financial budgeting and planning.
- **United States:** [CFP Board](#) raised awareness of financial planning with executive leadership articles, a press release and an ambassador promotion program.



“Plan Your Tomorrow” Video Contest Captures Consumer Sentiment

For the third year, to support WIW’s financial capability themes, FPSB hosted a video contest to encourage consumers to think about their finances and plan for the future. This year’s “Plan Your Tomorrow” contest invited consumers in FPSB Affiliate territories to submit a video of 30 seconds or less to answer the question: “How could meeting with a CFP professional help you plan your tomorrow?” From the video entries, five grand prize winners were chosen to be matched with a local CFP professional for a financial planning session, and received US\$1,000 to help pay off debt, save for education, prepare for retirement or other financial goals.



This year’s contest winners hailed from Chinese Taipei, Indonesia, Republic of Korea and the United States; their winning video entries can be viewed on the worldfpday.org microsite.

Conclusion

FPSB is grateful for the opportunity to again partner with IOSCO’s World Investor Week to deliver the most successful World Financial Planning Day to date. Raising awareness about the importance of investor education and increasing consumers’ levels of financial literacy and capability – particularly as the world deals with the impact of the COVID-19 pandemic – is a significant priority for FPSB during WFPD and throughout the year. FPSB and its global network look forward to continuing to collaborate with IOSCO on WIW/WFPD and other consumer awareness and protection projects.

Annex 1

Committee on Retail Investors (Committee 8) members

Chairman

Mr. Pasquale Munafò, Senior Finance Professional, Commissione Nazionale per le Società e la Borsa, **Italy**

Vice-Chairman

Mr. Camille Beaudoin, Director, Financial Education Department, Autorité des marchés financiers, **Quebec**

Members

Comissão do Mercado de Capitais, **Angola**
 Comisión Nacional de Valores, **Argentina**
 Australian Securities and Investments Commission, **Australia**
 Financial Services and Markets Authority, **Belgium**
 Comissão de Valores Mobiliários, **Brazil**
 China Securities Regulatory Commission, **China**
 Autorité des marchés financiers, **France**
 Bundesanstalt für Finanzdienstleistungsaufsicht, **Germany**
 Securities and Futures Commission, **Hong Kong**
 Securities and Exchange Board of India, **India**
 Indonesia Financial Services Authority, **Indonesia**
 Israel Securities Authority, **Israel**
 Commissione Nazionale per le Società e la Borsa, **Italy**
 Financial Services Agency, **Japan**
 Jersey Financial Services Commission, **Jersey**
 Financial Services Commission/Financial Supervisory Service, **Korea, Republic of**
 Commission de Surveillance du Secteur Financier, **Luxembourg**
 Securities Commission, **Malaysia**
 Comisión Nacional Bancaria y de Valores, **Mexico**
 The Dutch Authority for the Financial Markets, **The Netherlands**
 Securities and Exchange Commission, **Nigeria**
 Ontario Securities Commission, **Ontario**
 Comissão do Mercado de Valores Mobiliários, **Portugal**
 Autorité des marchés financiers, **Quebec**
 Financial Supervisory Authority, **Romania**
 The Bank of Russia, **Russia**
 Capital Market Authority, **Saudi Arabia**
 Monetary Authority of Singapore, **Singapore**
 Financial Sector Conduct Authority, **South Africa**
 Comisión Nacional del Mercado de Valores, **Spain**
 Securities and Exchange Commission of Sri Lanka, **Sri Lanka**
 Finansinspektionen, **Sweden**
 Financial Supervisory Commission, **Chinese Taipei**
 Securities and Exchange Commission, **Thailand**
 Capital Markets Board, **Turkey**
 Financial Conduct Authority, **United Kingdom**
 Commodity Futures Trading Commission, **United States of America**
 Financial Industry Regulatory Authority, **United States of America**
 Securities and Exchange Commission, **United States of America**

Observers

European Securities and Markets Authority, **European Union**
 Japan Securities Dealers Association, **Japan**

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